

# Breuillard 1(I+II) 1

$\left\{ \begin{array}{l} \text{Kesten's Thm} \\ \text{Tits Alternative} \\ \text{Powers } (C^*-\text{simplicity}) \end{array} \right.$

## Kesten's Thm (1950's)

$\Gamma$ : finitely generated group

$\mu$ : probability measure on  $\Gamma$

Symmetric ( $\mu(x^{-1}) = \mu(x)$ )

Def  $\mu$  is adapted to  $\Gamma$  if  $\langle \text{supp}(\mu) \rangle = \Gamma$ .

$\mu^n$  operator on  $\ell^2\Gamma$  by convolution  $f \mapsto \mu * f$

$\lambda = \lambda_\Gamma$  = left regular representation of  $\Gamma$

$$[\lambda(r)f](x) = f(xr)$$

$$\lambda(\mu) = \sum_{r \in \Gamma} \lambda(r) \mu(r)$$

$$\mu^n := \underbrace{\mu * \mu * \dots * \mu}_n \in \text{Prob.}(\Gamma)$$

$\mu^n$  is the distribution at time  $n$  of the random product

$S_n = X_1 \cdot \dots \cdot X_n$      $(X_i)_{i \geq 1}$  are IID random variables  
with values in  $\Gamma$ .

$$P(X_i = x) = \mu(x)$$

Fact •  $\mu(yx^{-1}) = \text{prob}(x \sim y) = \langle \lambda(\mu) \delta_x, \delta_y \rangle_{\ell^2\Gamma}$

$$\delta_x \in \ell^2\Gamma \quad \delta_x(y) = \begin{cases} 1 & (y=x) \\ 0 & (y \neq x) \end{cases}$$

•  $\mu^n(x) = P(S_n = x)$

$$\lambda(\mu) = \lambda(\mu)^* \left[ \begin{array}{l} \text{bounded} \\ \text{self-adjoint} \end{array} \right] \\ (\mu \text{ is symmetric})$$

•  $\mu^{2(n+1)}(e) \leq \mu^{2n}(e)$

$$\lambda(\mu^n) = \lambda(\mu)^n$$

•  $\mu^{2n}(x) \leq \mu^{2n}(e) \quad \forall x \in \Gamma$

$$\begin{aligned}
 <\text{pf}> \cdot \mu^{2n+2}(e) &= \left\langle \lambda(\mu)^{\frac{2n+2}{2}} \delta_e, \delta_e \right\rangle \\
 &= \left\langle \lambda(\mu)^{\frac{n+1}{2}} \delta_e, \lambda(\mu)^{\frac{n+1}{2}} \delta_e \right\rangle \\
 &= \| \lambda(\mu)^{\frac{n+1}{2}} \delta_e \|^2 \\
 &\leq \| \lambda(\mu)^n \delta_e \|^2 = \mu^{2n}(e)
 \end{aligned}$$

since

$$\| \lambda(\mu) \| \leq 1.$$

$$\begin{aligned}
 \cdot \quad \mu^{2n}(x) &= \left\langle \lambda(\mu)^{\frac{n}{2}} \delta_e, \delta_x \right\rangle = \left\langle \lambda(\mu)^n \delta_e, \lambda(\mu)^{\frac{n}{2}} \delta_x \right\rangle \\
 &\leq \| \lambda(\mu)^n \delta_e \| \| \lambda(\mu)^{\frac{n}{2}} \delta_x \|
 \end{aligned}$$

$$\begin{aligned}
 \text{However } \| \lambda(\mu)^n \delta_x \|^2 &= \left\langle \lambda(\mu)^{\frac{n}{2}} \delta_x, \delta_x \right\rangle \\
 &= \mu^{2n}(x^{-1}x) = \mu^{2n}(e) \\
 &= \| \lambda(\mu)^n \delta_e \|^2
 \end{aligned}$$

$$\text{So } \mu^{2n}(x) \leq \| \lambda(\mu)^n \delta_e \|^2 = \mu^{2n}(e). \quad \square$$

Def The spectral radius of the random walk is defined as the spectral radius of  $\lambda(\mu)$  viewed as a bounded operator on  $\ell^2\Gamma$   $\rho(\mu) = \| \lambda(\mu) \|$

= norm of  $\mu$  where  $\mu$  is viewed as an element of the reduced  $C^*$ -alg  $C_\lambda^*(\Gamma)$ .

