

# DUALIZABLE CATEGORIES AND LOCALIZING MOTIVES

ALEXANDER EFIMOV

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## 1. TALK 1

Consider a qcqs scheme  $X$ . There are two categories associated with  $X$ : the category  $\text{Perf}(X) = D_{\text{qc}}(X)^\omega$  of perfect complexes and  $D_{\text{qc}}(X) = \text{Ind}(\text{Perf}(X))$ .

There are two invariants we might consider:

$$\begin{aligned} K(X) &= K(\text{Perf}(X)) \\ \text{HH}(X) &= \text{HH}(\text{Perf}(X)), \\ K(D_{\text{qc}}(X)) &= 0. \end{aligned}$$

The idea is to understand  $K(X)$  in terms of  $D_{\text{qc}}(X)$ .

**1.1. Compactly assembled categories.** Compactly assembled categories were first developed by Lurie, Joyal, Johnstone etc.

If  $\mathcal{C} = \text{Ind}(\mathcal{A})$ , then for all  $x \in \mathcal{C}$  we have an ind-system  $(x_i)_i$  with  $x_i \in \mathcal{C}^\omega$  such that  $x = \varinjlim_i x_i$ .

Observe that the assignment  $x \mapsto \varinjlim_i x_i$  is gives a well-defined functor

$$\widehat{Y}: \mathcal{C} \rightarrow \text{Ind}(\mathcal{C}),$$

which is left adjoint to  $\text{colim}: \text{Ind}(\mathcal{C}) \rightarrow \mathcal{C}$ .

**Definition 1.1.** Let  $\mathcal{C}$  be an accessible  $\infty$ -category with filtered colimits. Then  $\mathcal{C}$  is *compactly assembled* if there exists  $\widehat{Y}: \mathcal{C} \rightarrow \text{Ind}(\mathcal{C})$  which is left adjoint to  $\text{colim}$ .

**Example 1.2.** (1)  $\text{Ind}(\mathcal{A})$  is compactly assembled.

(2)  $(\mathbb{R} \cup \{+\infty\}, \leq)$  is compactly assembled with  $\widehat{Y}(a) = \varinjlim_{b < a} b$ .

- (3) Let  $X$  be a locally compact Hausdorff space. Then  $\text{Open}(X)$  is compactly assembled with  $\widehat{Y}(U) = \varinjlim_{V \in U} V$ . Also,  $\mathcal{K}(X)^{\text{op}}$  is compactly assembled with  $\widehat{Y}(Z) = \varinjlim_{Z' \ni Z} Z'$ .
- (4) **Exercise:** Let  $\text{Seminorm}_1$  be the category of  $\mathbb{R}$ -vector spaces with a seminorm  $\|\cdot\|$  and contractible maps (i.e.,  $\|f(x)\| \leq \|x\|$ ). If  $\dim V < \infty$  and  $(V, \|\cdot\|)$  is normed, then

$$\widehat{Y}(V, \|\cdot\|) = \varinjlim_{c > 1} (V, c\|\cdot\|)$$

and  $\widehat{Y}(\mathbb{R}, 0) = \varinjlim_{\varepsilon > 0} (\mathbb{R}, \varepsilon|\cdot|)$ .

- (5)  $\text{Shv}(X, \underline{\mathcal{C}})$  is compactly assembled, where  $X$  is a locally compact Hausdorff space and  $\underline{\mathcal{C}}$  is a presheaf of dualizable categories.
- (6) The categories  $\text{Nuc}(R_{\widehat{\mathcal{F}}})$  and  $\widetilde{\text{Nuc}}(R_{\widehat{\mathcal{F}}})$  of nuclear modules are compactly assembled, and  $\text{Nuc}(R_{\widehat{\mathcal{F}}})^{\omega} = \text{Perf}(R_{\widehat{\mathcal{F}}})$ .
- (7) Let  $R$  be an associative ring and  $J \subseteq R$  an ideal which is flat as a right module and satisfying  $J^2 = J$ . Put

$$\text{Mod}_a(R) = \text{Mod}(R) / \text{Mod}(R/J)$$

Then  $D(\text{Mod}_a(R))$  is compactly assembled.

We introduce the following notation:

- $\text{Cat}^{\text{idem}}$  is the category of small idempotent complete categories,
- $\text{Cat}^{\text{perf}}$  is the category of small idempotent complete stable categories, and
- $\text{CompAss}$  is the category of compactly assembled categories, where the 1-morphisms are the strongly continuous functors, i.e.,  $F: \mathcal{C} \rightarrow \mathcal{D}$  such that  $F$  commutes with filtered colimits and the diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ \widehat{Y} \downarrow & & \downarrow \widehat{Y} \\ \text{Ind}(\mathcal{C}) & \xrightarrow{\text{Ind}(F)} & \text{Ind}(\mathcal{D}). \end{array}$$

- Denote  $\text{Cat}_{\text{st}}^{\text{dual}} \subseteq \text{CompAss}$  the subcategory of compactly assembled stable categories with strongly continuous exact functors. Note that both  $\text{Cat}_{\text{st}}^{\text{dual}}$  and  $\text{CompAss}$  are cocomplete.

**Proposition 1.3** (Lurie). (1)  $\text{Cat}^{\text{idem}}$  is generated under colimits by  $[1]$ , which is compact.  
(2)  $\text{Cat}^{\text{perf}}$  is generated under colimits by  $\text{Sp}^{\omega}$ , which is compact.

*Sketch.* Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  such that  $\text{Fun}([1], \mathcal{C}) \xrightarrow{\sim} \text{Fun}([1], \mathcal{D})$ . Then  $F$  is an equivalence.  $\square$

Efimov:Urysohn

**Theorem 1.4** (Urysohn's lemma). (1)  $\text{CompAss}$  is generated under colimits by  $\mathbb{R} \cup \{\infty\}$ , which is  $\omega_1$ -compact.

(2)  $\text{Cat}_{\text{st}}^{\text{dual}}$  is generated under colimits by  $\text{Shv}_{\mathbb{R} \times \mathbb{R}_{\geq 0}}(\mathbb{R}; \text{Sp})$ .

In particular,  $\text{CompAss}$  and  $\text{Cat}_{\text{st}}^{\text{dual}}$  are  $\omega_1$ -presentable.<sup>1</sup>

**Remark 1.5.** The usual Urysohn's lemma for compact Hausdorff spaces says that  $\text{CompHaus}^{\text{op}}$  is generated under colimits by  $[0, 1]$ , which is  $\omega_1$ -compact.

<sup>1</sup>The last statement is due to Ramzi.

**Fact 1.6.** We have an equivalence  $\text{CompAss} \xrightarrow{\sim} \text{Cat}^{\text{dual}}$ , where  $\text{Cat}^{\text{dual}}$  is the category of dualizable objects in  $\text{Pr}^L$  whose 1-morphisms are those functors  $F: \mathcal{C} \rightarrow \mathcal{D}$  for which the right adjoint  $F^R$  is colimit-preserving. The equivalence takes

$$\begin{aligned} \text{Ind}(\mathcal{A}) &\leftrightarrow \text{PSh}(\mathcal{A}; \text{Ani}), \\ \mathcal{C} &\mapsto \left\{ F: \mathcal{C}^{\text{op}} \rightarrow \text{Ani} \mid \begin{array}{l} F \text{ commutes with} \\ \text{cofiltered limits} \end{array} \right\}, \\ \left\{ G: \mathcal{D}^{\vee} \rightarrow \text{Ani} \mid \begin{array}{l} G \text{ is colimit preserving} \\ \text{and left exact} \end{array} \right\} &\leftarrow \mathcal{D}. \end{aligned}$$

Why is  $\text{CompAss}$  generated by  $\mathbb{R} \cup \{\infty\}$ ? It suffices to show that if  $F: \mathcal{C} \rightarrow \mathcal{D}$  is strongly continuous such that

$$\text{Fun}^{\text{str.cont.}}(\mathbb{R} \cup \{\infty\}, \mathcal{C}) \xrightarrow{\sim} \text{Fun}^{\text{str.cont.}}(\mathbb{R} \cup \{\infty\}, \mathcal{D}) \simeq,$$

then  $F$  is an equivalence.

**Proposition 1.7.** *Any compactly assembled category is  $\omega_1$ -accessible.*

*Sketch.* We have  $\widehat{Y}(x) = \varinjlim_{i \in I} x_i$ , where  $I$  is a directed poset. Then  $x \simeq \varinjlim_{f: \mathbb{N} \rightarrow I} \varinjlim_n x_{f(n)}$ , where  $x_{f(n)}$  is  $\omega_1$ -compact.  $\square$

**Definition 1.8.** In a compactly assembled category, a map  $f: x \rightarrow y$  is compact if it factors as

$$\begin{array}{ccc} Y(x) & \xrightarrow{Y(f)} & Y(y) \\ & \searrow & \uparrow \\ & & \widehat{Y}(y). \end{array}$$

**Lemma 1.9.** *If  $\mathcal{C}$  is compactly assembled, and  $f: x \rightarrow y$  in  $\mathcal{C}$  is compact, then  $f = g \circ h$  such that  $g, h$  are compact.*

*Sketch.* Write  $\widehat{Y}(y) = \varinjlim_i \varinjlim_j y_i$ . Then  $\widehat{Y}(y) = \varinjlim_i \widehat{Y}(y_i)$ , so we have a factorization

$$\begin{array}{ccc} x & \xrightarrow{f} & y \\ & \searrow g & \nearrow h \\ & & y_i, \end{array}$$

where  $g$  and  $h$  are compact.  $\square$

**Lemma 1.10.** *The functor*

$$\begin{aligned} \text{Fun}^{\text{str.cont.}}(\mathbb{R} \cup \{\infty\}, \mathcal{C}) &\rightarrow \mathcal{C}^{\omega_1}, \\ G &\mapsto G(\infty) \end{aligned}$$

*is essentially surjective.*

*Proof.* Let  $x \in \mathcal{C}^{\omega_1}$ . Then  $\widehat{Y}(x) = \varprojlim (x_0 \rightarrow x_1 \rightarrow \dots)$  such that each  $x_n \rightarrow x_{n+1}$  is compact. Define  $G_0: \mathbb{Z} \cup \{\infty\} \rightarrow \mathcal{C}$  by

$$G(m) = \begin{cases} x, & \text{if } h = \infty, \\ x_m, & \text{if } m \geq 0, \\ 0, & \text{if } m < 0. \end{cases}$$

Inductively, define compatible  $G_n: \frac{1}{2^n} \mathbb{Z} \cup \{\infty\} \rightarrow \mathcal{C}$  such that all transition maps are compact. We obtain  $G: \mathbb{Z}[1/2] \cup \{\infty\} \rightarrow \mathcal{C}$  and put

$$G': \mathbb{Z}[1/2] \cup \{\infty\} \rightarrow \mathcal{C}, \quad G'(r) = \varinjlim_{b < r} G(b).$$

Take a left Kan extension of  $G'$  to  $\mathbb{R} \cup \{\infty\}$  to get a strongly continuous functor  $H: \mathbb{R} \cup \{\infty\} \rightarrow \mathcal{C}$  such that  $H(\infty) = x$ .  $\square$

For  $F$  as above, we already know that  $F^{\omega_1}: \mathcal{C}^{\omega_1} \rightarrow \mathcal{D}^{\omega_1}$  is essentially surjective. In order to finish the proof of Theorem 1.4.(1), we need to show that it is fully faithful. Consider the pullback diagram

$$\begin{array}{ccc} \varprojlim_{a > 0} \text{Map}_{\mathcal{C}}(G(0), H(a)) & \longrightarrow & \text{Fun}^{\text{str.cont.}}(\mathbb{R} \cup \{\infty\}, \mathcal{C})^{\simeq} \\ \downarrow & & \downarrow \\ * & \xrightarrow{(G, H)} & \text{Fun}^{\text{str.cont.}}(\mathbb{R}_{\leq 0}, \mathcal{C})^{\simeq} \times \text{Fun}^{\text{str.cont.}}(\mathbb{R}_{> 0} \cup \{\infty\}, \mathcal{C})^{\simeq}. \end{array}$$

It follows that  $\varprojlim_{a > 0} \text{Map}_{\mathcal{C}}(G(0), H(a)) \xrightarrow{\sim} \varprojlim_{a > 0} \text{Map}_{\mathcal{D}}(F(G(0)), F(H(a)))$ .

