

- I.1. PLAN
- I. the Connes Embedding Conjecture & Its Equivalent
  - II. Kirchberg's Conj & Tsirelson's Problem
  - III. Algebraic Reformulations, etc

CEC  $\forall$  sep (= countably generated) finite vNa is embeddable into  $R^w$

### Ultralimit

$\ell_\infty N \cong C(\beta N)$   $\beta N$  Stone-Čech Compactification

Choose  $\omega \in \beta N \setminus N$ .

$\lim_{n \rightarrow \omega} : \ell_\infty N \rightarrow \mathbb{C}$  the character corr. to  $\omega$

$$\lim_{n \rightarrow \omega} f(n) := \pi(f)(\omega)$$

- positive linear functional
- $\lim_{n \rightarrow \omega} f(n) \in$  limit pts of  $(f(n))_{n=1}^\infty$
- $\lim_{n \rightarrow \omega} f(n)g(n) = (\lim_{n \rightarrow \omega} f(n))(\lim_{n \rightarrow \omega} g(n))$

$$\leadsto \forall E \subseteq N \quad \lim_{n \rightarrow \omega} \chi_E(n) = \begin{cases} 1 & \text{if } E \text{ is "big"} \\ 0 & \text{if } E \text{ is "small"} \end{cases}$$

$\omega \in \beta N \setminus N$  is identified with the non-principal ultrafilter

$$\{E \subseteq N : \lim_{n \rightarrow \omega} \chi_E(n) = 1\}$$

Filter Axiom  $\left\{ \begin{array}{l} \cdot \emptyset \notin E \\ \cdot E \subseteq F, E \in \omega \Rightarrow F \in \omega \\ \cdot E, F \in \omega \Rightarrow E \cap F \in \omega \end{array} \right.$

ultrafilter  $\cdot \forall E \subseteq N$  either  $E \in \omega$  or  $E^c \in \omega$

~~Topology~~

$X$  top sp  $(x(n))_{n=1}^\infty, x \in X$

$$x = \lim_{n \rightarrow \omega} x(n) \stackrel{\text{def}}{\iff} \forall U \in \mathcal{N}_x \quad \{n : x(n) \in U\} \in \omega$$

Then  $X$  cpt Hausdorff  $\omega$  ultrafilter

$$\implies \forall (x(n))_{n=1}^\infty \exists! \lim_{n \rightarrow \omega} x(n) \in X$$

I.2.] Hahn-Banach-Eidelheit-Kakutani Separation Thm

$V$   $\mathbb{R}$ -vector sp

$C \subseteq V$  convex, algebraically solid

$v \in V \setminus C$

$\left( \begin{array}{l} \exists c_0 \in C \text{ algebraic interior pt:} \\ \forall \lambda \in V \exists \varepsilon > 0 \text{ s.t.} \\ c_0 + \lambda x \in C \\ \text{for } |\lambda| < \varepsilon \end{array} \right.$

$\Rightarrow \exists \varphi: V \rightarrow \mathbb{R}$  linear, non-zero

s.t.  $\varphi(v) \leq \inf_{c \in C} \varphi(c) (< \varphi(c_0))$

Operator Algebra Preliminary

All algebras are assumed to be unital alg /  $\mathbb{C}$

$\mathcal{H}$  Hilbert sp

$B(\mathcal{H})$   $*$ -alg  $\langle x\eta, \xi \rangle = \langle \eta, x^*\xi \rangle \quad x \in B(\mathcal{H}), \xi, \eta \in \mathcal{H}$

When  $\mathcal{H} = \mathbb{R}^n \quad B(\mathcal{H}) = M_n \quad x^* = \overline{x}^T$

$*$  conjugate linear involution  $(xy)^* = y^*x^*$

A  $*$ -subalg  $A \subseteq B(\mathcal{H})$  is a  $C^*$ -alg if closed under the norm top  
a von Neumann alg if SOT

$A_+ = \{a \in A : \langle a\xi, \xi \rangle \geq 0 \text{ for } \forall \xi \in \mathcal{H}\}$

$= \{ \text{self-adjoint } x \in A \}$

$\mathcal{U}(A) :=$  the unitary elements of  $A = \{u \in A : u^*u = 1 = uu^*\}$

Algebraic Treatment

$A$   $*$ -alg /  $\mathbb{C} \quad 1 \in A$

$A_{\mathbb{R}} := \{a \in A : a^* = a\}$   $\mathbb{R}$ -vector sp  $A = A_{\mathbb{R}} + iA_{\mathbb{R}}$

$A_+ \subseteq A_{\mathbb{R}}$   $*$ -positive cone  $\cdot 1 \in A_+$

$\cdot a \in A_+, x \in A \Rightarrow x^*ax \in A_+$

$a \leq b \stackrel{\text{def}}{\Leftrightarrow} b - a \in A_+$

$A^{\text{bdd}} := \{a \in A : \exists R > 0 \text{ s.t. } a^*a \leq R1\}$

Exercise: Prove  $A^{\text{bdd}}$  is a  $*$ -subalg of  $A$

(Tricky:  $a^*a \leq R1 \Rightarrow aa^* \leq R1$ )

$A$  is a "pre-semi- $C^*$ -alg" if  $A = A^{\text{bdd}}$

$\rightarrow$  1 alg interior pt in  $A_+$   
Exercise

I.3.  $C_u^*(A)$  the universal enveloping  $C^*$ -alg of  $A$

$\forall \pi: A \rightarrow B(H)$  positive  $*$ -repn  
 extends to a  $*$ -repn on  $C_u^*(A)$

Exercise:  $\text{Ker}(A \rightarrow C_u^*(A)) = \{a \in A: a^*a \leq \varepsilon 1 \text{ for } \forall \varepsilon > 0\}$   
 "infinitesimal elements"

GNS Construction

$\varphi: A \rightarrow \mathbb{C}$  state  $\Leftrightarrow \varphi(A_+) \subseteq \mathbb{C}_+$  &  $\varphi(1) = 1$

$\varphi \in S(A)$  faithful  $\Leftrightarrow \varphi(a^*a) > 0$  for  $\forall a \neq 0$

