Eberhard Kirchberg and the Development of Quantized Functional Analysis

Edward Effros

UCLA

12 November 2011

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### • Meeting Eberhard for the first time



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- A return to days of yore (classical convexity)

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- Kirchberg's theorem, Kirchberg's problem, and a torrent of new results

- (1988-9?) Asked by Hirzebruch (?) to comment on Eberhard's manuscripts
- (May 29, 1989) Sent a letter of thanks to Eberhard from Institut Mittag-Leffler for copies of his manuscripts.
   "The results are *outstanding* and are certainly the best C\*-algebraic structure theorems to appear in the last few years."
- (June 1989) Visited Eberhard in Heidelberg, and was awed by the stacks of his unpublished notes.

## Concrete: $1 \in V \subseteq C_{\mathbb{R}}(X)$ (or $L^{\infty}_{\mathbb{R}}(X)$ )

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Abstract: ( $V, \leq, 1$ ), V real, Kadison's "order unit space axioms"



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compact convex sets  $K \longleftrightarrow$  function systems V

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 $K \longrightarrow V = \operatorname{Aff}(K) \subseteq C(K)$ 

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morphisms: positive unital mappings, e.g.,  $S(V) = \operatorname{Morph}(V, \mathbb{R})$ 

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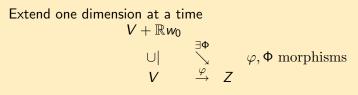
morphisms: positive unital mappings, e.g.,  $S(V) = Morph(V, \mathbb{R})$ Def: Z is *injective* if

$$\begin{array}{cccc} W & & \\ & & \exists \Phi & \\ & \cup & \searrow & \varphi, \Phi \text{ morphisms} \\ V & \xrightarrow{\varphi} & Z \end{array}$$

Extend one dimension at a time  $V + \mathbb{R}w_0$   $\cup | \qquad \stackrel{\exists \Phi}{\searrow} \qquad \varphi$  $V \qquad \stackrel{\varphi}{\rightarrow} \qquad Z$ 

 $\varphi, \Phi$  morphisms

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Let  $\Phi(\alpha w_0 + v) = \alpha z_0 + \varphi(v)$  and find inequalities  $z_0$  must satisfy.



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then there exists an element  $z_0$  with  $c_i \le z_0 \le b_j$ . Equivalently (RDP)  $a_1 + a_2 = b_3 + b_4, a_i, b_j \ge 0 \Rightarrow \exists c_{ij} \ge 0 : a_i = \sum_j c_{ij}, b_j = \sum_i c_{ij}$ .

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### Theorem

If Z is a dual space, Z injective

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### Theorem

If Z is a dual space, Z injective  $\Leftrightarrow$  Z is a lattice  $\Leftrightarrow$  Z =  $L^{\infty}(X)$ .

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 normed spaces in duality  $\langle, \rangle : V \times V' \to \mathbb{R}$   
cone  $C \subseteq V$ , dual cone  $C^o = \{v' : \langle v, v' \rangle \ge 0\} \subseteq V'$ ,  
cone  $\Gamma \subseteq V'$  dual cone  $\Gamma^o \subseteq V$   
 $\overline{C} = C^{oo}$  suitable closure

ordered vector space:  $(V, V_+)$  vector space with distinguished cone  $V_+$ .

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 $\overline{C} = C^{oo}$  suitable closure  
ordered vector space:  $(V, V_+)$  vector space with distinguished cone  $V_+$ .  
 $V_+ \otimes W_+$  cone generated by  $v \otimes w : v \in V_+, w \in W_+$ 

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 $V, W \text{ function systems} \Rightarrow (V \otimes_{max} W)^*_+ = Pos(V, W^*)$ 

# Function systems

The classical square:

$$V_{\Box} = Aff(\Box) = \{a \in \mathbb{R}^4 : a_1 + a_2 = a_3 + a_4\}$$

(consider the affine Dirichlet problem on the extreme points of  $\frac{1}{3}\Box_2^4$ ).

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Theorem (Namioka, Phelps1969)

Suppose that Z is a function system and K = S(Z). Then the following are equivalent:

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- K is a Choquet simplex
- **2** *Z* satisfies the Riesz decomposition property

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- **③** Z is nuclear, i.e.,  $Z \otimes_{\min} V = Z \otimes_{\max} V$  for all function systems V

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- **3** Z is nuclear, i.e.,  $Z \otimes_{\min} V = Z \otimes_{\max} V$  for all function systems V
- Z<sup>\*\*</sup> is injective.