= norm closure of  $\text{span} \{ \lambda(x) | x \in \Gamma \}$  in  $B(\ell^2\Gamma)$ .

Thm (Kesten)

$$\| \lambda(\mu) \| = \lim_{n \rightarrow \infty} \mu^{2n}(e)^{\frac{1}{2n}} \quad \mu^{2n}(e) = P(S_{2n} = e)$$

Remarks

(1)  $n \mapsto \frac{1}{\mu^{2n}(e)}$  is submultiplicative

$$\text{i.e. } \mu^{2m+2n}(e) \geq \mu^{2m}(e) \mu^{2n}(e) \quad \forall n, m$$

$$\begin{aligned}
 P(S_{2m+2n} = e) &\geq P(S_{2m} = e \wedge S_{2n} = e) \\
 &= P(S_{2m} = e) \cdot P(S_{2n} = e)
 \end{aligned}$$

$\therefore$  The subadditivity lemma shows that  $\lim_{n \rightarrow \infty} \mu^{2^n}(e)^{\frac{1}{2^n}}$  exists. 3

$$(2) \quad \mu^{2^n}(e) \leq \|\lambda(\mu)\|^{2^n} \quad \forall n.$$

$$\|\lambda(\mu)^n f\|_2^2 \quad \begin{matrix} \uparrow \\ \text{Kesten's bound.} \end{matrix}$$

Proof of Kesten's Thm

$\forall \varepsilon > 0, \exists f \in C\Gamma$  (finitely supported function)

$$\text{s.t. } \|f\|_2 = 1$$

$$\langle \lambda(\mu)f, f \rangle \geq \|\lambda(\mu)\| - \varepsilon$$

Spectral Thm

$$\begin{aligned} v_f \in \text{Prob}([-1, 1]) \quad & \langle \lambda(\mu)f, f \rangle = \int_{-1}^1 t d v_f(t) \\ (\langle \lambda(\mu)^{2^n} f, f \rangle)^{\frac{1}{2^n}} &= \left( \int_{-1}^1 t^{2^n} d v_f(t) \right)^{\frac{1}{2^n}} \\ \downarrow n \rightarrow \infty \quad & \\ \max \{ |t| \mid t \in \text{supp}(v_f) \} &\geq \int_{-1}^1 t d v_f(dt) \\ &= \langle \lambda(\mu)f, f \rangle \geq \|\lambda(\mu)\| - \varepsilon \end{aligned}$$

$$\begin{aligned} \langle \lambda(\mu)^{2^n} f, f \rangle &= \sum \underbrace{\mu^{2^n}(x)}_{\leq \mu^{2^n}(e)} f(x^{-1}y) \bar{f}(y) \\ &\leq \mu^{2^n}(e) \end{aligned}$$

$$\limsup_{n \rightarrow \infty} \langle \lambda(\mu)^{2^n} f, f \rangle^{\frac{1}{2^n}} \leq \lim_{n \rightarrow \infty} (\mu^{2^n}(e))^{\frac{1}{2^n}}$$

VI

$$\|\lambda(\mu)\| - \varepsilon.$$

$\varepsilon > 0$  arbitrary, done  $\square$

Thm (Kesten 2) If  $\Gamma$  is a free group on  $k$ -generators,

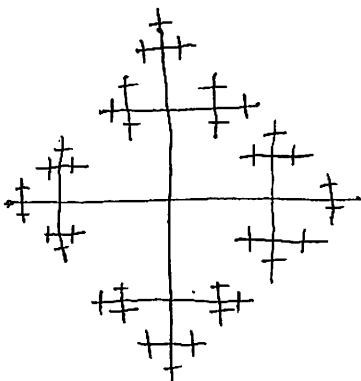
$$\Gamma = \langle s_1, \dots, s_k \rangle$$