Given  $\varphi \in S(A)$

$\langle y, x \rangle := \varphi(x^*y)$  semi inner prod  $\|x\|_2 = \varphi(x^*x)^{1/2}$

$\longrightarrow$  Hilbert space  $L^2(A, \varphi)$

$A \ni x \mapsto \hat{x} \in L^2(A, \varphi)$

$a: \hat{x} \mapsto \widehat{ax}$  gives  $\pi_\varphi(a) \in B(L^2(A, \varphi))$

$(a^*a \leq R1 \Rightarrow \|\pi_\varphi(a)\|^2 \leq R)$

$\pi_\varphi: A \rightarrow B(L^2(A, \varphi))$  positive  $*$ -repn

$\langle \pi_\varphi(a)\hat{x}, \hat{x} \rangle = \varphi(a)$  extends to a normal state on

$\pi_\varphi(A)'' := \overline{\pi_\varphi(A)}^{\text{SOT}} \subseteq B(L^2(A, \varphi))$  vN alg

Fact: If  $A$  is a vNa w/ normal faithful state  $\varphi$ ,

then  $\pi_\varphi(A)'' \cong A$

$\varphi \in S(A)$  tracial  $\Leftrightarrow \varphi(ab) = \varphi(ba)$  for  $\forall a, b \in A$

Examples:  $(M_n, \text{tr})$   $\text{tr} = \frac{1}{n} \text{Tr}$

$M_n \hookrightarrow M_{n+1} \hookrightarrow \dots = \varinjlim M_n =: A$

$n \leq m \implies M_n \ni X \mapsto X \otimes 1 \in M_m$

$R := \pi_\tau(A)''$  the hyperfinite II<sub>1</sub> factor

$\Gamma$  discrete grp  $\mathbb{C}\Gamma$   $f^*(t) = \overline{f(t^{-1})}$

$\tau(f) := f(e)$  tracial state on  $\mathbb{C}\Gamma$

$L^2(\mathbb{C}\Gamma, \tau) = \ell_2\Gamma$   $\pi_\tau(\mathbb{C}\Gamma)'' =: \Gamma$  grp vNa

Fact:  $A \subseteq B$ ,  $\tau_A = \tau_B|_A \implies \pi_{\tau_A}(A)'' \subseteq \pi_{\tau_B}(B)''$  naturally

I.4. Ultraproduct of finite  $vNa$   $w \in \beta N \setminus N$  fixed

$(M_n, \tau)$  finite  $vNa$  ( $\tau$  faithful normal tracial state)

$$\prod M_n = \{(x_n)_{n=1}^\infty : x_n \in M_n, \sup \|x_n\| < +\infty\}$$

$$\tau_w((x_n)_{n=1}^\infty) := \lim_w \tau(x_n) \quad \text{tracial state}$$

$$\prod M_n / w := \pi_w(\prod M_n) \stackrel{\text{fact}}{=} \prod M_n / \{\lambda : \tau_w(\lambda^* \lambda) = 0\}$$

$$R^w = \prod R / w \quad \text{ultrapower of } R$$

Thm For a sep finite  $vNa$   $(M, \tau)$ , TFAE

(1)  $M \hookrightarrow R^w$  ( $\tau$ -preserving) embedding

(2)  $M \hookrightarrow \prod M_n / w$

(3)  $\forall d \forall x_1, \dots, x_d \in M_R \quad \forall m \forall \varepsilon > 0$

$\exists N \exists X_1, \dots, X_d \in (M_N)_R$  (with  $\|X_i\| \leq \|x_i\|$ )

s.t.  $|\tau(p(x_1, \dots, x_d)) - \text{tr}(p(X_1, \dots, X_d))| < \varepsilon$

for all  $p$  NC polyn (of degree  $\leq m$  in  $d$ -variable)

(4)  $\forall d \forall u_1, \dots, u_d \in M_{\mathbb{K}}^{u(M)}$  (unitary elements)  $\forall m \forall \varepsilon > 0$

$\exists N \exists U_1, \dots, U_d \in (M_N)_{\mathbb{K}}^{u(MN)}$

s.t. same as above, but  $\text{use } p(u_1, \dots, u_d, u_1^*, \dots, u_d^*)$

(5) Kirchberg  $\forall d \forall u_1, \dots, u_d \in M_{\mathbb{K}}^{u(M)}$   $\forall \varepsilon > 0$

$\exists N \exists U_1, \dots, U_d \in U(M_N)$

s.t.  $|\tau(u_i^* u_j) - \text{tr}(U_i^* U_j)| < \varepsilon$

Note:  $u \in A$  is a unitary element  $\Leftrightarrow u^* u = 1 = u u^*$

Fact:  $A$   $C^*$ -alg  $\Rightarrow \overline{\text{conv}} U(A) = \overline{\text{Ball}}(A)$

Fact:  $\pi: A \rightarrow B$  linear  $\pi(U(A)) \subseteq U(B)$

$\uparrow$   
unital  $C^*$ -alg's

$\Rightarrow \pi(1)^* \pi(\cdot)$  is a Jordan hom

Fact:  $M \hookrightarrow R^w \Leftrightarrow M \ast R \hookrightarrow R^w$

Dykema

$\uparrow$   
 $\mathbb{I}$ , factor

I.5.1 Full group  $C^*$ -alg

$\Gamma$  discrete group

$\forall \pi: \Gamma \rightarrow B(\mathcal{H})$  unitary repn

$C^*\Gamma = C_u^*(\Gamma)$  : extends to a  $*$ -hom  $\pi: C^*\Gamma \rightarrow B(\mathcal{H})$

$\rightsquigarrow$  A sep unital  $C^*$ -alg  $\Rightarrow \exists C^*\Gamma_\infty \rightarrow A$