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Concrete Definition:  $I \in \mathcal{V} = \mathcal{V}^* \subseteq \mathcal{B}(H)$ ,

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$$\varphi_n: M_n(\mathcal{V}) \to M_n(\mathcal{W}): [v_{ij}] \mapsto [\varphi(v_{i,j})]$$

Definition:  $\varphi : \mathcal{V} \to \mathcal{W}$  is completely positive if  $\varphi_n \geq 0$  for all n.

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Definition:  $\varphi : \mathcal{V} \to \mathcal{W}$  is *completely positive* if  $\varphi_n \ge 0$  for all *n*. Example: transpose map  $\varphi$  on  $\mathbb{M}_2$  satisfies  $\varphi \ge 0$  but  $\varphi_2 \ge 0$ 

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*Morphisms* completely positive unital mappings  $\mathcal{V} \to \mathcal{W}$ .

Abstract Definition (Choi,E)  $(\mathcal{V}, *, M_n(\mathcal{V})_+, I)$ ,  $M_n(\mathcal{V})_{sa}$  is a function space, etc.  $\Rightarrow$  the cones  $M_n(\mathcal{V})_+$  form a matrix convex family.

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## Arveson-Wittstock-Hahn-Banach Theorem

Def: An operator system  $\mathcal{Z}$  is *injective* if

$$\begin{array}{ccc} \mathcal{W} & \\ & \exists \Phi & \\ \cup | & \searrow & \varphi, \Phi \text{ morphisms} \\ \mathcal{V} & \stackrel{\varphi}{\to} & \mathcal{Z} \end{array}$$

### Theorem (Connes, et al)

A von Neumann algebra  $\mathcal{Z}$  is injective  $\Leftrightarrow \mathcal{Z}$  is a hyperfinite von Neumann algebra.

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#### Theorem (Connes, et al)

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Key Question: Why is 
$$\mathcal{Z} = \mathbb{M}_n$$
 injective?

Does  $\mathcal{Z} = \mathbb{M}_n$  satisfy a matrix convex analogue of the lattice property, or of the Riesz interpolation property?

Edward Effros (UCLA)

#### • It suffices to consider finite dimensional $\mathcal{Z}, \mathcal{V}$ and $\mathcal{W}$ .

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Def. A *floppy operator system* is a finite dimensional sloppy operator system.

Lemma:  $\mathcal{V}$  floppy  $\Rightarrow \mathcal{V}^*$  is floppy.

• We only need norms, not matrix norms on  $\mathcal{V}\otimes\mathcal{W}.$ 

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## Tensor products of floppy operator systems

 $\mathcal{V}\otimes\mathcal{W}$  and  $\mathcal{V}^*\otimes\mathcal{W}^*$  are in duality.

Given  $v \in M_n(\mathcal{V})$  and  $w \in M_n(\mathcal{W})$ ,

$$\mathsf{v} imes \mathsf{w} = \sum \mathsf{v}_{ij} \otimes \mathsf{w}_{ij} \in \mathcal{V} \otimes \mathcal{W}$$

 $\mathcal{V}_+ \tilde{\otimes} \mathcal{W}_+ = \{ v \times w : v \in M_n(V)_+, w \in M_n(W)_+ \}$ 

$$P_m(\mathcal{V} \otimes \mathcal{W}) = (\mathcal{V}_+^* \tilde{\otimes} \mathcal{W}_+^*)^o$$
  
$$P_M(\mathcal{V} \otimes \mathcal{W}) = (V_+^* \tilde{\otimes} \mathcal{W}_+)^{oo}$$

Write  $\mathcal{V} \otimes_m \mathcal{W}$  and  $\mathcal{V} \otimes_M \mathcal{W}$  for the corresponding ordered spaces.

$$(\mathcal{V} \otimes_{\mathcal{M}} \mathcal{W})^* = \mathcal{V}^* \otimes_{m} \mathcal{W}^* = L_{cp}(\mathcal{V}, \mathcal{W}^*) (\mathcal{V} \otimes_{m} \mathcal{W})^* \simeq \mathcal{V}^* \otimes_{\mathcal{M}} \mathcal{W}^* = L_{nuc}(\mathcal{V}, \mathcal{W}^*)$$

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## A non-commutative square

Consider the matrix system

 $N_{2n} = \{ v \in M_{2n} : v_{1,1} + \ldots + v_{n,n} = v_{n+1,n+1} + \ldots + v_{2n,2n} \}$ 



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 $N_2$  is the non-commutative analogue of

$$V_{\Box} = \{ a \in \mathbb{R}^4 : a_1 + a_2 = a_3 + a_4 \}.$$

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 $N_2$  is the non-commutative analogue of

$$V_{\Box} = \{ a \in \mathbb{R}^4 : a_1 + a_2 = a_3 + a_4 \}.$$

Theorem (Choi-Effros, Effros (1977))

If R is a von Neumann algebra, then the following are equivalent:

- R is injective
- $N_{2n} \otimes_M R = N_{2n} \otimes_m R$  for all n
- $N_{2n} \otimes_M R_* = N_{2n} \otimes_m R_*$  for all n.