$s_k.$

$$\text{Then } \|\lambda(\mu_{s_k})\| = \sqrt{\frac{2k-1}{k}}$$

$$\mu_{s_k} = \frac{1}{2k} \sum_{i=1}^k (\delta_{s_i} + \delta_{s_i^{-1}})$$

indeed By Kesten 1,  $\mu^{2n}(e) = \frac{|\text{loops of length } 2n \text{ around } e|}{|\text{paths of length } 2n \text{ from } e|}$



2k+1 regular tree.

$$8+1=2k$$

$$\cdot \frac{8}{8+1} \rightarrow \text{go right}$$

$$\cdot \frac{8}{8+1} \rightarrow \text{so left}$$

$$|\text{loops around } e| \simeq \binom{2n}{n} \cdot 8^n$$

$$|\text{paths from } e| = (8+1)^{2n}$$

$$\mu^{2n}(e) \approx 2^{2n} \left( \frac{\sqrt{8}}{8+1} \right)^{2n}$$

$$\lambda(\mu) = \frac{2\sqrt{8}}{8+1} = \frac{\sqrt{2k-1}}{k} \quad \square$$

Thm  $\langle k \rangle$

$\Gamma$  is amenable  $\Leftrightarrow \rho(\mu) = 1$  ( $\mu$  adapted and symmetric)

If  $H \triangleleft \Gamma$  then  $H$  is amenable

$$\Leftrightarrow \|\lambda_p(\mu)\| = \|\lambda_{\Gamma/H}(\mu)\|$$

### Remark

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$\|\lambda_{\Gamma}(\mu)\| = 1 \iff \exists$  almost  $\Gamma$ -invariant sequence in  $\ell^2\Gamma$   
i.e. iff  $\Gamma$  is amenable.

$$\left[ \begin{array}{l} \exists f_n \in \ell^2\Gamma \quad \|f_n\|_2 = 1 \\ \|\lambda_{\Gamma}(\mu)f_n\|_2 \rightarrow 1 \\ \rightsquigarrow \|\lambda_{\Gamma}(r)f_n - f_n\|_2 \rightarrow 0 \quad \forall r \in \Gamma \end{array} \right]$$

$$\Gamma \text{ amenable} \iff \mu^{2n}(e) \xrightarrow[n \rightarrow \infty]{Y_{2n}} 1$$

- $\forall H \leq \Gamma \quad \|\lambda_{\Gamma/H}(\mu)\| \geq \|\lambda_{\Gamma}(\mu)\|$

indeed by Kesten 1.

- $H$  is amenable  $\lambda_{\Gamma/H} \xrightarrow{\text{weak containment}} \ell^2\Gamma$  (see Bekka - de la Harpe - Valette)

$\uparrow$   
quasi-regular representation  
relative to  $H$

## II. Tits Alternative

1972

Thm (Tits)

If  $\Gamma$  is a finitely generated linear group.  
Then either  $\Gamma$  has a free subgroup  
*non-abelian*  
or  $\Gamma$  is virtually solvable.

subgroup of some

$GL_n(k)$

$k$ : field,  $n \in \mathbb{N}$

Cor  $\Gamma$ : finitely generated linear group  
( Then  $\Gamma$  is amenable  $\iff \Gamma$  is virtually solvable. )

Y. Shalom 1999.

See Lang, algebra 1.1<sub>k</sub>. → <sup>6</sup>uniquely extends to the algebraic closure

Ping - pong  $\mathbb{k}$ : local field.  $(\mathbb{R}, \mathbb{C}, \mathbb{Q}_p, \text{and finite extensions})$   
Def  $\mathbb{k}(\mathbb{F}_p((t)))$ , and finite extensions,

$\gamma \in GL_d(\mathbb{k})$  is called proximal if it has a unique eigenvalue of maximal modulus.  $|\lambda_1(\gamma)| > |\lambda_2(\gamma)| \geq \dots \geq |\lambda_d(\gamma)|$

A linear rep of  $\Gamma$  is proximal if  $\rho(\Gamma)$  contains a proximal element.