Given strongly continuous functors  $G, H: \mathbb{R} \cup \{\infty\} \rightarrow \mathcal{C}$ , we get

$$\begin{aligned} \text{Map}_{\mathcal{C}}(G(\infty), H(\infty)) &= \varprojlim_{a < \infty} \varinjlim_{b < \infty} \text{Map}_{\mathcal{C}}(G(a), H(b)) \\ &= \varprojlim_{a < \infty} \varinjlim_{b < \infty} \varprojlim_{c > b} \text{Map}_{\mathcal{C}}(G(a), H(c)) \\ &\xrightarrow{\sim} \varprojlim_{a < \infty} \varinjlim_{b < \infty} \varprojlim_{c > b} \text{Map}_{\mathcal{D}}(F(G(a)), F(H(c))) \\ &\xrightarrow{\sim} \dots \xrightarrow{\sim} \text{Map}_{\mathcal{D}}(F(G(\infty)), F(H(\infty))). \end{aligned}$$

Hence,  $F^{\omega_1}$  is fully faithful.

The functor  $\iota: \text{Cat}_{\text{st}}^{\text{dual}} \rightarrow \text{CompAss}$  is conservative and commutes with filtered colimits. It has a left adjoint

$$\begin{aligned} \text{Stab}^{\text{cont}}: \text{CompAss} &\rightarrow \text{Cat}_{\text{st}}^{\text{dual}}, \\ \mathcal{C} &\mapsto \{F: \mathcal{C}^{\text{op}} \rightarrow \text{Sp} \mid F \text{ commutes with filtered colimits}\}. \end{aligned}$$

It follows that  $\text{Stab}^{\text{cont}}(\mathbb{R} \cup \{\infty\}) = \text{Shv}_{\mathbb{R} \times \mathbb{R}_{\geq 0}}(\mathbb{R}, \text{Sp})$  generates  $\text{Cat}_{\text{st}}^{\text{dual}}$

**Proposition 1.11.** *For a sheaf  $\mathcal{F} \in \text{Shv}(\mathbb{R}, \text{Sp})$ , the following are equivalent:*

- (1) *The singular support (microsupport)  $\text{SS}(\mathcal{F})$  is a subset of  $\mathbb{R} \times \mathbb{R}_{\geq 0}$ .*
- (2) *For all  $a < b$ ,  $\mathcal{F}((-\infty, b)) \xrightarrow{\sim} \mathcal{F}((a, b))$ .*

**Corollary 1.12.** *We have equivalences*

$$\begin{aligned} \mathrm{Shv}_{\mathbb{R} \times \mathbb{R}_{\geq 0}}(\mathbb{R}, \mathrm{Sp}) &\simeq \left\{ F: (\mathbb{R}_{\leq})^{\mathrm{op}} \rightarrow \mathrm{Sp} \mid \forall a \in \mathbb{R}, F(a) \xrightarrow{\sim} \varinjlim_{b < a} F(b) \right\} \\ &\simeq \mathrm{Stab}^{\mathrm{cont}}(\mathbb{R} \cup \{\infty\}). \end{aligned}$$

## 2. TALK 2

**Definition 2.1.** Let  $\mathcal{C}$  be a presentable stable category. Then  $\mathcal{C}$  is called *flat* if  $\mathcal{C} \otimes -: \mathrm{Pr}_{\mathrm{st}}^L \rightarrow \mathrm{Pr}_{\mathrm{st}}^L$  preserves fully faithful functors.

**Question:** Is every  $\mathcal{C} \in \mathrm{Pr}_{\mathrm{st}}^L$  flat?

**Efimov:flat**

**Theorem 2.2.**  *$\mathcal{C}$  is flat if and only if  $\mathcal{C}$  is dualizable.*

*Proof.* If  $\mathcal{C}$  is dualizable, then  $\mathcal{C}$  is obviously flat, since  $\mathcal{C} \otimes - = \mathrm{Fun}^L(\mathcal{C}^\vee, -)$ . The other direction will be proved below.  $\square$

**Notation.** Let  $\mathrm{Pr}_{\mathrm{st}}^{\mathrm{acc}}$  be the  $(\infty, 2)$ -category of presentable stable categories and accessible exact functors.

**Proposition 2.3.** (a) *For any  $\mathcal{C} \in \mathrm{Pr}_{\mathrm{st}}^L$ , there exists a natural oplax 2-functor  $\mathcal{C} \otimes -: \mathrm{Pr}_{\mathrm{st}}^{\mathrm{acc}} \rightarrow \mathrm{Pr}_{\mathrm{st}}^{\mathrm{acc}}$  (meaning that there are 2-morphisms  $\mathcal{C} \otimes (F \circ G) \rightarrow (\mathcal{C} \otimes F) \circ (\mathcal{C} \otimes G)$  which need not be invertible) which extends the usual 2-functor  $\mathcal{C} \otimes -: \mathrm{Pr}_{\mathrm{st}}^L \rightarrow \mathrm{Pr}_{\mathrm{st}}^L$ .*  
 (b) *If  $\mathcal{C}$  is flat, then  $\mathcal{C} \otimes -$  is an honest 2-functor.*

Assume that  $\mathcal{C}$  is  $\kappa$ -presentable and  $F: \mathcal{D} \rightarrow \mathcal{E}$  is an accessible functor. Then

$$\mathcal{C} \otimes F: \mathcal{C} \otimes \mathcal{D} \simeq \mathrm{Fun}^{\kappa\text{-lex}}((\mathcal{C}^\kappa)^{\mathrm{op}}, \mathcal{D}) \xrightarrow{F \circ -} \mathrm{Fun}((\mathcal{C}^\kappa)^{\mathrm{op}}, \mathcal{E}) \rightarrow \mathrm{Fun}^{\kappa\text{-lex}}((\mathcal{C}^\kappa)^{\mathrm{op}}, \mathcal{E}) \cong \mathcal{C} \otimes \mathcal{E},$$

where the second map is given by the left adjoint to the inclusion.

**Observation:** For presentable stable  $\mathcal{D}, \mathcal{E}$ , we have an equivalence of categories

$$\begin{aligned} \mathrm{Fun}^{\mathrm{acc}}(\mathcal{D}, \mathcal{E}) \simeq \mathrm{Corr}(\mathcal{D}, \mathcal{E}) &= \left\{ (\mathcal{T}, i_0, i_1) \mid \begin{array}{l} i_0: \mathcal{D} \rightarrow \mathcal{T} \text{ and } i_1: \mathcal{E} \rightarrow \mathcal{T} \text{ are fully faithful} \\ \text{continuous, and } \mathcal{T} = \langle i_1(\mathcal{E}), i_0(\mathcal{D}) \rangle \end{array} \right\} \\ i_1^R \circ i_0 &\leftarrow (\mathcal{T}, i_0, i_1), \\ F &\mapsto \mathcal{E} \oplus_F \mathcal{D} = \{(x \in \mathcal{E}, y \in \mathcal{D}, x \rightarrow F(y))\} \quad \text{the oplax limit.} \end{aligned}$$

We obtain a functor

$$\begin{aligned} \mathcal{C} \otimes -: \mathrm{Corr}(\mathcal{D}, \mathcal{E}) &\rightarrow \mathrm{Corr}(\mathcal{C} \otimes \mathcal{D}, \mathcal{C} \otimes \mathcal{E}), \\ (\mathcal{T}, i_0, i_1) &\mapsto (\mathcal{C} \otimes \mathcal{T}, \mathcal{C} \otimes i_0, \mathcal{C} \otimes i_1). \end{aligned}$$

We want to understand the composition

$$\begin{aligned} \mathrm{Corr}(\mathcal{D}_1, \mathcal{D}_2) \times \mathrm{Corr}(\mathcal{D}_0, \mathcal{D}_1) &\rightarrow \mathrm{Corr}(\mathcal{D}_0, \mathcal{D}_2), \\ (\mathcal{T}_{12}, \mathcal{T}_{01}) &\mapsto \mathcal{T}_{02} \subseteq \mathcal{T}_{012} = \mathcal{T}_{01} \sqcup_{\mathcal{D}_1} \mathcal{T}_{12}, \end{aligned}$$

where  $\mathcal{T}_{02}$  is generated by the images of  $\mathcal{D}_0$  and  $\mathcal{D}_2$ .

Note that

$$\begin{array}{ccc} (\mathcal{C} \otimes \mathcal{T}_{01}) \sqcup_{\mathcal{C} \otimes \mathcal{D}_1} (\mathcal{C} \otimes \mathcal{T}_{12}) & \xrightarrow{\sim} & \mathcal{C} \otimes (\mathcal{T}_{01} \sqcup_{\mathcal{D}_1} \mathcal{T}_{12}) \\ & & \uparrow \\ \mathcal{C} \otimes \mathcal{T}_{02} & \longrightarrow & (\mathcal{C} \otimes \mathcal{T}_{01}) \circ (\mathcal{C} \otimes \mathcal{T}_{12}). \end{array}$$

The bottom map need not be an equivalence in general, because  $\mathcal{C} \otimes \mathcal{T}_{02} \rightarrow \mathcal{C} \otimes \mathcal{T}_{012}$  is not fully faithful in general. But if  $\mathcal{C}$  is flat, then it is fully faithful, hence we obtain the functor  $\mathcal{C} \otimes - : \text{Pr}_{\text{st}}^{\text{acc}} \rightarrow \text{Pr}_{\text{st}}^{\text{acc}}$ .

We have a fibration  $\text{Corr} \rightarrow \Delta$  given by

$$\begin{aligned} \text{Corr}_n &= \bigsqcup_{(\mathcal{D}_0, \dots, \mathcal{D}_n)} \text{Corr}(\mathcal{D}_0, \mathcal{D}_1, \dots, \mathcal{D}_n), \\ \text{Corr}(\mathcal{D}_0, \mathcal{D}_1, \dots, \mathcal{D}_n) &= \left\{ (\mathcal{T}, i_0, \dots, i_n) \left| \begin{array}{l} i_k: \mathcal{D}_k \rightarrow \mathcal{T} \text{ are fully faithful, continuous} \\ \mathcal{T} = \langle i_n(\mathcal{D}_n), \dots, i_0(\mathcal{D}_0) \rangle \\ \text{If } \mathcal{T}_{k,k+1} \subset \mathcal{T} \text{ is generated by } \mathcal{D}_k, \mathcal{D}_{k+1}, \text{ then} \\ \mathcal{T} \simeq \mathcal{T}_{01} \sqcup_{\mathcal{D}_1} \mathcal{T}_{12} \sqcup_{\mathcal{D}_2} \dots \sqcup_{\mathcal{D}_n} \mathcal{T}_{n-1,n} \end{array} \right. \right\}. \end{aligned}$$

We have a functor  $\mathcal{C} \otimes - : \text{Corr}(\mathcal{D}_0, \mathcal{D}_1, \dots, \mathcal{D}_n) \rightarrow \text{Corr}(\mathcal{C} \otimes \mathcal{D}_0, \dots, \mathcal{C} \otimes \mathcal{D}_n)$  and hence we get a map

$$\mathcal{C} \otimes - : \text{Corr} \rightarrow \text{Corr}$$

of fibrations over  $\Delta$ .