Thm The following conjectures are equivalent

(CEC)  $\forall$  sep finite  $vNa \hookrightarrow \mathbb{R}^w$

<sup>Kirchberg</sup>  
<sup>Day II</sup> (KC)  $C^*\Gamma \otimes C^*\Gamma$  has unique  $C^*$ -norm  
for  $\Gamma$  ~~is~~  $\mathbb{F}_2, \dots, \mathbb{F}_\infty, \underbrace{\mathbb{Z}/m\mathbb{Z} * \dots * \mathbb{Z}/m\mathbb{Z}}_d$  ~~( $m, d \neq (2, 2)$ )~~  
one/all of

<sup>Day II</sup> (TP <sub>$\infty$</sub> ) Tsirelson's Problem on ~~the~~ Equivalence of  
Two Bell Scenarios in Quantum Information Theory.  
Matrix valued  $\mathbb{A}_{\text{loc}}$   $\mathbb{B}_{\text{sb}}$

<sup>Day III</sup> (Alg) Something purely algebraic  
(Radulescu, Klep - Schweighofer, Juschenko-Popovych)

(EM) Something on Effros - Mørchel Topology  
(Hagerup - Winslow)

⋮

# Tensor Product of Operator Algebras

$A, B$   $C^*$ -algs  $A \otimes B$  algebraic tensor prod /  $\mathbb{C}$

## minimal tensor

Take  $A \subseteq B(H), B \subseteq B(K)$

$\rightarrow A \otimes B \subseteq B(H \otimes K)$

$\rightsquigarrow A \otimes_{\min} B$  minimal tensor prod

indep of choices of faithful reps  $A \subseteq B(H)$   
 $B \subseteq B(K)$

## maximal tensor

$(A \otimes B)_+^{\max} = \{ x^* x : x \in A \otimes B \}$

$A \otimes_{\max} B = C_u^*(A \otimes B)$

$\bullet \forall \pi : A \otimes B \rightarrow B(H)$   $*$ -repr

$\iff \pi|_A, \pi|_B$   $*$ -hom with commuting ranges

extends to a  $*$ -hom on  $A \otimes_{\max} B$

$\bullet A \otimes_{\max} B \rightarrow A \otimes_{\min} B$  canonically ~~isomorphism~~

$\bullet C^*\Gamma \otimes_{\max} C^*\Gamma = C^*(\Gamma \times \Gamma) \cong C^*\Gamma \otimes_{\min} C^*\Gamma$

$\bullet C^*\Gamma \otimes_{\max} C^*\Gamma = \otimes_{\min}$  iff  $\Gamma$  amenable

## Completely positive maps

$\varphi : A \rightarrow B$  cp  $\stackrel{\text{def}}{\iff} \forall n \text{ id}_n \otimes \varphi : M_n(A) \rightarrow M_n(B)$  positive

$\iff \varphi \otimes \text{id}_C : A \otimes C \rightarrow B \otimes C$  is positive wrt  $\otimes_{\min}$  &  $\otimes_{\max}$  for  $\forall C$

Thm/Def  $A$  nuclear (amenable)  $\iff A \otimes_{\max} B = A \otimes_{\min} B$  for  $\forall B$

II.1.

Tensor Product of C\*-algebras

$A \otimes B$  alg tensor prod  $(A \otimes B)_+ := \text{cone} \{x^*x : x \in A \otimes B\}$

$A \otimes_{\min} B \subseteq \mathbb{B}(H \otimes K)$ ,  $(A \otimes B)_+^{\min} := A \otimes B \cap (A \otimes_{\min} B)_+$

$A \otimes_{\max} B = C^*(A \otimes B)$ ,  $(A \otimes B)_+^{\max} := A \otimes B \cap (A \otimes_{\max} B)_+$

Exercise:  $A$  pre-semi-C\*-alg (e.g.  $A = A \otimes B$ )

$\Rightarrow A \cap C^*(A)_+ = \{a \in A : a + \varepsilon \geq 1 \text{ for } \forall \varepsilon > 0\}$

Exercise:  $\varphi \in S(A \otimes B)$  or  $\pi: A \otimes B \rightarrow \mathbb{B}(H)$

is  $\otimes_{\min}$ -conti (extends on  $A \otimes_{\min} B$  by continuity)

iff positive w.r.t.  $(A \otimes B)_+^{\min}$ .

GNS construction for tracial states

$A$  C\*-alg  $\tau$  tracial state on  $A$

$\hookrightarrow L^2(A, \tau)$   $\langle \hat{y}, \hat{x} \rangle = \tau(x^*y)$

$\pi_\tau: A \rightarrow \mathbb{B}(L^2(A, \tau))$ ,  $\pi_\tau(a)\hat{x} = \widehat{ax}$

Since  $\tau$  is tracial,  $\hat{x} \mapsto \widehat{xa}$  is also continuous. (Check)

Opposite C\*-alg  $A^{op} := \{a^{op} : a \in A\}$ ,  $a^{op} b^{op} := (ba)^{op}$   
 E.g.  $M_n^{op} \cong M_n$   $x^{op} \leftrightarrow x^T$ ,  $(C^*(\Gamma))^{op} \cong C^*(\Gamma)$   $g^{op} \leftrightarrow g^{-1}$

$\pi_\tau^{op}: A^{op} \rightarrow \mathbb{B}(L^2(A, \tau))$ ,  $\pi_\tau^{op}(a^{op})\hat{x} = \widehat{xa}$

is a \*-hom whose range commutes with  $\pi_\tau(A)$ .

$\hookrightarrow \pi_\tau \times \pi_\tau^{op}: A \otimes A^{op} \rightarrow \mathbb{B}(L^2(A, \tau))$  \*-repr

$\mu_\tau \in S(A \otimes A^{op})$

$\mu_\tau(a \otimes b^{op}) := \langle \pi_\tau \times \pi_\tau^{op}(a \otimes b^{op}) \hat{1}, \hat{1} \rangle = \tau(ab)$

Thm (Connes 76, Kirchberg 93) For  $(A, \tau)$  TF AE

(1)  $\exists \varphi \rightarrow \pi \downarrow M_n$   $\pi$  \*-hom s.t.  $\tau \circ \pi = \tau$

$A \xrightarrow{\exists \pi} \pi M_n / \omega$   $\varphi$  ucp lifting of  $\pi$

(2)  $\pi_\tau \times \pi_\tau^{op}$   $\otimes_{\min}$ -conti on  $A \otimes A^{op}$

(3)  $\mu_\tau$

ucp = "unital completely positive"

II.2.