$\rho : \Gamma \rightarrow GL_n(\mathbb{k})$  is I-P if  $\begin{cases} \rho \text{ is proximal} \\ \rho \text{ is strongly irreducible} \end{cases}$

strongly irr = no finite union of proper subspaces  
is preserved by  $\rho(\Gamma)$ .

$(\Leftrightarrow (\overline{\rho(\Gamma)}^{\text{Zariski}})^\circ \text{ is irreducible})$

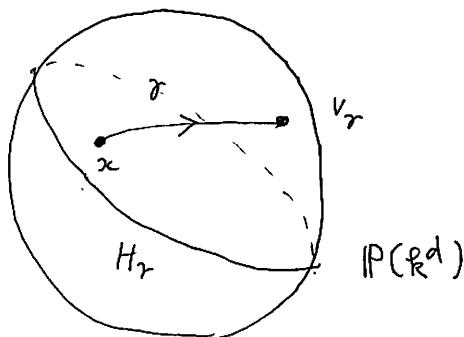
Remark

If  $\gamma \in GL_d(\mathbb{k})$  is proximal, then  $\gamma^n$  ( $n \geq 1$ ) behave like "contractions" in  $\mathbb{P}(\mathbb{k}^d)$

Let  $V_\gamma$  = eigen direction corresponding to the max eigenvalue  
 $\in \mathbb{P}(\mathbb{k}^d)$

$H_\gamma$  = sum of remaining generalized eigenspaces.

Then  $\forall x \notin H_\gamma \quad \gamma^n x \xrightarrow{n \rightarrow \infty} V_\gamma$



## Main Lemma of Tits

If  $\Gamma$  is not virtually solvable, then  $\exists k$  a local field  
 $\exists V$  a  $k$ -vector space ( $\text{finite-dim}_k$ )  
and  $\exists \rho: \Gamma \rightarrow GL(V)$  I-P.

Ideas. Choose  $k$  s.t.  $\Gamma \subseteq GL_d(k)$  unbounded.

- pass to a wedge power  
 $\wedge^m k^d$  then it becomes proximal  
but may be not irr.
- pass to irreducible quotient.

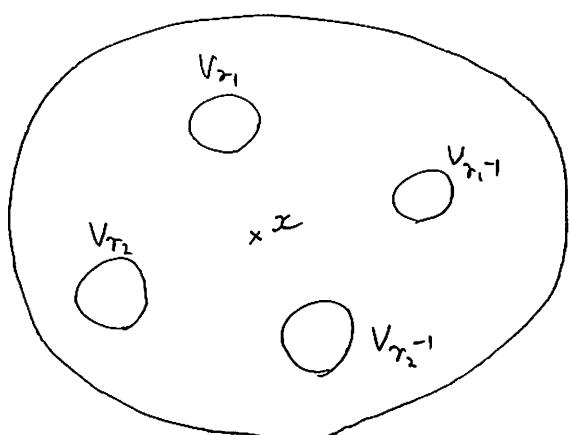
## Ping-Pong Lemma

Suppose  $r_1, r_2 \in GL_d(k)$   $r_1, r_2, r_1^{-1}, r_2^{-1}$  proximal.

and assume  $V_{r_1^{\pm 1}} \notin H_{r_1} \cup H_{r_2^{-1}}$

$V_{r_2^{\pm 1}} \notin H_{r_1} \cup H_{r_2^{-1}}$

Then  $\langle r_1^n, r_2^n \rangle$  is free for  $n$  large.



$$w(r_1^n, r_2^n) = r_1^n r_2^{-n} (r_1^n)^3$$

$$w \cdot x \neq x$$

$$\Downarrow \\ w \neq 1$$

$$\Downarrow \\ \langle r_1^n, r_2^n \rangle \text{ is free.}$$

Q? Can one get an estimate on the spectral radius

$$\|\lambda_{\Gamma}(\mu)\|?$$

$$\mu \in \text{Prob}(\Gamma)$$

Kesten's bound

$$\lambda(\mu_{S_k}) = \frac{\sqrt{2k-1}}{k} < 1$$

$$\begin{matrix} k \rightarrow \infty \\ \rightarrow 0 \end{matrix}$$

Thm (Uniform spectral gap for linear groups '08)