*Proof of Theorem 2.2.* We now prove that if  $\mathcal{C}$  is flat, then  $\mathcal{C}$  is dualizable. Recall that  $\mathcal{C}$  is dualizable if and only if (AB6) holds in  $\mathcal{C}$ : that is, for all directed posets  $J_i, i \in I$ , and functors  $J_i \rightarrow \mathcal{C}, j_i \mapsto x_{j_i}$ , then the map

$$\lim_{(j_i)_{i \in \prod_i J_i}} \prod_i x_{j_i} \xrightarrow{\sim} \prod_i \lim_{j_i} x_{j_i}.$$

We have a commutative square

$$\begin{array}{ccc} \prod_i \text{Fun}(J_i, \mathcal{C}) & \xrightarrow{T = \prod_i \text{colim}} & \prod_i \mathcal{C} \\ \uparrow U & & \uparrow V = \text{diag} \\ \text{Fun}(\prod_i J_i, \mathcal{C}) & \xrightarrow{W = \text{colim}} & \mathcal{C} \end{array}$$

{Efimov:AB6} (1)

where  $U$  is the left Kan extension. Then (AB6) means that the dual Beck–Chevalley condition holds, i.e.,  $W \circ U^R \xrightarrow{\sim} V^R \circ T$ .

Observe that (1)  $\simeq \mathcal{C} \otimes$  (same square for  $\text{Sp}$ ). Using that  $\mathcal{C} \otimes -$  is a 2-functor on  $\text{Pr}_{\text{st}}^{\text{acc}}$  and using (AB6) for  $\text{Sp}$ , then it follows that (AB6) holds for  $\mathcal{C}$ .  $\square$

Suppose we have a short exact sequence

$$0 \rightarrow \text{Ind}(\mathcal{A}) \xrightarrow{F} \mathcal{C} \xrightarrow{G} \text{Ind}(\mathcal{B}) \rightarrow 0$$

with  $F$  and  $G$  strongly continuous.

**Question:** Is it true that  $\mathcal{C}$  is dualizable?

Consider the sequence of adjunctions  $G \dashv G^R \dashv G^{RR}$ . We have

$$\mathcal{C} = \langle G^R(\text{Ind}(\mathcal{B})), F(\text{Ind}(\mathcal{A})) \rangle \simeq \text{Ind}(\mathcal{B}) \oplus_{\Phi} \text{Ind}(\mathcal{A}),$$

where  $\Phi := G^{RR} \circ F$  is the gluing datum, imposing that  $\text{Hom}_{\mathcal{C}}(x, y) = \text{Hom}_{\text{Ind}(\mathcal{B})}(x, \Phi(y))$  for any  $x \in G^R(\text{Ind}(\mathcal{B}))$  and  $y \in F(\text{Ind}(\mathcal{A}))$ .

Observe (almost tautologically) that

$$\begin{aligned} \text{Fun}^{\text{acc}}(\text{Ind}(\mathcal{A}), \text{Ind}(\mathcal{B})) &= \text{Fun}(\mathcal{B}, \text{Pro}(\text{Ind}(\mathcal{A})))^{\text{op}} \\ \Phi &\mapsto \Psi. \end{aligned}$$

Efimov:Tate

**Proposition 2.4.** *With the above notation, the following are equivalent:*

- (1)  $\mathcal{C}$  is dualizable.
- (2)  $\mathcal{C}$  is compactly generated.
- (3)  $\text{Im}(\Psi) \subseteq \text{Tate}(\mathcal{A})$ , the idempotent-complete stable subcategory of  $\text{Pro}(\text{Ind}(\mathcal{A}))$  generated by  $\text{Pro}(\mathcal{A})$  and  $\text{Ind}(\mathcal{A})$ .

**Corollary 2.5.** *We have*

$$\begin{aligned} \text{Ext}^1(\mathcal{B}, \mathcal{A}) &= \{0 \rightarrow \mathcal{A} \rightarrow \mathcal{D} \rightarrow \mathcal{B} \rightarrow 0\} \\ &\simeq \text{Fun}(\mathcal{B}, \text{Tate}(\mathcal{A}))^{\simeq}. \end{aligned}$$

*Proof of Proposition 2.4.* We have  $\mathcal{A} \subseteq \mathcal{C}^{\omega}$ . So if  $\mathcal{C}$  is dualizable, then

$$(\mathcal{C}/\text{Ind}(\mathcal{A}))^{\omega} = (\mathcal{C}^{\omega}/\mathcal{A})^{\text{idem}},$$

hence for all  $x \in (\mathcal{C}/\text{Ind}(\mathcal{A}))^{\omega}$ , there exists  $y \in \mathcal{C}^{\omega}$  such that  $y \mapsto x \oplus x[1]$ . We deduce (1)  $\iff$  (2).

For the equivalence (2)  $\iff$  (3) we use that for  $x \in \mathcal{B}$  the following are equivalent:

- (i) There exists a lift  $y \in \mathcal{C}^{\omega}$  of  $x \oplus x[1]$ .
- (ii) There is a fiber/cofiber sequence  $U \rightarrow \Psi(x) \oplus \Psi(x)[1] \rightarrow V$  such that  $U \in \text{Pro}(\mathcal{A})$  and  $V \in \text{Ind}(\mathcal{A})$ .

Equivalently,  $\Psi(x) \oplus \Psi(x)[1] \in \text{Tate}_{\text{el}}(\mathcal{A})$ . By Thomason–Trobaugh’s theorem, this is equivalent to  $\Psi(x) \in \text{Tate}(\mathcal{A})$ . □

**Example 2.6.** Consider the sequence

$$0 \rightarrow \text{Perf}_{p\text{-tors}}(\mathbb{Z}) \rightarrow \text{Perf}(\mathbb{Z}) \rightarrow \text{Perf}(\mathbb{Z}[p^{-1}]) \rightarrow 0.$$

The corresponding  $\mathbb{Z}$ -linear functor  $\text{Perf}(\mathbb{Z}[p^{-1}]) \rightarrow \text{Tate}(\text{Perf}_{p\text{-tors}}(\mathbb{Z}))$  sends  $\mathbb{Z}[p^{-1}] \mapsto \mathbb{Q}_p$ .

**Proposition 2.7.** *Let  $k$  be a field and let  $\mathcal{C} = \{(V, W \in D(k); \varphi: \bigoplus_{\mathbb{N}} V \rightarrow \bigoplus_{\mathbb{N}} W)\}$ . A direct computation shows*

$$\Psi(k) = \varprojlim_{f: \mathbb{N} \rightarrow \mathbb{N}} X_f, \quad \text{where } X_f := \bigoplus_{n \in \mathbb{N}} k^{f(n)},$$

which is not a Tate object. We need to show that  $(\overline{X}_f)_f$  in  $\text{Pro}(\text{Calk}(k))$  is not pro-constant. This uses that for  $f \leq g$  the transition map  $X_g \rightarrow X_f$  is a split epimorphism. If  $(\overline{X}_f)_f$  were pro-constant, then  $(\overline{X}_f)_f$  would be eventually constant, which is false since  $\text{fib}(X_{f+1} \rightarrow X_f) = \bigoplus_{\mathbb{N}} k$ .

Let  $R$  be a commutative noetherian ring. Then Neeman showed

$$\begin{aligned} \left\{ \begin{array}{c} \text{localizing subcategories} \\ \text{of } D(R) \end{array} \right\} &\cong \{\text{subsets of } \text{Spec}(R)\}, \\ D_S(R) &= \langle \kappa(p) \mid p \in S \rangle \leftarrow S \end{aligned}$$

Neeman shows that  $D_S(R) \rightarrow D(R)$  is strongly continuous if and only if  $S$  is closed under specialization.

**Theorem 2.8.** *The following are equivalent for  $S \subseteq \text{Spec}(R)$ .*

- (i)  $D_S(R)$  is dualizable.
- (ii)  $S$  is convex, i.e., if  $x \rightsquigarrow y \rightsquigarrow z$  with  $x, z \in S$ , then  $y \in S$ .
- (iii)  $D_S(R)$  is compactly generated.

**Example 2.9.** Let  $k$  be a field, take  $\mathcal{C} = \langle M \mid M[x^{-1}]/yM[x^{-1}] = 0 \rangle \subseteq D(k[x, y])$ . Then  $\mathcal{C}$  is not dualizable.

Intuitively,  $\mathcal{C} = \text{QCoh}(\mathbb{A}^2/\{x = 0, y \neq 0\})$ .

### 3. TALK 3: LOCALIZING INVARIANTS OF CATEGORIES OF SHEAVES

Recall the category

$$\begin{aligned} \text{Shv}_{\geq 0}(\mathbb{R}; \text{Sp}) &\simeq \text{Shv}_{\mathbb{R} \times \mathbb{R}_{\geq 0}}(\mathbb{R}; \text{Sp}) \\ &\simeq \text{Stab}^{\text{cont}}(\mathbb{R} \cup \{\infty\}) = \left\{ F: \mathbb{R}_{\leq}^{\text{op}} \rightarrow \text{Sp} \mid \forall a \in \mathbb{R}, F(a) = \varinjlim_{b < a} F(b) \right\}. \end{aligned}$$

**Proposition 3.1.** *Take any accessible localizing invariant  $\Phi: \text{Cat}^{\text{perf}} \rightarrow \mathcal{E}$ , where  $\mathcal{E}$  is a stable accessible category. Then*

$$\Phi^{\text{cont}}(\text{Shv}_{\geq 0}(\mathbb{R}; \text{Sp})) = 0.$$

**Applications 3.2.** (a)  $K: \text{Cat}^{\text{perf}} \rightarrow \text{Sp}$  commutes with small products.

(b) Computation of  $F^{\text{cont}}(\text{Shv}(X; \mathcal{C}))$ , where  $X$  is a finite CW complex and  $\mathcal{C}$  is a dualizable category.

**Step 1:**  $K_0$  commutes with small products.

**Proposition 3.3** (Heller's criterion). *If  $\mathcal{T}$  is a small triangulated category, and  $x, y \in \mathcal{T}$ , then the following are equivalent:*

- (i)  $[x] = [y]$  in  $K_0(\mathcal{T})$ .
- (ii) There exist  $z, u, v \in \mathcal{T}$  and distinguished triangles

$$\begin{aligned} u &\rightarrow x \oplus z \rightarrow v \\ u &\rightarrow y \oplus z \rightarrow v. \end{aligned}$$

**Corollary 3.4.**  $K_0(\prod_i \mathcal{T}_i) \xrightarrow{\sim} \prod_i K_0(\mathcal{T}_i)$ .

**Step 2:** We have a short exact sequence

$$0 \rightarrow \text{Shv}_{\geq 0}(\mathbb{R}; \text{Sp}) \rightarrow \text{Fun}(\mathbb{Q}_{\leq}^{\text{op}}, \text{Sp}) \xrightarrow{F} \prod_{\mathbb{Q}} \text{Sp} \rightarrow 0,$$

where  $F$  is given by

$$F(G)_a = \text{Cone}\left(\varinjlim_{b > a} G(b) \rightarrow G(a)\right).$$

Note that  $F^R$  is fully faithful, i.e.,  $F \circ F^R = \text{id}$ . It follows that

$$\text{Shv}_{\geq 0}(\mathbb{R}; \text{Sp}) \simeq F^R\left(\prod_{\mathbb{Q}} \text{Sp}\right)^{\perp} \simeq {}^{\perp}F^R\left(\prod_{\mathbb{Q}} \text{Sp}\right) = \text{Ker}(F).$$

Note also that the categories  $\mathcal{A} := \text{Fun}(\mathbb{Q}_{\leq}^{\text{op}}, \text{Sp})$  and  $\mathcal{B} := \prod_{\mathbb{Q}} \text{Sp}$  are compactly generated.