#### Theorem (Choi-Effros)

Although it is finite-dimensional,  $N_2^*$  is not completely order isomorphic to a matrix system.

• Duals are in a different category\*

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- By contrast, function spaces (i.e., normed spaces) and operator spaces (quantized norm spaces) are closed under these operations, and there is a VAST literature for normed (or Banach) spaces just waiting for quantization.

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- By contrast, function spaces (i.e., normed spaces) and operator spaces (quantized norm spaces) are closed under these operations, and there is a VAST literature for normed (or Banach) spaces just waiting for quantization.
- Is there a concrete realization for the non-commutative square?
- \*In fact they may often be regarded as "local function spaces" used by E
- Lance to characterize semidiscretness

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# The quantized square, quantized polytopes and Kirchberg's tensor product theorem

Theorem (The quantized square – Farenick-Paulsen (2011))

$$N_2^* \cong \mathbb{C}z + \mathbb{C}1 + \mathbb{C}z^{-1} \subseteq C(\mathbb{T}).$$

Let  $\mathcal{E}_n$  be the diagonal matrices  $D \in \mathbb{M}_n$  such that  $\operatorname{trace}(D) = 0$  Let  $w_1, \ldots, w_{n-1}$  be the generators of  $\mathbb{F}_{n-1}$ , and let  $\mathcal{W}_n \subseteq C^*(\mathbb{F}_{n-1})$  be the operator system generated by  $w_i w_i^*$ .

Theorem (Hints of quantized pohyhedra – Farenick-Paulsen (2011))

$$\mathcal{E}_n^* \cong \mathcal{W}_n \subseteq C^*(\mathbb{F}_{n-1}).$$

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## Quotients and the Kirchberg tensor product theorem.

Key Observation: Function systems and operator systems have well-behaved quotients!

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Key Observation: Function systems and operator systems have well-behaved quotients!

See, e.g., Kavruk, Paulsen, Todorov, and Tomforde, Arkiv. Examples:

 $\mathcal{W}_n \cong \mathbb{M}_n / \mathcal{J}_n$ 

where  $\mathcal{J}_n = \{ \operatorname{diagonal} D : \operatorname{trace} D = 0 \}$ 

• Let  $S_{n-1} = span\{1, u_j, u_j^*\} \subseteq C^*(\mathbb{F}_{n-1})$ , and  $\mathcal{T}_n$  be the tridiagonal matrices. Then

$$\mathcal{S}_{n-1}\cong \mathcal{T}_n/\mathcal{J}_n$$

 The fact that duals and quotients of matrix spaces lead to subspaces of C\*(F<sub>n-1</sub>) provides an elegant proof of the fundamental theorem of Kirchberg:

$$C^*(\mathbb{F}_{n-1}) \otimes_{\min} B(H) = C^*(\mathbb{F}_{n-1}) \otimes_{\max} B(H)$$

#### Theorem (Farenick, Kavruk, Paulsen)

If  $\mathcal{A}$  is a unital  $C^*$ -algebra on a Hilbert space H, then it has the WEP if and only if it has the following "relative" property: If for any  $p \in \mathbb{N}$  and  $A, B, C \in M_p(B(H))$  for which A + B + C = I and

$$\begin{array}{cccc} A & X_1 & 0 \\ X_1^* & B & X_2 \\ 0 & X_2 & C \end{array}$$

is strictly positive in  $M_{3p}(B(H))$ , then there also exist  $\tilde{A}, \tilde{B}, \tilde{C} \in M_p(\mathcal{A})$  with the same properties.

### Theorem (Kavruk)

The Smith Ward problem\* for matrix numerical ranges has a positive solution if and only if every three-dimensional operator system is exact.

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### Theorem (Kavruk)

The Smith Ward problem\* for matrix numerical ranges has a positive solution if and only if every three-dimensional operator system is exact.

\*Let  $q: B(H) \to B(H)/K(H)$ . Then does there exist a single  $K \in K(H)$  such that  $T \in B(H) \Rightarrow w_n(T+K) = w_n(q(T))$  for all *n*??

Operator system equivalents of the Kirchberg conjecture (= Connes conjecture)  $C^*(\mathbb{F}_n) \otimes_{min} C^*(\mathbb{F}_n) = C^*(\mathbb{F}_n) \otimes_{max} C^*(\mathbb{F}_n)$ ???

AND LOTS MORE!! -SEE ARXIV.

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