Given  $d, k \geq 1$ .  $\exists \beta = \beta(k, d) < 1$

s.t.  $\forall r_1, \dots, r_k \in \text{GL}_d$  (some field)

- either  $\langle r_1, \dots, r_k \rangle$  is amenable

- or  $\|\lambda_{\Gamma}\left(\frac{1}{2k} \sum_{k=1}^n (\delta_{r_k} + \delta_{r_k^{-1}})\right)\| < \beta$

Deduced from

Thm (Uniform Tits Alternative)

Given  $d, \exists N = N(d) \in \mathbb{N}$

s.t.  $\forall F \subseteq \text{GL}_d$  (some field)  $F = F^{-1}, I \in F$

- either  $\langle F \rangle$  is virtually solvable

- or  $(F)^N$  contains generators of a non-abelian free subgroup.

(cf)

connected to  
Eskin-Mozes-Oh

$$\Gamma \subset F^N$$

$$N = N(\Gamma)$$

books 9.

Random walks on GLd. { Bougerol  
Benoist-Quint

$\mu \in \text{Prob}(\text{GL}_d(\mathbb{R}))$

$S_n = X_1 \cdot \dots \cdot X_n$  iid  $\sim \mu$ .

Guivarcn pos  $\leftarrow ?$

If  $\rho: \Gamma \rightarrow \text{GL}(V)$  is I-P then  $\rho(S_n)$  is proximal with probability 1, as  $n \rightarrow \infty$ .

Thm (Aoun 2011)

IF  $(S_n^1), \dots, (S_n^k)$  are  $\mathbb{R}$ -independent adapted RW on a non-amenable linear group, then  $\langle S_n^1, \dots, S_n^k \rangle$  is free of rank  $k$ .

III Powers

$C^*$ -simplicity of groups.

$\Gamma$ : discrete group is  $C^*$ -simple if  $C_\lambda^*(\Gamma)$  is simple.

$\Leftrightarrow \begin{cases} \forall \pi \text{ unitary repr } \pi \not\sim \lambda_\Gamma \\ \Rightarrow \pi \sim \lambda_\Gamma \end{cases}$

If  $N \triangleleft \Gamma$   $N$  is amenable

$\exists \pi$  such that  $\Gamma$  not  $C^*$ -simple

$$\lambda_{\Gamma/N} \not\sim \lambda_\Gamma$$

$$\text{but } \lambda_{\Gamma/N} \not\sim \lambda_\Gamma \quad \langle \lambda_\Gamma(r) \delta_e, \delta_e \rangle$$

Open Problem

Is this the only obstruction?

Def

$$\text{Rad}(\Gamma) = \langle N \triangleleft G \mid N \text{ amenable} \rangle$$

(- amenable radical)

Powers 1975

Free groups are  $C^*$ -simple.

- Bekka - Cowling - de la Harpe '90s center-free Zariski-dense subgroups of semi-simple algebraic groups.
- Poznansky any linear group w/  $\text{Rad}(\Gamma) = 1$  is  $C^*$ -simple
- Gromov hyperbolic groups, Baumslag - Solitar groups  
Free Burnside groups.  
Osin, Olshanskii.

Thm (Kalantar-Kennedy)

$\Gamma$  is  $C^*$ -simple  $\iff \Gamma$  has a topologically free boundary action.

Powers Lemma  $\Gamma$  discrete group. Assume  $\forall \varepsilon > 0 \quad \forall F \subseteq \Gamma \setminus \{e\}$

$\exists g_1, \dots, \exists g_k \in \Gamma$  s.t.

$$\left\| \lambda_{\Gamma} \left( \frac{1}{k} \sum_{i=1}^k S_{g_i x g_i^{-1}} \right) \right\| < \varepsilon$$

$\forall x \in F$

Then  $\Gamma$  is  $C^*$ -simple (and has a unique trace).

Kesten's Thm  $\rightarrow$  This estimate is satisfied for free groups.