We need to show that  $F^\omega: \mathcal{A}^\omega \rightarrow \mathcal{B}^\omega$  is a K-equivalence, i.e., there exists  $G: \mathcal{B}^\omega \rightarrow \mathcal{A}^\omega$  such that  $[F^\omega \circ G] = [\text{id}]$  in  $K_0(\text{Fun}(\mathcal{B}^\omega, \mathcal{B}^\omega))$  and  $[G \circ F^\omega] = [\text{id}]$  in  $K_0(\text{Fun}(\mathcal{A}^\omega, \mathcal{A}^\omega))$ .

Here, we take  $G: \mathcal{B}^\omega = \bigoplus_{\mathbb{Q}} \text{Sp}^\omega \rightarrow \mathcal{A}^\omega$  corresponding to  $(h_a)_{a \in \mathbb{Q}}$ , where

$$h_a(b) = \begin{cases} \mathbb{S}, & \text{if } b \leq a, \\ 0, & \text{if } b > a. \end{cases}$$

With this definition,  $F^\omega \circ G = \text{id}$ . It remains to show  $[G \circ F^\omega] = [\text{id}]$  in  $K_0(\text{Fun}(\mathcal{A}^\omega, \mathcal{A}^\omega))$ .

**Proposition 3.5.**  $K_0(\text{Fun}(\mathcal{A}^\omega, \mathcal{A}^\omega)) = \text{End}(\bigoplus_{\mathbb{Q}} \mathbb{Z})$ .

**Step 3:**

**Proposition 3.6.** *Let  $\mathcal{C}$  be a small idempotent-complete stable category with semi-orthogonal decomposition*

$$\mathcal{C} = \langle \mathcal{A}_0, \mathcal{A}_1, \dots \rangle,$$

meaning that  $\text{Hom}(\mathcal{A}_i, \mathcal{A}_j) = 0$  for  $i > j$ , and the  $\mathcal{A}_i$  generate  $\mathcal{C}$ . Write  $\mathcal{B}_n := \langle \mathcal{A}_0, \dots, \mathcal{A}_n \rangle \subseteq \mathcal{C}$ . Then we have functors  $\mathcal{B}_{n+1} \rightarrow \mathcal{B}_n$  which are right adjoint to the inclusion. Consider the composite

$$\varprojlim_n \mathcal{B}_n \rightarrow \mathcal{B}_k \xrightarrow{p_k} \mathcal{A}_k,$$

where  $p_k$  is the right adjoint to the inclusion.

Then the functor

$$\varprojlim_n \mathcal{B}_n \rightarrow \prod_{n \in \mathbb{N}} \mathcal{A}_n$$

is a K-equivalence.

*Sketch:* Write  $\mathcal{B} := \varprojlim_n \mathcal{B}_n$  and write  $\pi_n: \mathcal{B} \rightarrow \mathcal{A}_n$ . Consider the inclusions  $\iota_n: \mathcal{A}_n \rightarrow \mathcal{B}$ , given by compatible functors  $\mathcal{A}_n \rightarrow \mathcal{B}_n \hookrightarrow \mathcal{B}_k$ . Write  $\iota: \prod_n \mathcal{A}_n \rightarrow \mathcal{B}$ .

Then  $\pi \circ \iota = \text{id}$  of  $\prod_n \mathcal{A}_n$ .

**Claim.**  $[\iota \circ \pi] = [\text{id}]$  in  $K_0(\text{Fun}(\mathcal{B}, \mathcal{B}))$ .

Consider the functor

$$\psi_n: \mathcal{B} \rightarrow \mathcal{B}_{n-1}^\perp \rightarrow \mathcal{B},$$

where each  $\mathcal{B}_n \hookrightarrow \mathcal{B}$  (so that the right orthogonal makes sense) and we put  $\mathcal{B}_{-1} = 0$ . Now observe: consider the exact sequence

$$\bigoplus_{n \geq 1} \psi_n \rightarrow \bigoplus_{n \geq 0} \psi_n \twoheadrightarrow \iota \circ \pi,$$

where the first map is induced by the maps  $\psi_{n+1} \rightarrow \psi_n$ . We compute

$$[\text{id}] = [\psi_0] = \left[ \bigoplus_{n \geq 0} \psi_n \right] - \left[ \bigoplus_{n \geq 1} \psi_n \right] = [\iota \circ \pi].$$

□

**Corollary 3.7.** *Let  $\mathcal{B}_0 \leftarrow \mathcal{B}_1 \leftarrow \mathcal{B}_2 \leftarrow \dots$  be an inverse system in  $\text{Cat}^{\text{perf}}$  such that  $\mathcal{B}_{n+1} \rightarrow \mathcal{B}_n$  has a fully faithful right adjoint. Then*

$$K_0(\varprojlim_n \mathcal{B}_n) = \varprojlim_n K_0(\mathcal{B}_n).$$

*Proof.* Apply the above to  $\varinjlim_n \mathcal{B}_n$  with respect to the right adjoints. Denote  $\mathcal{A}_n = \text{Ker}(\mathcal{B}_{n+1} \rightarrow \mathcal{B})$ . Then

$$K_0(\varinjlim_n \mathcal{B}_n) \simeq K_0\left(\prod_n \mathcal{A}_n\right) = \prod_n K_0(\mathcal{A}_n) = \varinjlim_n K_0(\mathcal{B}_n).$$

□

**Corollary 3.8.**  $K_0(\text{Fun}(\mathcal{A}^\omega, \mathcal{A}^\omega)) = \text{End}\left(\bigoplus_{\mathbb{Q}} \mathbb{Z}\right)$ .

*Proof.* Choose a bijection  $\mathbb{N} \xrightarrow{\sim} \mathbb{Q}$ ,  $n \mapsto a_n$ . Let  $\mathcal{C}_n \subseteq \mathcal{A}^\omega$  be a stable subcategory generated by the representable presheaves  $h_{a_0}, \dots, h_{a_n}$ . Then

$$\begin{aligned} K_0(\text{Fun}(\mathcal{A}^\omega, \mathcal{A}^\omega)) &= \varinjlim_n K_0(\text{Fun}(\mathcal{C}_n, \mathcal{A}^\omega)) \simeq \varinjlim_n K_0(\text{Fun}([n], \mathcal{A}^\omega)) \\ &= \varinjlim_n \prod_{i=0}^n K_0(\mathcal{A}^\omega) = \text{End}\left(\bigoplus_{\mathbb{Q}} \mathbb{Z}\right), \end{aligned}$$

since we know that

$$K_0(\mathcal{A}^\omega) = \bigoplus_{\mathbb{Q}} K_0(\text{Sp}^\omega) = \bigoplus_{\mathbb{Q}} \mathbb{Z}.$$

□

Recall the functor  $G: \mathcal{B}^\omega \rightarrow \mathcal{A}^\omega$  from the above. Then  $(G \circ F^\omega)(h_a) = h_a$ , and this finally implies  $[G \circ F^\omega] = [\text{id}]$ .

**Theorem 3.9.** (1) Let  $F, G: \text{Cat}^{\text{perf}} \rightarrow \mathcal{E}$  be accessible localizing invariants, let  $\varphi: F \rightarrow G$  be map, and suppose that  $\mathcal{E}$  has a non-degenerate  $t$ -structure. Suppose moreover that the induced map  $\pi_0\varphi: \pi_0 F \rightarrow \pi_0 G$  on connected components is an isomorphism.

Then  $\varphi: F \xrightarrow{\sim} G$  is an isomorphism.

(2)  $K: \text{Cat}^{\text{perf}} \rightarrow \text{Sp}$  commutes with small products.

*Proof.* For the proof that (1)  $\implies$  (2) we need to show: for any set  $I$ , the map

$$K\left(\prod_I \mathcal{C}\right) \xrightarrow{\varphi} \prod_I K(\mathcal{C})$$

is an isomorphism. Observe that the source and target are localizing invariants in  $\mathcal{C}$  and  $\pi_0\varphi$  is an isomorphism.

It remains to prove (1). The fact that  $\pi_0\varphi$  is an isomorphism implies that  $\pi_n\varphi$  is an isomorphism for  $n \leq 0$ . Now, consider the resolution

$$0 \rightarrow \mathcal{C} \rightarrow \text{Ind}(\mathcal{C}^{\omega_1}) \rightarrow \text{Calk}_{\omega_1}(\mathcal{C}) \rightarrow 0$$

and proceed by induction. □

We also deduce from the proof that for all dualizable categories  $\mathcal{D}$ ,  $\pi_n\varphi_{\mathcal{D}}^{\text{cont}}$  is an isomorphism for  $n \leq -1$ . Then we use

$$0 \rightarrow \text{Shv}_{>0}(\mathbb{R}; \text{Sp}) \rightarrow \text{Shv}_{\geq 0}(\mathbb{R}; \text{Sp}) \xrightarrow{\Gamma_c(-)[1]} \text{Sp} \rightarrow 0.$$

An inductive argument shows that  $\pi_n\varphi$  is an isomorphism for all  $n \in \mathbb{Z}$ .

**Corollary 3.10.** For any accessible localizing invariant  $F: \text{Cat}^{\text{perf}} \rightarrow \mathcal{E}$  we have

$$F^{\text{cont}}(\text{Shv}(\mathbb{R} \cup \{\infty\}; \text{Sp})) = 0.$$

*Proof.* Observe the exact sequence

$$0 \rightarrow \mathrm{Shv}_{\geq 0}(\mathbb{R}; \mathrm{Sp}) \xrightarrow{\overline{\varphi}_\gamma^*} \mathrm{Shv}(\mathbb{R} \cup \{\infty\}; \mathrm{Sp}) \xrightarrow{\alpha} \mathrm{Shv}_{\leq 0}(\mathbb{R}; \mathrm{Sp}),$$

where  $\alpha$  is the left adjoint to  $j_! \varphi_{-\gamma}^*$ . Let  $\gamma = \mathbb{R}_{\leq 0}$  and write  $\mathbb{R}_\gamma$  for  $\mathbb{R}$  equipped with the  $\gamma$ -topology ( $U \subseteq \mathbb{R}_\gamma$  is open if  $U + \gamma = U$  and  $U \subseteq \mathbb{R}$  is open). Similarly, define  $\mathbb{R}_{-\gamma}$ .

Then  $\varphi_\gamma: \mathbb{R} \rightarrow \mathbb{R}_\gamma$ ,  $\varphi_{-\gamma}: \mathbb{R} \rightarrow \mathbb{R}_{-\gamma}$  and  $\varphi_\gamma: \mathbb{R} \cup \{\infty\} \rightarrow \overline{\mathbb{R}}_\gamma$ .  $\square$

**Theorem 3.11.** *Let  $X$  be a finite CW complex and let  $\mathcal{C}$  be a dualizable category. Let  $F: \mathrm{Cat}^{\mathrm{perf}} \rightarrow \mathcal{E}$  be an accessible localizing invariant.*

*Then  $F^{\mathrm{cont}}(\mathrm{Shv}(X; \mathcal{C})) = F^{\mathrm{cont}}(\mathcal{C})^X$ , where the right hand side is the  $X$ - $\infty$ -groupoid.*

Observe that for all finite CW complexes  $Y$  we have an isomorphism

$$F^{\mathrm{cont}}(\mathrm{Shv}(Y \times [0, 1]; \mathcal{C})) \xrightarrow{\sim} F^{\mathrm{cont}}(\mathrm{Shv}(Y; \mathcal{C})).$$

We get a functor  $G: (\mathcal{S}^{\mathrm{fin}})^{\mathrm{op}} \rightarrow \mathcal{E}$  such that  $G(X) = F^{\mathrm{cont}}(\mathrm{Shv}(X; \mathcal{C}))$  for any finite CW complex  $X$ . We know  $G(*) = F^{\mathrm{cont}}(\mathcal{C})$  and  $G(\emptyset) = 0$ .