Proof (1)  $\Rightarrow$  (3):  $\varphi_n: A \rightarrow M_n$  ucp  $\|\cdot\|_2$ -approx multiplicative  
 $\mu_n \in S(M_n \otimes M_n^{op}) \quad a \otimes b^{op} \mapsto \text{tr}(ab)$   
 $\mu_n \circ (\varphi_n \otimes \varphi_n^{op}) \in S(A \otimes_{\min} A^{op})$  since  $M_n \otimes M_n^{op} = M_n \otimes_{\min} M_n^{op}$   
 $\mu_n \circ (\varphi_n \otimes \varphi_n^{op})(a \otimes b^{op}) = \frac{1}{n} \text{tr}(\varphi_n(a) \varphi_n(b))$   
 $\approx \frac{1}{n} \text{tr}(\varphi_n(ab)) \rightarrow \tau(ab)$   
 $\mu_n \circ (\varphi_n \otimes \varphi_n^{op}) \xrightarrow{\omega} \tau$  and  $\tau \in S(A \otimes_{\min} A^{op})$ .

(3)  $\Rightarrow$  (2):  $\hat{I}$  is cyclic for  $\pi_{\mathbb{C}} \times \pi_{\mathbb{C}}^{op}$ .

(2)  $\Rightarrow$  (1): Look at my book.

Def  $\tau$  amenable  $\stackrel{\text{def}}{\Leftrightarrow} \tau$  satisfies the above conditions

- $\tau$  on  $L\Gamma$  (or  $C^*\Gamma$ ) amenable  $\Leftrightarrow \Gamma$  amenable
- If  $\Gamma$  is RF, then  $C^*\Gamma \twoheadrightarrow C^*\Gamma \xrightarrow{\pi} \mathbb{C}$  is amenable.

Thm For  $(M, \tau)$ , TFAE

M sep

- (1)  $M \hookrightarrow R^w$   $\tau$ -preserving
- (2)  $\exists \sigma / \forall \sigma \quad C^*F_{\infty} \xrightarrow{\sigma} M$   $\|\cdot\|_2$ -dense range

$\tau \circ \sigma$  is amenable on  $C^*F_{\infty}$

Proof  $\exists \varphi \rightarrow \pi(M_n) \quad \exists \varphi$  \*-hom  
 $(1) \Rightarrow (2\forall) \quad C^*F_{\infty} \xrightarrow{\sigma} M \hookrightarrow \pi(M_n) / \omega$  lifting  
 by universality  
 $(2\exists) \Rightarrow (1) \quad C^*F_{\infty} \xrightarrow{\tau} \pi(M_n) / \omega, \tau_{\omega} \circ \tau = \tau \circ \sigma$   
 $\rightsquigarrow \overline{\pi(C^*F_{\infty})}^{SOT} \simeq M. \quad \square$

Consequence (CEC)  $\Leftrightarrow \forall \tau$  on  $C^*F_{\infty}$  is amenable  
 $\Uparrow$  By the previous thm  $\Downarrow$  modular theory etc

(KC):  $C^*F_{\infty} \otimes C^*F_{\infty} \text{ max} = \text{min}$

Case for  $M=L\Gamma$

$Q: F_r \rightarrow \Gamma$

$\mu_{\tau}: C^*F_r \otimes C^*F_r \rightarrow \mathbb{C}$

$$\mu_{\tau}(g \otimes h) = \begin{cases} 1 & \text{if } Q(g) = Q(h) \\ 0 & \text{otherwise} \end{cases}$$

$L\Gamma \hookrightarrow R^w \Leftrightarrow \mu_{\tau} \otimes_{\min}$ -conti

~~XXXXXXXXXX~~



II.3.1

Operator Systems

Op sys:  $S \subseteq A$  unital  $*$ -subspace (not nec norm closed)

Op sys str:  $M_n(S)_+ := M_n(S) \cap M_n(A)_+$

$\varphi: S \rightarrow B$  cp  $\stackrel{\text{def}}{\Leftrightarrow} \text{id} \otimes \varphi: M_n(S) \rightarrow M_n(B)$  positive for  $\forall n$

$S \cong T$  if  $\exists \varphi: S \rightarrow T$   $\varphi$  &  $\varphi^{-1}$  ucp

Exercise:  $\varphi: S \rightarrow \mathbb{C}$  positive  $\Rightarrow \|\varphi\| = \varphi(1)$ .

Axiom of Op Sys (Choi-Effros)  $\left\{ \begin{array}{l} S, M_n(S)_+ \\ \bullet a \in M_n(S)_+, X \in M_{n,m}(\mathbb{C}) \Rightarrow X^* a X \in M_m(S)_+ \\ \bullet I_n = (e_{ij}) \in M_n(S) \text{ is an Archimedean order unit} \end{array} \right.$

Thm (Arveson)  $B(X)$  is injective

$\forall S \subseteq A \quad \forall \varphi: S \rightarrow B(X)$  cp

$\Rightarrow \exists \bar{\varphi}: A \rightarrow B(X)$  cp

Combined with multiplicative domain,

Pisier's Trick

$S \subseteq A$  op sys

$\mathcal{U} \subseteq S$  a family of unitary elements which generates  $A$  as  $C^*$ -alg

$\varphi: S \rightarrow B$  ucp s.t.  $\varphi(u) \in \mathcal{U}(B)$  for  $\forall u \in \mathcal{U}$

$\Rightarrow \varphi$  extends to a  $*$ -hom  $\bar{\varphi}: A \rightarrow B$ .

Exercise  $\varphi: M_n(S) \rightarrow \mathbb{C}$ ,  $\tilde{\varphi}: S \rightarrow M_n$   
 $\tilde{\varphi}(a) = [\varphi(e_{ij} \otimes a)]_{ij}$   
 Then  $\varphi$  is positive  $\Leftrightarrow \tilde{\varphi}$  is cp

II.4.