We need to show that  $G$  commutes with pullbacks. Consider a cellular embedding  $X \hookrightarrow Y$  of finite CW complexes, and let  $X \rightarrow Z$  be some continuous map of finite CW complexes. Then we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Shv}(Y \sqcup_X Z; \mathrm{Sp}) & \longrightarrow & \mathrm{Shv}(Y; \mathrm{Sp}) \\ \downarrow & & \downarrow \\ \mathrm{Shv}(Z; \mathrm{Sp}) & \longrightarrow & \mathrm{Shv}(X; \mathrm{Sp}) \end{array}$$

which is a pullback square both in  $\mathrm{Pr}_{\mathrm{st}}^L$  and in  $\mathrm{Cat}_{\mathrm{st}}^{\mathrm{dual}}$  (because the right vertical map is a quotient functor).

We deduce that  $F^{\mathrm{cont}}$  commutes with such pullbacks:

**Lemma 3.12.** *Let*

$$\begin{array}{ccc} \mathcal{A} & \longrightarrow & \mathcal{B} \\ \downarrow & & \downarrow \\ \mathcal{C} & \longrightarrow & \mathcal{D} \end{array}$$

*be a pullback square in  $\mathrm{Pr}_{\mathrm{st}}^L$ . Assume that  $\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D}$  are dualizable, all functors are strongly continuous and the right vertical map is a localization.*

*Then the left vertical map is a localization,  $\mathcal{A} = \mathcal{B} \times_{\mathcal{D}}^{\mathrm{dual}} \mathcal{C}$  and*

$$F^{\mathrm{cont}}(\mathcal{A}) = F^{\mathrm{cont}}(\mathcal{B}) \times_{F^{\mathrm{cont}}(\mathcal{D})} F^{\mathrm{cont}}(\mathcal{C}).$$

#### 4. TALK 4

Let  $X$  be a locally compact Hausdorff space and let  $\underline{\mathcal{C}}$  be a presheaf with values in  $\mathrm{Cat}_{\mathrm{st}}^{\mathrm{dual}}$  such that  $\underline{\mathcal{C}}(\emptyset) = 0$ .

**Theorem 4.1.** *We have*

$$\mathcal{U}_{\mathrm{loc}}^{\mathrm{cont}}(\mathrm{Shv}(X; \underline{\mathcal{C}})) = \Gamma_c(X; (\mathcal{U}_{\mathrm{loc}}(\underline{\mathcal{C}}))^{\sharp}),$$

*where  $\mathcal{U}_{\mathrm{loc}}$  is the universal localizing invariant. The same holds for  $K$ -theory.*

Let  $\mathcal{F} \in \mathrm{Shv}(X; \underline{\mathcal{C}})$ . Recall the restriction map  $\mathrm{res}_{U,V}: \underline{\mathcal{C}}(U) \rightarrow \underline{\mathcal{C}}(V)$  for  $V \subseteq U$ . Being a sheaf requires that

- (1)  $\mathcal{F}(\emptyset) = 0$ .  
(2) For open subsets  $U, V \subseteq X$  there is a pullback

$$\begin{array}{ccc} \mathcal{F}(U \cup V) & \longrightarrow & \text{res}_{U \cup V, U}^R \mathcal{F}(U) \\ \downarrow & & \downarrow \\ \text{res}_{U \cup V, V}^R \mathcal{F}(V) & \longrightarrow & \text{res}_{U \cup V, U \cap V}^R \mathcal{F}(U \cap V) \end{array}$$

in  $\underline{\mathcal{C}}(U \cup V)$ .

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- (3)  $\mathcal{F}(U) = \varprojlim_{V \in U} \text{res}_{U, V}^R \mathcal{F}(V)$ .

**Proposition 4.2.** *Let  $\mathcal{F} \in \text{Shv}(X; \underline{\mathcal{C}})$ . The following are equivalent:*

- (i)  $\mathcal{F}$  is compact.  
(ii)  $\text{Supp}(\mathcal{F})$  is compact and  $\mathcal{F}$  can be covered by  $U$  such that  $\mathcal{F}|_U \simeq P_U$ , where  $P \in \underline{\mathcal{C}}(U)^\omega$ .

*Proof.* The implication (ii)  $\implies$  (i) is an easy exercise.

Let us prove (i)  $\implies$  (ii):

**Step 1:** Suppose that  $\mathcal{F}_x = 0$  in  $\underline{\mathcal{C}}_x$ . Then there exists  $U \ni x$  such that  $\mathcal{F}|_U = 0$ . Indeed, we have

$$\mathcal{F} = \varinjlim_{V \in X \setminus \{x\}} j_{V!} j_V^* \mathcal{F}.$$

Hence,  $\mathcal{F}$  is a summand of some  $j_{V!} j_V^* \mathcal{F}$  and thus  $\mathcal{F}|_{X \setminus \bar{V}} = 0$ .

*Exercise:*  $(-)^{\omega}: \text{Cat}_{\text{st}}^{\text{dual}} \rightarrow \text{Cat}^{\text{perf}}$  commutes with filtered colimits.

**Step 2:** Suppose  $\mathcal{F}_x \neq 0$ . Then  $\mathcal{F}_x \in \underline{\mathcal{C}}_x^\omega$ . It follows that there exists  $U \ni x$  such that  $\mathcal{F}_x$  lifts to  $P \in \underline{\mathcal{C}}(U)^\omega$ . Shrinking  $U$  if necessary, we get a map  $\varphi: P_U \rightarrow \mathcal{F}$ . Then, choosing  $x \in V \Subset U$ , we get  $\text{Cone}(\varphi)|_{\bar{V}} \in \text{Shv}(\bar{V}; \underline{\mathcal{C}}|_{\bar{V}})^\omega$ . Hence,  $\text{Cone}(\varphi)|_W = 0$  for some  $W \ni x$ .

**Step 3:**  $\mathcal{F} = \varinjlim_{U \in X} j_{U!} j_U^* \mathcal{F}$  implies that  $\text{Supp}(\mathcal{F})$  is compact.

**Remark 4.3.** Some proofs use the following fact: There exists a conservative continuous functor  $\text{Mot}^{\text{loc}} \rightarrow \text{Sp}$ . This follows from rigidity (hence dualizability) of  $\text{Mot}^{\text{loc}}$ .

Note that

$$\begin{array}{ccc} \text{Shv}(X; \underline{\mathcal{C}}) & \subset & \text{PSh}^{\text{cont}}(X; \underline{\mathcal{C}}) \\ \downarrow & & \downarrow \simeq \\ \text{Shv}_{\mathcal{K}}(X; \underline{\mathcal{C}}) & \subset & \text{PSh}_{\mathcal{K}}^{\text{cont}}(X; \underline{\mathcal{C}}), \end{array}$$

where  $\text{PSh}^{\text{cont}}$  denotes those presheaves which satisfy (3), and  $\text{PSh}_{\mathcal{K}}^{\text{cont}}$  denotes those presheaves defined on compact subsets such that  $\mathcal{F}(Y) = \varinjlim_{Z \ni Y} \text{res}_{Z, Y} \mathcal{F}(Z)$ .

Note that for compact  $Y \subseteq X$  we have  $\underline{\mathcal{C}}(Y) = \varinjlim_{U \supset Y} \underline{\mathcal{C}}(U)$ .

*Exercise:* We have that

$$\mathcal{U}_{\text{loc}}(\text{PSh}_{\mathcal{K}}^{\text{cont}}(X; \underline{\mathcal{C}})) = \bigoplus_{\substack{V \subseteq X \\ \text{open, compact}}} \mathcal{U}_{\text{loc}}(\underline{\mathcal{C}}(V)).$$

Hint: use the semi-orthogonal decomposition  $\text{PSh}_{\mathcal{K}}(X; \underline{\mathcal{C}}) = \langle \underline{\mathcal{C}}(Y), Y \subset X \text{ compact} \rangle$  and

$$\text{PSh}_{\mathcal{K}}(X; \underline{\mathcal{C}}) / \text{PSh}_{\mathcal{K}}^{\text{cont}}(X; \underline{\mathcal{C}}) = \langle \underline{\mathcal{C}}(Y), Y \subset X \text{ compact but not open} \rangle$$

Assuming that  $X$  is compact, we have  $\text{Shv}(X; \underline{\mathcal{C}}) \simeq \text{Shv}(X \cup \{\infty\}; j! \underline{\mathcal{C}})$ .

**Step 4:** Approximate  $\mathrm{Shv}_{\mathcal{K}}(X; \underline{\mathcal{C}})$  by finite limits of  $\mathrm{PSh}_{\mathcal{K}}(Y; \underline{\mathcal{C}})$ . If  $X = Y_1 \sqcup \cdots \sqcup Y_n$ , denoting  $Y_I = \bigcap_{i \in I} Y_i$  for  $I \neq \emptyset$ , then we have the approximation

$$\varprojlim_{I \neq \emptyset} \mathrm{PSh}_{\mathcal{K}}^{\mathrm{cont}}(Y_I, \underline{\mathcal{C}}|_{Y_I}).$$

“Categorify Čech cohomology” □

#### 4.1. The internal Hom in $\mathrm{Cat}_{\mathrm{st}}^{\mathrm{dual}}$ .

**Theorem 4.4.** *Let  $\mathcal{C}$  be a rigid symmetric monoidal category (in particular,  $\mathcal{C}$  is dualizable). Let  $\mathcal{A}$  and  $\mathcal{B}$  be dualizable categories over  $\mathcal{C}$ .*

(1) *Suppose that  $\mathcal{A}$  is proper and  $\omega_1$ -compact.*

*Then for all uncountable regular cardinals  $\kappa$ , we have*

$$\mathcal{U}_{\mathrm{loc}}^{\mathrm{cont}}(\underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathcal{A}, \mathcal{B})) \simeq \underline{\mathrm{Hom}}(\mathcal{U}_{\mathrm{loc}, \kappa}(\mathcal{A}), \mathcal{U}_{\mathrm{loc}, \kappa}(\mathcal{B}))$$

*in  $\mathrm{Mot}_{\mathcal{C}, \kappa}^{\mathrm{loc}}$ .*

(2) *If additionally  $\mathcal{C}$  is compactly generated, then*

$$\mathcal{U}_{\mathrm{loc}}^{\mathrm{cont}}(\underline{\mathrm{Hom}}_{\mathcal{C}}^{\mathrm{dual}}(\mathcal{A}, \mathcal{B})) \simeq \underline{\mathrm{Hom}}(\mathcal{U}_{\mathrm{loc}}(\mathcal{A}), \mathcal{U}_{\mathrm{loc}}(\mathcal{B}))$$

*in  $\mathrm{Mot}_{\mathcal{C}}^{\mathrm{loc}}$ .*

**Definition 4.5.** Recall that  $\mathcal{A}$  is *proper* if the evaluation functor  $\mathcal{A} \otimes_{\mathcal{C}} \mathcal{A}^{\vee} \rightarrow \mathcal{C}$  is strongly continuous.

We say that  $\mathcal{A}$  is  *$\omega_1$ -compact* if  $\mathrm{coev}(\mathbf{1}) \in (\mathcal{A} \otimes_{\mathcal{C}} \mathcal{A}^{\vee})^{\omega_1}$ .

**Corollary 4.6.** *Let  $R$  be a noetherian commutative ring and  $I \subseteq R$  an ideal. Then*

$$K^{\mathrm{cont}}(\widetilde{\mathrm{Nuc}}(R_{\hat{I}})) \simeq \varprojlim_n K(R/I^n).$$

**Corollary 4.7.** *If  $X$  is a  $C^0$ -manifold and countable at  $\infty$ , then*

$$K^{\mathrm{cont}}(\widehat{\mathrm{coShv}}(X; \mathcal{C})) \simeq \mathrm{H}^{\mathrm{BM}}(X; K^{\mathrm{cont}}(\mathcal{C})).$$

Suppose that  $\mathcal{C} = \mathrm{Mod}(k)$ , where  $k$  is an  $\mathbb{E}_{\infty}$ -ring. Let  $R, S$  be  $\mathbb{E}_1$ -algebras over  $k$ . Suppose that  $\mathcal{A} = \mathrm{Mod}(R)$  and  $\mathcal{B} = \mathrm{Mod}(S)$ .