Tsirelson's Problem  $m \geq 2, d \geq 2, (m,d) \neq (2,2)$  fixed

POVM with  $m$  outputs  $(A_i)_{i=1}^m, A_i \geq 0 \ \& \ \sum A_i = 1$

Measurement by  $\xi \in \mathcal{X}, \|\xi\|=1 : (\langle A_i \xi, \xi \rangle)_{i=1}^m$

Alice  $d$  POVMs  $(A_i^R)_{i=1}^m \ R=1, \dots, d$

Bob  $(B_j^R)_{j=1}^m \ R=1, \dots, d$  Shared state

Independence  $A_i^R \in \mathcal{B}(\mathcal{H}), B_j^R \in \mathcal{B}(\mathcal{K}), \xi \in \mathcal{H} \otimes \mathcal{K}$

or

$A_i^R, B_j^R \in \mathcal{B}(\mathcal{H})$  s.t.  $[A_i^R, B_j^R] = 0, \xi \in \mathcal{H}$

Quantum correlation matrices

$$Q_S := \text{closure} \left\{ \left[ \langle A_i^R \otimes B_j^R \xi, \xi \rangle \right]_{i,j}^{R,S} : \begin{array}{l} A, B \text{ POVMs on } \mathcal{H} \\ \mathcal{K} \\ \xi \in \mathcal{H} \otimes \mathcal{K} \ \|\xi\|=1 \end{array} \right\}$$

closed  
convex

$$Q_C := \left\{ \left[ \langle A_i^R B_j^R \xi, \xi \rangle \right]_{i,j}^{R,S} : \begin{array}{l} A, B \text{ POVMs on } \mathcal{H} \\ [A, B] = 0 \\ \xi \in \mathcal{H} \ \|\xi\|=1 \end{array} \right\}$$

In general

$$Q_S^{(n)} := \text{closure} \left\{ \left[ V^* (A_i^R \otimes B_j^R) V \right]_{i,j}^{R,S} : \begin{array}{l} V: \ell_2^n \rightarrow \mathcal{H} \otimes \mathcal{K} \\ \text{isometry} \\ \text{min size matrices} \end{array} \right\}$$

$$Q_C^{(n)} := \dots$$

~~Q\_S = Q\_C if and only for finite dim~~

(TP):  $Q_S = Q_C$  True if  $\dim \mathcal{H} < +\infty$

(TP<sub>∞</sub>):  $Q_S^{(n)} = Q_C^{(n)}$  for all  $n$

Proof of (TP<sub>∞</sub>)  $\Leftrightarrow$  (KC)  $\Gamma = \underbrace{\mathbb{Z}/m\mathbb{Z} * \dots * \mathbb{Z}/m\mathbb{Z}}_d$

$$C_{\text{cl}}^* \Gamma = C^*(\mathbb{Z}/m\mathbb{Z}) * \dots * C^*(\mathbb{Z}/m\mathbb{Z}) = \ell_\infty^m * \dots * \ell_\infty^m$$

$$S := (\ell_\infty^m)^{(1)} + \dots + (\ell_\infty^m)^{(d)} = \text{span} \{ e_i^{R_1} : \begin{array}{l} i=1, \dots, m \\ R_2=1, \dots, d \end{array} \}$$

Exercise:  $\Psi: \ell_\infty^m \rightarrow \mathcal{B}(\mathcal{H})$  ucp  $\Leftrightarrow A_i \geq 0 \ \& \ \sum A_i = 1$   
 $e_i \mapsto A_i$  i.e. POVM

II.5.

Fact (Boca)  $\varphi_i: C_i \rightarrow \mathbb{B}(\mathcal{H})$  ucp  
 $C_i$   $C^*$ -alg's  $C = C_1 * C_2$  full free product / @1

$\implies \exists \varphi: C \rightarrow \mathbb{B}(\mathcal{H})$  ucp s.t.  $\varphi|_{C_i} = \varphi_i$ .

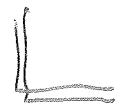
Cor:  $Q_S = \{ \varphi(e_i^k \otimes e_j^l) : \varphi \in S(C^* \Gamma \otimes_{\min} C^* \Gamma) \}$

$Q_C = \{ \varphi(e_i^k \otimes e_j^l) : \varphi \in S(C^* \Gamma \otimes_{\max} C^* \Gamma) \}$

$Q_S = Q_C \iff S \otimes S \xrightarrow{\text{id}} C^* \Gamma \otimes_{\max} C^* \Gamma$  is positive  
 $C^* \Gamma \otimes_{\min} C^* \Gamma$

$Q_S^{(n)} = Q_C^{(n)}$  for all  $n \iff$  ucp

$\implies \min = \max$  on  $C^* \Gamma \otimes C^* \Gamma$  i.e. (KC).  
 Pisier's Trick



Tensor Product of Op Sys (Paulsen, Kavruk, ...)

$S, T$  op sys  $S \otimes T$  alg tensor prod / @

$M_n(S \otimes T)_+^{\min} := \{ x \in M_n(S \otimes T)_{\mathbb{R}} : \varphi \otimes \psi(x) \geq 0 \text{ in } M_n \mathbb{R} \text{ for } \forall \varphi: S \rightarrow M_{\mathbb{R}} \psi: T \rightarrow M_{\mathbb{R}} \text{ op} \}$

"Obviously" positive elements

$D_n^+ := \{ X^*(a \otimes b)X : \begin{matrix} a \in M_{\mathbb{R}}(S)_+ \\ b \in M_{\mathbb{R}}(T)_+ \\ X \in M_{\mathbb{R}, n}(\mathbb{C}) \end{matrix} \}$

$M_n(S \otimes T)_+^{\max} := \{ x \in M_n(S \otimes T)_{\mathbb{R}} : x + \varepsilon 1 \in D_n^+ \text{ for } \forall \varepsilon > 0 \}$

Thm If  $A, B$   $C^*$ -alg's, then  $\min$  and  $\max$  are compatible with the previous definitions.

Proof for max

$D_n^+ \subseteq (A \otimes B)_+^{C^* \text{-max}}$  Easy

If  $(A \otimes B)_+^{C^* \text{-max}} \not\subseteq (A \otimes B)_+^{\text{os max}}$ , then ~~then~~

$\exists \varphi$  state wrt os max which is non-positive wrt  $C^* \text{-max}$ .

But  $(\sum a_i \otimes b_i)^* (\sum a_i \otimes b_i) = \sum a_i^* a_i \otimes b_i^* b_i \in (A \otimes B)_+^{\text{os max}}$ .

$\varphi$  extends to a state on  $(A \otimes B)_{\text{top}}$ . A contradiction  $\square$

II.6.1

Thm (Kirchberg 93)  $A_i$   $C^*$ -alg  $A = A_1 + A_2$

If  $\max = \min$  on  $A_i \otimes B(l_2)$ ,

then  $\max = \min$  on  $A \otimes B(l_2)$ .

In particular  $C^*F_\infty \otimes B(l_2)$   $\max = \min$ .

Proof

$$S := A_1 + A_2 \cong A_1 \oplus A_2 / \langle (1, -1) \rangle$$

By Piser's Trick, it suffices to show  $S \otimes B(l_2) \rightarrow A_{\max} \otimes B(l_2)$  is  $\min$  <sup>completely</sup> positive.

Lem  $x \in (S \otimes B(l_2))_+^{\min}$   
 $\Rightarrow \exists a_i \in (A_i \otimes B(l_2))_+^{\min}$   
 s.t.  $x = a_1 + a_2$ .

Pf. First  $l_2^n$

$$\{a_1 + a_2 : a_i \in (A_i \otimes B(l_2^n))_+\} \subseteq (S \otimes B(l_2^n))_+$$

$\Leftarrow$  positive cone, alg solid

By HBEK & Boca, they are equal.  $\checkmark$

$P_n : B(l_2) \rightarrow B(l_2^n)$  cut off

$x \in (S \otimes B(l_2))_+^{\min}$  given

$\rightsquigarrow \exists E_i \subseteq A_i$  finite dim s.t.  $x \in (E_1 + E_2) \otimes B(l_2)$

$$(\text{id} \otimes P_n)(x) = a_1^{(n)} + a_2^{(n)}$$

$$a_i^{(n)} \in (S \otimes B(l_2^n))_+ ; a_i^{(n)} \in E_i \otimes B(l_2^n)$$

$$0 \leq a_i^{(n)} \leq \|x\|$$

$\rightsquigarrow$  Take a limit pt  $(\lim_{n \rightarrow \infty} a_i^{(n)} \in E_i \otimes B(l_2))$

$$a_i \in E_i \otimes B(l_2), a_i \geq 0$$

$$\mathbb{Q} = a_1 + a_2 \quad \mathbb{Q}$$

Since  $(A_i \otimes B(l_2))_+^{\min} = (A_i \otimes B(l_2))_+^{\max}$ ,

$a_i$ 's are "obviously" positive.

$\rightsquigarrow x \geq 0$  in  $A_{\max} \otimes B(l_2)$   $\square$

III.1.

Recall:  $A$  unital  $*$ -alg  $\mathcal{C}$   
 $A_+ \subseteq A_R$   $*$ -positive cone  $\bullet 1 \in A_+$   
 $\bullet a \in A_+, x \in A \Rightarrow x^* a x \in A_+$

$A$  is a semi-pre- $C^*$ -alg

if  $A = A^{bold} := \{x \in A : \exists R > 0 \text{ s.t. } x^* x \leq R1\}$

$\rightsquigarrow 1$  is an alg interior pt of  $A_+$  ( $\because R \leq \frac{R^2+1}{2}$ )

$C_u^*(A)$  the univ enveloping  $C^*$ -alg of  $A$

$\forall \pi: A \rightarrow B(\mathcal{H})$  positive  $*$ -reprn extends to a  $*$ -reprn of  $C_u^*(A)$

Example  $\bullet \mathcal{C}\Gamma, (\mathcal{C}\Gamma)_+ := \text{cone}\{x^*x : x \in \mathcal{C}\Gamma\}$

$\rightarrow C_u^*(\mathcal{C}\Gamma) = C^*\Gamma$

$\bullet \mathcal{C}\langle x_1, \dots, x_d \rangle$  NC polyn  $x_i^* = x_i$

$\mathcal{C}\langle x_1, \dots, x_d \rangle_+ := *$ -positive cone generated by  $1-x_i^2$

$\rightarrow C_u^*(\mathcal{C}\langle x_1, \dots, x_d \rangle) = \mathcal{C}([-1,1]) * \dots * \mathcal{C}([-1,1])$

Thm  $\bullet \text{Ker}(A \rightarrow C_u^*(A)) = \{x \in A : x^*x \leq \varepsilon 1 \text{ for } \forall \varepsilon > 0\}$

$\bullet A \cap C_u^*(A)_+ = \{a \in A_R : a + \varepsilon 1 \in A_+ \text{ for } \forall \varepsilon > 0\}$

PF of the second:  $\cong$  clear

$\subseteq$ : Let  $a \in A_R$  be s.t.  $a + \varepsilon 1 \notin A_+$  for some  $\varepsilon > 0$ .

By HBEK,  $\exists \varphi \in S(A)$  s.t.  $\varphi(a + \varepsilon 1) \leq 0$ .

$\xrightarrow{\text{GNS}} \pi_\varphi(a) \not\geq 0$ . □

Thm (Choi)  $C^*\Gamma, \mathcal{C}([-1,1])^{*d}$  are RFD

i.e.  $\oplus \pi: A \rightarrow \prod \dim \pi$  is faithful.

Lubitzky  
-Shalom

Cor  $f \in \mathcal{C}\Gamma$  or  $\mathcal{C}\langle x_1, \dots, x_d \rangle$

If  $\pi(f) \geq 0$  for  $\forall$  fd reprn  $\pi$ ,

then  $f + \varepsilon 1 \in (\mathcal{C}\Gamma)_+$  or  $(\mathcal{C}\langle x_1, \dots, x_d \rangle)_+$  for  $\forall \varepsilon > 0$ .