Then  $\mathcal{A}$  is proper if and only if  $R \in \mathrm{Perf}(k)$ .

**Question 4.8.** What is  $\underline{\mathrm{Hom}}_k^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S))$ ?

We use the adjunction

$$\begin{array}{ccc} \mathrm{Cat}_k^{\mathrm{dual}} & \xleftarrow{\mathrm{incl}} & \mathrm{Pr}_{k, \omega_1}^L \\ \mathrm{Ind}(\mathcal{E}^{\omega_1}) & \longleftarrow & \mathcal{E}. \end{array}$$

**Corollary 4.9.** *We have*

$$\underline{\mathrm{Hom}}_k^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S)) = \mathrm{Ker}^{\mathrm{dual}}(\mathrm{Ind}(\mathrm{BiMod}(R, S)^{\omega_1}) \rightarrow \mathrm{Ind}(\mathrm{Rep}(R, \mathrm{Calk}_{\omega_1}(S)))) ,$$

*noting that  $\mathrm{BiMod}(R, S) = \mathrm{Rep}(R, \mathrm{Mod}(S)^{\omega_1})$ .*

**Crucial Fact 4.10.** The functor

$$\mathrm{Rep}_k(R, \mathrm{Mod}(S)^{\omega_1}) \rightarrow \mathrm{Rep}_k(R, \mathrm{Calk}_{\omega_1}(S))$$

is a homological epi.

Recall: to show that a functor  $\mathcal{D} \rightarrow \mathcal{E}$  in  $\text{Cat}^{\text{perf}}$  is a homological epi, we need that for all  $x, y \in \mathcal{E}$  it holds that

$$\text{Hom}_{\mathcal{E}}(F(-), y) \otimes_{\mathcal{D}} \text{Hom}_{\mathcal{E}}(x, F(-)) \xrightarrow{\sim} \text{Hom}_{\mathcal{E}}(x, y).$$

The left hand side is considered as an object of  $\text{Ind}(\mathcal{D}) \otimes_k \text{Ind}(\mathcal{D}^{\text{op}}) \xrightarrow{\text{ev}} \text{Mod}(k)$ .

**Efimov:fact**

**Fact 4.11.** (1) Suppose that  $\mathcal{D}$  is a compactly assembled presentable category (or least assume that strong (AB5) and (AB6) for countable products hold). Let  $I$  be a directed poset and  $F: \mathbb{N}^{\text{op}} \times I \rightarrow \mathcal{D}$  be a functor.

Then

$$\varinjlim_{\varphi: \mathbb{N} \rightarrow I} \varprojlim_{n \leq m} F(n, \varphi(m)) \xrightarrow{\sim} \varinjlim_n \varprojlim_i F(n, i),$$

where  $(n \leq m) \in \mathbb{N}^{\text{op}} \times \mathbb{N}$ .

(2) Let  $\mathcal{D}$  be as above, and let  $I, J$  be directed posets. Let  $F: \mathbb{N}^{\text{op}} \times \mathbb{N}^{\text{op}} \times I \times J \rightarrow \mathcal{D}$ . Assume that the following conditions are satisfied:

- (i)  $\varprojlim_n \varinjlim_i \varprojlim_k \varinjlim_j F(n, k, i, j) \xrightarrow{\sim} \varprojlim_n \varprojlim_k \varinjlim_i \varinjlim_j F(n, k, i, j)$ .
- (ii) Same with  $n \leftrightarrow k$  and  $i \leftrightarrow j$ .

Then we have that

$$\varinjlim_{\varphi: \mathbb{N} \rightarrow I} \varinjlim_{\psi: \mathbb{N} \rightarrow J} \varprojlim_{n \leq m} \varprojlim_{k \leq l} F(n, k, \varphi(m), \psi(l)) \xrightarrow{\sim} \varinjlim_n \varinjlim_i \varinjlim_j F(n, n, i, j)$$

**Exercise 4.12.** (a) Prove a version of Fact 4.11.(1), where  $\mathbb{N}^{\text{op}} \times I$  is replaced with a cocartesian fibration  $\mathcal{E} \rightarrow \mathbb{N}^{\text{op}}$ , with directed fibers and cofinal transition maps.

(b) Let  $I, J, \mathcal{D}$  and  $F$  be as in Fact 4.11.(2), but without assumptions (i) and (ii). Then we have

$$\varinjlim_{\varphi: \mathbb{N} \rightarrow I} \varinjlim_{\psi: \mathbb{N} \rightarrow J} \varprojlim_{n \leq m \leq k \leq l} F(n, k, \varphi(m), \psi(l)) \xrightarrow{\sim} \varinjlim_n \varinjlim_i \varprojlim_k \varinjlim_j F(n, k, i, j),$$

where  $(n, m, k, l) \in \mathbb{N}^{\text{op}} \times \mathbb{N} \times \mathbb{N}^{\text{op}} \times \mathbb{N}$ .

## 5. TALK 5: INTERNAL HOMS AND INVERSE LIMITS IN $\text{Cat}^{\text{dual}}$

**Theorem 5.1.** Let  $\mathcal{C}$  be a rigid base category. Let  $\mathcal{A}, \mathcal{B}$  be dualizable categories over  $\mathcal{C}$  such that  $\mathcal{A}$  is proper and  $\omega_1$ -compact in  $\text{Cat}_{\mathcal{C}}^{\text{dual}}$ .

Then  $\underline{\text{Hom}}_{\mathcal{C}}^{\text{dual}}(\mathcal{A}, -)$  preserves short exact sequences, i.e.,  $\mathcal{A}$  is internally projective.

**Corollary 5.2.** For a regular cardinal  $\kappa > \omega_1$  and  $\mathcal{A}$  as above, we have

$$\mathcal{U}_{\text{loc}, \kappa}(\underline{\text{Hom}}^{\text{dual}}(\mathcal{A}, \mathcal{B})) = \underline{\text{Hom}}(\mathcal{U}_{\text{loc}, \kappa}(\mathcal{A}), \mathcal{U}_{\text{loc}, \kappa}(\mathcal{B})).$$

**Example 5.3** (Non-example). Let  $\mathcal{C} = \text{Sp}$ ; then  $\mathcal{A} = \prod_{x \in [0, 1]} \text{Sp}$  is not  $\omega_1$ -compact.

Let  $\mathcal{C} = \text{Mod}(k)$  and  $\mathcal{A} = \text{Mod}(R)$ , where  $R \in \text{Perf}(k)$ . Let  $\mathcal{B} = \text{Mod}(S)$ .

**Key Statement 5.4.** The functor  $F: \text{Rep}(R, \text{Mod}(S)^{\omega_1}) \rightarrow \text{Rep}(R, \text{Calk}_{\omega_1}(S))$  is a homological epi.

*Proof.* Let  $M, N \in \mathcal{D} := \text{BiMod}(R, S)^{\omega_1} \cong \text{Rep}(R, \text{Mod}(S)^{\omega_1})$  and put  $\mathcal{E} = \text{Rep}(R, \text{Calk}_{\omega_1}(S))$ . We need to show that

$$\text{Hom}_{\mathcal{E}}(F(-), F(N)) \otimes_{\mathcal{D}} \text{Hom}_{\mathcal{E}}(F(M), F(-)) \xrightarrow{\sim} \text{Hom}_{\mathcal{E}}(F(M), F(N))$$

is an isomorphism and that  $F$  is essentially surjective up to retracts. Now, (2) reduces to

$$\text{THC}^*(R/k, \text{Hom}_S(-, S) \otimes_S N) \otimes_{\mathcal{D}} \text{THC}^*(R/k, \text{Hom}_S(M, S) \otimes_S -) \xrightarrow{\sim} \text{THC}^*(R/k, \text{Hom}_S(M, S) \otimes_S N). \blacksquare$$

{Efimov:key}

(2)

Replace  $\mathrm{Hom}_S(M, S)$  by an abstract  $L \in \mathrm{BiMod}(S, R)$ . We thus want to show that

$$\mathrm{THC}^*(R/k, \mathrm{Hom}_S(-, S) \otimes_S N) \otimes_{\mathcal{D}} \mathrm{THC}^*(R/k, L \otimes_S -) \xrightarrow{\sim} \mathrm{THC}^*(R/k, L \otimes_S N)$$

is an isomorphism. Choose an approximation  $N = \varinjlim_j N_j$  and  $L = \varinjlim_i L_i$ , where  $L_i, N_j$  are compact bimodules. Furthermore, choose an approximation  $R \simeq \varinjlim_n X_n$  in  $\mathrm{BiMod}(R, R)$  with  $X_n \in \mathrm{Perf}(R \otimes R^{\mathrm{op}})$ .

Apply Fact 4.11.(1) and then Fact 4.11.(2) to the functor

$$\begin{aligned} \mathbb{N}^{\mathrm{op}} \times \mathbb{N}^{\mathrm{op}} \times I \times J &\rightarrow \mathrm{Mod}(k), \\ (n, k, i, j) &\mapsto \mathrm{Hom}_{R \otimes R^{\mathrm{op}}}(X_n \otimes_R X_k, L_i \otimes N_j). \end{aligned}$$

□

**Remark 5.5.** The dual  $(-)^{\vee} : \mathrm{Cat}_{\mathrm{st}}^{\mathrm{dual}} \rightarrow \mathrm{Cat}_{\mathrm{st}}^{\mathrm{dual}}$  is a (covariant!) equivalence; it takes  $F : \mathcal{C} \rightarrow \mathcal{D}$  to  $F^{\vee} : \mathcal{C}^{\vee} \rightarrow \mathcal{D}^{\vee}$ .

The proof shows the following: for a map  $S \rightarrow S'$  of  $\mathbb{E}_1$ -algebras, we have a commutative square

$$\begin{array}{ccc} \underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S'))^{\vee} & \longrightarrow & \underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S))^{\vee} \\ \downarrow & & \downarrow \\ \mathrm{Ind}(\mathrm{Rep}(R, \mathrm{Mod}(S')^{\omega_1})^{\mathrm{op}}) & \longrightarrow & \mathrm{Ind}(\mathrm{Rep}(R, \mathrm{Mod}(S)^{\omega_1})^{\mathrm{op}}). \end{array}$$

The upper right category is generated by objects of the form  $\mathrm{THC}^*(R/k, L \otimes_S -)$ .

**Corollary 5.6.** *If  $S \rightarrow S'$  is a homological epi, then*

$$\underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S)) \rightarrow \underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathrm{Mod}(R), \mathrm{Mod}(S'))$$

*is a quotient functor.*

**Example 5.7.** Consider a noetherian commutative ring  $R$  with an ideal  $I \subset R$ . We work over  $R$ . Define

$$\widetilde{\mathrm{Nuc}}(R_{\hat{I}}) := \underline{\mathrm{Hom}}_R^{\mathrm{dual}}(D_{I\text{-tors}}(R), D(R)) = D_{I\text{-tors}}(R)^{\mathrm{rig}}.$$

If  $I = (f_1, \dots, f_n)$ , then  $D_{I\text{-tors}}(R) = \mathrm{Mod}(A)$ , where  $A = \mathrm{End}_R(\mathrm{Kos}(R, f_1, \dots, f_n))$ .

**Remark 5.8.** Let  $\mathcal{C}$  be a locally rigid category. Consider its one-point compactification  $\mathcal{C}_+ \subset \mathrm{Ind}(\mathcal{C})$ , which is generated under colimits by  $\hat{Y}(\mathcal{C})$  and  $\hat{Y}(\mathbf{1}_{\mathcal{C}})$ . For example,  $D_{I\text{-tors}}(R)_+ = D(R_{\hat{I}})$ .