Cor  $KC \Leftrightarrow \begin{cases} f \in \mathcal{C}(\Gamma_r \times \Gamma_r) \\ \pi(f) \geq 0 \text{ for } \forall \text{ fd reprn } \pi \\ \Rightarrow f + \varepsilon 1 \in \mathcal{C}(\Gamma_r \times \Gamma_r)_+ \end{cases}$

$\odot \pi(f) \geq 0$  for  $\forall$  fd reprn  $\pi \Leftrightarrow f \geq 0$  wrt min

$f + \varepsilon 1 \in \mathcal{C}(\Gamma_r \times \Gamma_r)_+ \Leftrightarrow f \geq 0$  wrt max □

III.2.

Tracial Setting

$\tau \in S(A)$

Radulescu,  
Klep-Schweighofer, 2008, Juschenko-Popovych 2011

$$K = \text{span} \{ [a, b] : a, b \in A \}$$

tracial  $\Leftrightarrow \tau = 0$  on  $K$

Thm For  $a \in A_{\mathbb{R}}$ , TFAE

(1)  $\tau(a) \geq 0$  for  $\forall \tau \in S(A)$  tracial

(2)  $a + \varepsilon 1 \in A_+ + K$  for all  $\varepsilon > 0$ .

Pf. (2)  $\Rightarrow$  (1) Obvious.

(1)  $\Rightarrow$  (2) Suppose  $a + \varepsilon 1 \notin A_+ + K$ .

$A_+ + K_{\mathbb{R}}$  alg solid (because  $A_+$  is)

By HBEK  $\exists \varphi$  non-zero

$$\varphi(A_+ + K_{\mathbb{R}}) \subseteq \mathbb{R}_{\geq 0}$$

$$\varphi(a + \varepsilon 1) \leq 0$$

WMA  $\varphi(1) = 1$  i.e.  $\varphi \in S(A)$ ,  $\leadsto \varphi(a) < 0$

$\varphi|_{K_{\mathbb{R}}} \geq 0 \leadsto \varphi|_{K_{\mathbb{R}}} = 0 \leadsto \varphi$  tracial

(  $K = K_{\mathbb{R}} + iK_{\mathbb{R}}$  )  $\square$

Klep-Schweighofer 08

$x_1, \dots, x_d$  hermitian

$A := \mathbb{C}\langle x_1, \dots, x_d \rangle$  NC polyn

$A_+ := \text{cone} ( a^*a : a \in A \} \cup \{ a^*(1-x_i^2)a : a \in A, i=1, \dots, d \} )$

(KS<sub>d</sub>)  $\left\{ \begin{array}{l} f \in \mathbb{C}\langle x_1, \dots, x_d \rangle \text{ is s.t.} \\ \text{tr}(f(x_1, \dots, x_d)) \geq 0 \\ \text{for } \forall n \forall x_i \in M_n(\mathbb{C})_{\mathbb{R}} \text{ contractions} \\ \Rightarrow f + \varepsilon 1 \in A_+ + K \end{array} \right.$

Rem. ~~True without~~ True in nontrivial setting.

Thm (KS)  $KS_{\infty} \Leftrightarrow \text{CEC}$   
 $\Leftrightarrow KS_2$

Fact  $M \otimes \mathbb{R}$  is generated by two hermitian elements.

III. 3.

Juschenko - Popovych 2011

$$A = \mathbb{C} F_d = \mathbb{C} \langle u_1, \dots, u_d \rangle, \quad u_i^* u_i = 1 = u_i u_i^*$$

$$A_+ = \text{cone} \{ x^* x : x \in \mathbb{C} F_d \}$$

$$(JP_d) \begin{cases} \alpha \in \mathbb{M}_d(\mathbb{C})_{\mathbb{R}} \text{ is s.t.} \\ \text{tr}(\sum \alpha_{ij} U_i^* U_j) \geq 0 \text{ for } \forall n \forall U_i \in \mathcal{U}(\mathbb{M}_n) \\ \Rightarrow \sum \alpha_{ij} u_i^* u_j + \varepsilon 1 \in A_+ + K \text{ for } \forall \varepsilon > 0 \end{cases}$$

$$\text{Thm}(JP) \quad JP_{\infty} \Leftrightarrow \text{CEC}$$

We'll prove this.

Recall Kirchberg's Thm

$$F_d := \text{closure} \{ [\text{tr}(U_i^* U_j)]_{i,j} : n \in \mathbb{N}, U_1, \dots, U_d \in \mathcal{U}(\mathbb{M}_n) \} \\ = \{ [\tau_w(U_i^* U_j)]_{i,j} : U_i \in \mathcal{U}(R^w) \}$$

$$(M, \tau) \quad G_d^M := \{ [\tau(V_i^* V_j)]_{i,j} : V_1, \dots, V_d \in \mathcal{U}(M) \}$$

$$G_d := \bigcup_M G_d^M$$

$$\Theta_d := \text{positive semi-definite matrices with 1's on diagonals} \\ = \{ [\langle \xi_i, \xi_j \rangle] : \xi_1, \dots, \xi_d \in \mathcal{H} \text{ unit vectors} \}$$

$$\text{Thm (Kirchberg)} \quad (M, \tau) \hookrightarrow (R^w, \tau_w) \Leftrightarrow G_d^M \subseteq F_d \text{ for } \forall d \\ \Rightarrow JP_{\infty} \Rightarrow \text{CEC} //$$

Cor For  $(M, \tau)$ , TFAE

$$(1) (M, \tau) \hookrightarrow (R^w, \tau_w)$$

$$(2) \forall d \forall \alpha \in \mathbb{M}_d(\mathbb{C})_{\mathbb{R}}$$

$$\text{if } \text{tr}(\sum \alpha_{ij} U_i^* U_j) \geq 0 \text{ for } \forall n \forall U_i \in \mathcal{U}(\mathbb{M}_n)$$

$$\text{then } \tau(\sum \alpha_{ij} V_i^* V_j) \geq 0 \text{ for } \forall V_i \in \mathcal{U}(M)$$

Pf (1)  $\Rightarrow$  (2): Obvious.

$$(2) \Rightarrow (1) \quad \text{Suppose } (M, \tau) \not\hookrightarrow (R^w, \tau_w) \text{ and} \\ \exists Z \in G_d^M \setminus F_d.$$

By HB,  $\exists \alpha \in \mathbb{M}_d(\mathbb{C})$

$$\text{s.t. } \text{Re} \sum \alpha_{ij} Z_{ij} < \inf_{W \in F_d} \text{Re} \sum \alpha_{ij} W_{ij}.$$

III.4.

Since  $Z$  and  $W$  are hermitian,

~~we~~ We can replace  $\alpha$  with  $\frac{\alpha + \alpha^*}{2} \in \text{Md}(\mathbb{C})_{\mathbb{R}}$

and  $\sum \alpha_{ij} Z_{ij} < \inf_{W \in F_d} \sum \alpha_{ij} W_{ij}$

Arrange  $\alpha_{ii} \in \mathbb{R}$  so that

$$\sum \alpha_{ij} Z_{ij} < 0 \leq \inf_{W \in F_d} \sum \alpha_{ij} W_{ij} \quad \square$$

Combined with previous results,

we obtain JP's Thm  $JP_{\infty} \Leftrightarrow \text{CEC}$ .

Some known facts about  $F_d \subseteq G_d \subseteq \Theta_d$  Dykema - Juschenko

Thm (Grothendieck)

$$\Theta_d \subseteq K_G^{\mathbb{C}} \text{ and } \{ [\alpha_i, \beta_j]_{i,j} : \alpha_i, \beta_j \in \mathbb{C}, |\alpha_i|, |\beta_j| = 1 \}$$

Thm  $\Theta_d \cap \text{Md}(\mathbb{R}) = F_d \cap \text{Md}(\mathbb{R})$

Pf.

$$\Theta_d \cap \text{Md}(\mathbb{R}) = \{ [ \langle \xi_i, \xi_j \rangle ]_{i,j} : \xi_i \in \mathcal{H}(\mathbb{R}), \|\xi_i\| = 1 \}$$

Clifford alg (CAR algebra)

$$a(\xi) \in (M_{2^{\dim \mathcal{H}(\mathbb{R})}})_{\mathbb{R}} \quad a(\xi) \text{ unitary if } \|\xi\| = 1$$

$$a(\xi)^* a(\xi) = \|\xi\|^2$$

$$a(\xi) a(\eta) + a(\eta) a(\xi) = 2 \langle \xi, \eta \rangle$$

$$\rightarrow \text{tr}(a(\xi)^* a(\eta)) = \langle \xi, \eta \rangle \quad \square$$

Thm (Dykema - Juschenko)

- $F_3 = \Theta_3$

- $G_4 \subsetneq \Theta_4$

OPEN: Does  $F_4 = G_4$  imply CEC ??  
 $JP_4$



III. 5.

Approx Commuting Unitary Matrices (arXiv 1211.2712)

$$E = \text{span} \{U_1, U_2, U_3\} \subseteq C^*F_3 \text{ op space} \\ \cong \ell_1^3$$

KC  $\Leftrightarrow$   $\|\cdot\|_{\max} = \|\cdot\|_{\min}$  completely on  $E \otimes E$   
Pisier's Trick

$$\Leftrightarrow \forall n \forall \alpha \in M_3(M_n(\mathbb{C}))$$

$$\|\alpha\|_{\max} := \sup \{ \|\sum \alpha_{ij} U_i V_j\| : U_i, V_j \in \mathcal{U}(B(H)) \} \\ \text{coincides with} \quad [U_i, V_j] = 0$$

$$\|\alpha\|_{\min} := \sup \{ \|\sum \alpha_{ij} U_i V_j\| : \text{---}, \dim H < +\infty \}$$

Lem  $\forall n \forall \alpha \in M_3(M_n(\mathbb{C}))$

$$\|\alpha\|_{\max} = \inf_{\varepsilon > 0} \sup \{ \|\sum \alpha_{ij} U_i V_j\| : U_i, V_j \in \mathcal{U}(B(H)) \} \\ \left. \begin{array}{l} \dim H < +\infty \\ \|[U_i, V_j]\| < \varepsilon \end{array} \right\}$$

Pf.

$\geq$  Contradiction argument involving norm ultraproduct.

$\leq$  Follows from the fact that  $C^*(F_{\infty} \times F_{\infty})$  is QD.  $\square$

Fact  $\max = \min$  for  $\alpha \in M_d(M_n(\mathbb{R}))$   $\alpha_{ij} \in \mathbb{R}$

$\max = \min$  for  $\alpha \in M_2(M_n(\mathbb{C}))$   $\because$  WMA  $U_i = I$   
 $V_i = I$

Fact  $U, V \in \mathcal{U}(M_n)$   $\|[U, V]\| < \varepsilon$

(There need not  $\exists U', V' \in \mathcal{U}(M_n)$  s.t.  
 $[U', V'] = 0$  &  $U \approx U', V \approx V'$  [Voiculescu?])

$\exists M \geq n \exists \tilde{U}, \tilde{V} \in \mathcal{U}(M)$   $[\tilde{U}, \tilde{V}] = 0$

$U \approx_{\sqrt{\varepsilon}} P_M(\tilde{U}), V \approx_{\sqrt{\varepsilon}} P_M(\tilde{V})$  compression

Strong KC:  $\forall \kappa > 0 \exists \varepsilon > 0$

$C^*F_2 \otimes C^*F_2 \otimes B(\ell_2)$   
 $\max = \min$

If  $U_i, V_j \in \mathcal{U}(M_n), \|[U_i, V_j]\| < \varepsilon$

$\Rightarrow \exists \tilde{U}_i, \tilde{V}_j \in \mathcal{U}(M_m), [\tilde{U}_i, \tilde{V}_j] = 0$

$\exists M \geq n \quad \|[U_i - P_n(\tilde{U}_i)]\| < \kappa, \text{ for all } i, j$   
 $\|[V_j - P_n(\tilde{V}_j)]\| < \kappa$