Then  $\mathcal{C}^{\mathrm{rig}} = \underline{\mathrm{Hom}}_{\mathcal{C}_+}^{\mathrm{dual}}(\mathcal{C}, \mathcal{C}_+)$ .

Consider the category

$$\underline{\mathrm{Hom}}_k^{\mathrm{dual}}(\mathrm{Ind}(\mathcal{A}), \mathrm{Ind}(\mathcal{C})),$$

where  $\mathcal{A}$  is proper and  $\omega_1$ -compact in  $\mathrm{Cat}_k^{\mathrm{perf}}$ . Write  $\mathcal{A} = \varinjlim_n \mathcal{B}_n$ , where each  $\mathcal{B}_n$  is a finitely presented (= compact) category over  $k$ .

**Proposition 5.9.** *We have that*

$$\underline{\mathrm{Hom}}^{\mathrm{dual}}(\mathrm{Ind}(\mathcal{A}), \mathrm{Ind}(\mathcal{C})) = \varprojlim_n^{\mathrm{dual}}(\mathrm{Ind}(\mathrm{Fun}(\mathcal{B}_n, \mathcal{C}))).$$

As a general fact, we have

$$\varprojlim_i^{\mathrm{dual}} \mathcal{D}_i = \mathrm{Ker}^{\mathrm{dual}}(\mathrm{Ind}(\varprojlim_i \mathcal{D}_i^{\omega_1}) \rightarrow \mathrm{Ind}(\varprojlim_i \mathrm{Calk}_{\omega_1}^{\mathrm{cont}}(\mathcal{D}_i))).$$

**Exercise 5.10.**  $\text{Ring}_{\mathbb{E}_\infty} \rightarrow \text{Cat}_{\text{st}}^{\text{dual}}, R \mapsto \text{Mod}(R)$  is a sheaf in the descendable topology (in the sense of Akhil Mathew).

**Theorem 5.11.** *We have that*

$$K^{\text{cont}}(\varprojlim_n^{\text{dual}} \text{Ind}(\text{Fun}(\mathcal{B}_n, \mathcal{C}))) \xrightarrow{\sim} \varprojlim_n K(\text{Fun}(\mathcal{B}_n, \mathcal{C})).$$

**Proposition 5.12.** *For all  $n$ , there exists  $m > n$  such that  $\mathcal{B}_n \rightarrow \mathcal{B}_m$  is trace class in  $\text{Cat}_k^{\text{perf}}$ .*

Together with the theorem, the proposition implies that

$$\text{Hom}(\mathcal{U}_{\text{loc}}(\mathcal{A}), \mathcal{U}_{\text{loc}}(\mathcal{B})) = KK(\mathcal{A}, \mathcal{C}) = \varprojlim_n K(\text{Fun}(\mathcal{B}_n, \mathcal{C})).$$

**Example 5.13.** Let  $k = \mathbb{Z}[x]$  and  $\mathcal{A} = \text{Perf}_{x\text{-tors}}(\mathbb{Z}[x])$ , which is proper over  $\mathbb{Z}[x]$ . Then  $\mathcal{A} = \varinjlim_n D_{\text{coh}}^b(\mathbb{Z}[x]/x^n)$ .

**Exercise 5.14.** The map

$$D_{\text{coh}}^b(\mathbb{Z}[x]/x^n) \rightarrow D_{\text{coh}}^b(\mathbb{Z}[x]/x^{2n})$$

is trace class in  $\text{Cat}_{\mathbb{Z}[x]}^{\text{perf}}$ , and

$$\text{“}\varinjlim_n\text{” } D_{\text{coh}}^b(\mathbb{Z}[x]/x^n) \xrightarrow{\sim} \text{“}\varinjlim_n\text{” } \mathcal{B}_n,$$

where  $\mathcal{B}_n$  is finitely presented.

Assuming  $I = (f) \subset R$ , we get

$$\begin{aligned} K^{\text{cont}}(\widetilde{\text{Nuc}}(R_{\hat{f}})) &= KK_{\mathbb{Z}[x]}(\text{Perf}_{x\text{-tors}}(\mathbb{Z}[x]), \text{Perf}(R)) \\ &\xrightarrow{\sim} \varprojlim_n K(\text{Fun}(D_{\text{coh}}^b(\mathbb{Z}[x]/x^n), \text{Perf}(R))) \simeq \varprojlim_n K(R/f^n). \end{aligned}$$

**Theorem 5.15.** *Let  $\mathcal{D}_1 \leftarrow \mathcal{D}_2 \leftarrow \dots$  be an inverse system in  $\text{Cat}^{\text{perf}}$  such that*

$$(*) \varprojlim_n \mathcal{D}_n^{\omega_1} \rightarrow \varprojlim_n \text{Calk}_{\omega_1}(\mathcal{D}_n) \text{ is a homological epi.}$$

*Then we have*

$$K^{\text{cont}}(\varprojlim_n^{\text{dual}} \text{Ind}(\mathcal{D}_n)) \xrightarrow{\sim} \varprojlim_n K(\mathcal{D}_n).$$

*Idea.* Categorify the fiber sequence

$$\varprojlim_n K(\mathcal{D}_n) \rightarrow \prod_n K(\mathcal{D}_n) \rightarrow \prod_n K(\mathcal{D}_n).$$

**Step 1:** Prove

$$K^{\text{cont}}(\varprojlim_n^{\text{dual}} \text{Ind}(\mathcal{D}_n)) \cong \Omega K(\varprojlim_n \text{Calk}_{\omega_1}(\mathcal{D}_n)).$$

**Step 2:** Use

$$\begin{aligned} K(\varprojlim_n^{\text{oplax}} \text{Calk}_{\omega_1}(\mathcal{D}_n)) &\cong K\left(\prod_n \text{Calk}_{\omega_1}(\mathcal{D}_n)\right) \\ &= \prod_n K(\text{Calk}_{\omega_1}(\mathcal{D}_n)). \end{aligned}$$

**Step 3:** Prove that the functor

$$\varprojlim_n^{\text{oplax}} \text{Ind}(\mathcal{D}_n)^{\omega_1} / \varprojlim_n^{\text{oplax}} \mathcal{D}_n \hookrightarrow \varprojlim_n^{\text{oplax}} \text{Calk}_{\omega_1}(\mathcal{D}_n)$$

is fully faithful.



It remains to show:

$$\varprojlim_n^{\text{oplax}} \mathcal{D}_n \setminus \varprojlim_n^{\text{oplax}} \text{Ind}(\mathcal{D}_n)^{\omega_1} / \varprojlim_n \text{Ind}(\mathcal{D}_n)^{\omega_1} \xrightarrow{\text{K-equiv.}} \prod_n \text{Calk}_{\omega_1}(\mathcal{D}_n).$$

□

## 6. TALK 6: RIGIDITY OF $\text{Mot}^{\text{loc}}$

**Theorem 6.1.** *Let  $\mathcal{C}$  be a rigid symmetric monoidal category. Then  $\text{Mot}_{\mathcal{C}}^{\text{loc}}$ , i.e., the target of the universal localizing invariant  $\mathcal{U}_{\text{loc}}: \text{Cat}_{\mathcal{C}}^{\text{perf}} \rightarrow \text{Mot}_{\mathcal{C}}^{\text{loc}}$  commuting with filtered colimits, is rigid.*

**Corollary 6.2.** *The category  $\text{Mot}_{\mathcal{C}}^{\text{loc}}$  is dualizable (but in general not compactly generated).*

**Expectation:** If  $\mathcal{C} \neq 0$ , then  $\text{Mot}_{\mathcal{C}}^{\text{loc}}$  is not compactly generated. This is known to hold for  $\mathcal{C} = D(\mathbb{Q}[x])$ .

Consider the case  $\mathcal{C} = \text{Mod}(k)$  for some  $\mathbb{E}_{\infty}$ -ring  $k$ . Recall the following definitions:

**Definition 6.3** (Kontsevich). Let  $\mathcal{D}$  be a dualizable  $k$ -linear category.

- (1)  $\mathcal{D}$  is called *proper* over  $k$  if  $\text{ev}: \mathcal{D} \otimes_k \mathcal{D}^{\vee} \rightarrow \text{Mod}(k)$  is strongly continuous.
- (2)  $\mathcal{D}$  is called *smooth* over  $k$  if  $\text{coev}: \text{Mod}(k) \rightarrow \mathcal{D} \otimes_k \mathcal{D}^{\vee}$  is strongly continuous, i.e.,  $\text{coev}(k)$  is compact.

A category  $\mathcal{A} \in \text{Cat}_k^{\text{perf}}$  is called *smooth* or *proper* if  $\text{Ind}(\mathcal{A})$  is.

Note that  $\text{Cat}_k^{\text{perf}}$  is compactly generated.

**Proposition 6.4** (TV). *If  $\mathcal{A}$  is a finitely presented (compact) category, then  $\mathcal{A}$  is smooth. If  $\mathcal{A}$  is smooth and proper, then  $\mathcal{A}$  is finitely presented.*

Let  $\mathcal{A} = \text{Perf}(A)$ , where  $A$  is a finitely presented object of  $\text{Alg}_{\mathbb{E}_1}(\text{Mod}(k))$ . Then for all ind-systems  $(M_i)_i$  in  $\text{BiMod}(A, A)$ , we have

$$\begin{aligned} \text{Map}_{\text{BiMod}(A, A)}(\Omega_{A/k}, \varinjlim_i M_i) &\simeq \text{Mod}_{\text{Alg}_{\mathbb{E}_1}(\text{Mod}(A))}(A, A \oplus \varinjlim_i M_i) \\ &\simeq \varinjlim_i \text{Map}_{\text{Alg}_{\mathbb{E}_1}(A)}(A, A \oplus M_i) \\ &\simeq \varinjlim_i \text{Map}_{\text{BiMod}(A, A)}(\Omega_{A/k}, M_i). \end{aligned}$$

This implies  $\Omega_{A/k} := \text{fib}(A \otimes A \rightarrow A) \in \text{Perf}(A \otimes A^{\text{op}})$ .

**Definition 6.5.** A  $k$ -linear category  $\mathcal{B} \in \text{Cat}_k^{\text{perf}}$  is called *nuclear* if for all finitely presented categories  $\mathcal{A}$  the natural map

$$\text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k \mathcal{B} \xrightarrow{\sim} \text{Fun}_k(\mathcal{A}, \mathcal{B})$$

is an isomorphism.

**Exercise 6.6.** If  $\mathcal{A}$  is smooth and  $\mathcal{B}$  is proper, then

$$\text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k \mathcal{B} \xrightarrow{\sim} \text{Fun}_k(\mathcal{A}, \mathcal{B}).$$

**Corollary 6.7.** *Any proper category is nuclear.*

To prove rigidity of  $\text{Mot}_k^{\text{loc}}$ , we need:

- (i)  $\mathcal{U}_{\text{loc}}(k)$  is compact.<sup>2</sup> In fact, one can show  $\text{Map}(\mathcal{U}_{\text{loc}}(k), \mathcal{U}_{\text{loc}}(\mathcal{A})) = K(\mathcal{A})$ .  
(ii) The category  $\text{Mot}_k^{\text{loc}}$  is generated by objects of the form  $\varinjlim(x_1 \rightarrow x_2 \rightarrow \cdots)$  such that the transition maps  $x_n \rightarrow x_{n+1}$  are trace class.

Suppose that  $\mathcal{B}$  is a nuclear object of  $(\text{Cat}_k^{\text{perf}})^{\omega_1}$ . Then  $\mathcal{B} \simeq \varinjlim(\mathcal{A}_1 \rightarrow \mathcal{A}_2 \rightarrow \cdots)$ , where each  $\mathcal{A}_n$  is finitely presented and the transition maps  $\mathcal{A}_n \rightarrow \mathcal{A}_{n+1}$  are trace class. Moreover,

$$\mathcal{U}_{\text{loc}}(\mathcal{B}) = \varinjlim_n \mathcal{U}_{\text{loc}}(\mathcal{A}_n),$$

and the transition maps  $\mathcal{U}_{\text{loc}}(\mathcal{A}_n) \rightarrow \mathcal{U}_{\text{loc}}(\mathcal{A}_{n+1})$  are trace class. We only need to show that  $\text{Mot}_k^{\text{loc}}$  is generated under colimits by  $\mathcal{U}_{\text{loc}}(\mathcal{B})$ , where  $\mathcal{B}$  is nuclear and  $\omega_1$ -compact.

**Lemma 6.8.** *If  $\mathcal{A}$  is smooth (e.g., finitely presented) and  $\mathcal{B} \in \text{Cat}_k^{\text{perf}}$ , then*

$$\begin{array}{ccc} \text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k \mathcal{B} & \xrightarrow{\quad\quad\quad} & \text{Fun}_k(\mathcal{A}, \mathcal{B}) \\ & \searrow \quad \quad \quad \swarrow & \\ & \mathcal{A}^{\text{op}} \otimes_k \mathcal{B} & \end{array}$$

is fully faithful.

*Proof.* This is an exercise. □

**Corollary 6.9.** *The class of nuclear objects of  $\text{Cat}_k^{\text{perf}}$  is closed under:*

- (a) filtered colimits;
- (b) semi-orthogonal decompositions;
- (c) taking full subcategories; indeed, if  $\mathcal{B}' \subseteq \mathcal{B}$ , where  $\mathcal{B}$  is nuclear, then

$$\begin{array}{ccc} 0 & & \\ \downarrow & & \\ \text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k \mathcal{B}' & \hookrightarrow & \text{Fun}_k(\mathcal{A}, \mathcal{B}') \\ \downarrow & & \downarrow \\ \text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k \mathcal{B} & \xrightarrow{\simeq} & \text{Fun}_k(\mathcal{A}, \mathcal{B}) \\ \downarrow & & \downarrow \\ \text{Fun}_k(\mathcal{A}, \text{Perf}(k)) \otimes_k (\mathcal{B}/\mathcal{B}') & \hookrightarrow & \text{Fun}_k(\mathcal{A}, \mathcal{B}/\mathcal{B}') \\ \downarrow & & \\ 0 & & \end{array}$$

and this formally implies that  $\mathcal{B}'$  is nuclear.

Suppose  $\mathcal{C} = \text{Perf}(R)$ . Define a  $k$ -enriched category  $\mathcal{B}$  with  $\text{ob}(\mathcal{B}) = \mathbb{N}$  and

$$\text{Hom}_{\mathcal{B}}(n, m) = \begin{cases} R, & \text{if } n < m, \\ k, & \text{if } n = m, \\ 0, & \text{if } n > m. \end{cases}$$

<sup>2</sup>This is due to BGT.

Then we have an exact sequence

$$0 \rightarrow \text{Ker} \rightarrow \text{Fun}_k(\mathcal{B}^{\text{op}}, \text{Mod}(k))^\omega \rightarrow \text{Perf}(R) \rightarrow 0,$$

where  $\text{Ker}$  is generated by  $\text{Cone}(h_{x_n} \rightarrow h_{x_{n+1}})$ , and the right map is induced by  $h_{x_n} \mapsto R$ . (This should be “familiar” to symplectic geometers.)

By the above, the category  $\text{Fun}_k(\mathcal{B}^{\text{op}}, \text{Mod}(k))^\omega$  is nuclear (it has a countable semi-orthogonal decomposition into  $\text{Perf}(k)$ ). Hence, also  $\text{Ker}$  is nuclear.

Let  $\mathcal{C}$  be a rigid category. We want a good notion of nuclearity with the required properties (in particular, full subcategories of nuclear categories should be nuclear).

**Fact 6.10.** The category  $\text{Cat}_{\mathcal{C}}^{\text{perf}} \simeq \text{Cat}_{\mathcal{C}}^{\text{cg}}$  is compactly assembled, and the functor

$$\widehat{Y}: \text{Cat}_{\mathcal{C}}^{\text{perf}} \rightarrow \text{Ind}(\text{Cat}_{\mathcal{C}}^{\text{perf}})$$

is symmetric monoidal.

**Definition 6.11.** A category  $\mathcal{B}$  is called *nuclear* if for any  $\mathcal{A} \in (\text{Cat}_{\mathcal{C}}^{\text{perf}})^{\omega_1}$  such that  $\widehat{Y}(\mathcal{A}) = \varinjlim(\mathcal{A}_1 \rightarrow \mathcal{A}_2 \rightarrow \cdots)$ , the natural map

$$“\varprojlim_n” \underline{\text{Hom}}_{\mathcal{C}}(\mathcal{A}_n, \mathcal{C}) \otimes \mathcal{B} \xrightarrow{\sim} “\varprojlim_n” \underline{\text{Hom}}_{\mathcal{C}}(\mathcal{A}_n, \mathcal{B})$$

is an isomorphism.

The most difficult part is proving that, if  $\mathcal{B}$  is nuclear, then any subcategory  $\mathcal{B}' \subseteq \mathcal{B}$  is nuclear (where “subcategory” means “generated by relatively (to  $\mathcal{C}$ ) compact objects”).

We need to show the following: for any small  $\mathcal{C}$ -enriched category  $\mathcal{B}$  and any compact map  $R \rightarrow S$  in  $\text{Alg}_{\mathbb{E}_1}(\mathcal{C})$ , consider the commutative square

$$\begin{array}{ccc} \text{Rep}(R, \mathcal{C}^\omega) \otimes \mathcal{B} & \longrightarrow & \text{Rep}(R, \mathcal{B}) \\ \uparrow & \swarrow \text{---} & \uparrow \\ \text{Rep}(S, \mathcal{C}^\omega) \otimes \mathcal{B} & \xrightarrow{G} & \text{Im}(G) \subseteq \text{Rep}(S, \mathcal{B}). \end{array}$$

We want to construct a functor  $\text{Im}(G) \rightarrow \text{Rep}(R, \mathcal{C}^\omega) \otimes \mathcal{B}$  such that all triangles commute.

This reduces to proving that there exists a map of  $\mathbb{E}_1$ -coalgebras in  $\text{Ind}(\text{BiMod}(S, S))$ :

$$(*) \quad Y(S \otimes_R S) \rightarrow \widehat{Y}(S)$$

such that after applying  $\varinjlim$ , we get the canonical map  $S \otimes_R S \rightarrow S$  as  $\mathbb{E}_1$ -coalgebras in  $\text{BiMod}(S, S)$ .

The map  $(*)$  reduces to a version of an argument of Toën–Vaquié for “ $\varinjlim_i$ ”  $(S \oplus M_i)$ .

**Theorem 6.12.** *Let  $R$  be a connective  $\mathbb{E}_1$ -ring. Then*

$$\text{Hom}_{\text{Mot}^{\text{loc}}}(\widetilde{\mathcal{U}}_{\text{loc}}(\mathbb{S}[x]), \mathcal{U}_{\text{loc}}(R)) = \text{TR}(R) = \Omega \varinjlim_n \widetilde{K}(R[x^{-1}]/x^{-n}).$$

*Idea.* Prove

$$\widetilde{\mathcal{U}}_{\text{loc}}(\mathbb{S}[x]) = \Sigma \widetilde{\mathcal{U}}_{\text{loc}}(\text{Perf}_{\{\infty\}}(\mathbb{P}_{\mathbb{S}}^1))$$

and

$$\text{Perf}_{\{\infty\}}(\mathbb{P}_{\mathbb{S}}^1) = \varinjlim_n \mathcal{A}_n, \quad \text{where } \mathcal{A}_n = \text{Perf}(\text{Cobar}(\mathbb{S}[x^{-1}]/x^{-n})^*).$$

It then follows that

$$\mathrm{Hom}(\Sigma \tilde{\mathcal{U}}_{\mathrm{loc}}(\mathrm{Perf}_{\{\infty\}}(\mathbb{P}_{\mathbb{S}}^1), \mathcal{U}_{\mathrm{loc}}(R))) = \Omega \varprojlim_n \tilde{K}(\mathrm{Fun}(\mathcal{A}_n, \mathcal{U}_{\mathrm{loc}}(R[x^{-1}]/x^{-n}))).$$

Next, we use the following

**Lemma 6.13.** *Suppose  $\mathcal{B} = \varinjlim_n \mathcal{B}_n$  where the transition maps  $\mathcal{B}_n \rightarrow \mathcal{B}_{n+1}$  are trace class. Then*

$$\begin{aligned} \mathrm{Map}_{\mathrm{Mot}^{\mathrm{loc}}}(\mathcal{U}_{\mathrm{loc}}(\mathcal{B}), \mathcal{U}_{\mathrm{loc}}(\mathcal{C})) &= \varprojlim_n K(\mathrm{Fun}(\mathcal{B}_n, \mathcal{C})) \\ &= \varprojlim_n K(\mathrm{Fun}(\mathcal{B}_n, \mathrm{Sp}^{\omega}) \otimes \mathcal{C}). \end{aligned}$$

□

**Theorem 6.14.** *Consider a smooth scheme  $X$  over  $k$ , and suppose that there exists a smooth compactification  $X \subset \bar{X}$ . Then*

$$KK^{\mathcal{K}}(\mathrm{Perf}(X), \mathrm{Perf}(k)) = \mathrm{fib}(K(\bar{X}) \rightarrow K^{\mathrm{cont}}(\bar{X}_{\widehat{(\bar{X} \setminus X)}})) \simeq \mathrm{fib}(K(X) \rightarrow K^{\mathrm{cont}}(\widehat{X}_{\infty})).$$

**Theorem 6.15.** *Suppose that  $k$  is a regular noetherian ring and  $X$  is a proper scheme over  $k$ . Then*

$$KK^{\mathcal{K}}(\mathrm{Perf}(X), \mathrm{Perf}(k)) = G(X) = K(\mathrm{Coh} X).$$

Consider the following functors:

$$\mathrm{Mot}^{\mathrm{loc}, \mathrm{cyc}} \xrightarrow{j_!} \mathrm{Mot}^{\mathrm{loc}} \xrightarrow{i^*} \mathrm{Mot}^{\mathrm{loc}, \mathbb{A}^1} = \mathrm{Mod}(|\mathcal{U}_{\mathrm{loc}}(\Delta)|).$$

**Theorem 6.16.** *For a connective  $\mathbb{E}_1$ -ring  $R$  we have*

$$\mathrm{Map}(j_! \mathbf{1}, \mathcal{U}_{\mathrm{loc}}(R)) = \mathrm{TC}(R).$$

Suppose  $k$  is a  $\mathbb{Q}$ -algebra. We have a commutative diagram

$$\begin{array}{ccc} \mathrm{Mot}_k^{\mathrm{loc}} & \xrightarrow{\mathrm{HC}^-} & \mathrm{Mod}_{\hat{u}}(k[[u]]) \\ & \searrow \mathrm{HC}^{-, \mathrm{ref}} & \uparrow \\ & & \mathrm{Nuc}(k[[u]]), \end{array}$$

where  $\deg u = 2$ .

**Exercise 6.17.** For  $k = \mathbb{Q}[x]$ , we have

$$\mathrm{HC}^{-, \mathrm{ref}}(\mathbb{Q}[x, x^{-1}]/\mathbb{Q}[x]) = \mathcal{O}\left(\bigcap_{n>0} \{|u| \leq |x|^n \neq 0\}\right),$$

where the right hand side is not generated by compact objects of  $\mathrm{Nuc}(\mathbb{Q}[x][[u]])$ .

**Corollary 6.18.**  $\mathrm{Mot}_{\mathbb{Q}[x]}^{\mathrm{loc}}$  is not compactly generated.