

# The Stable rank of $C_{\text{red}}^*(F_n)$ is one — A Survey

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## 1 Introduction

In a recent paper, [1], by Ken Dykema, Uffe Haagerup and the author of this note it was proved that any reduced free product of  $C^*$ -algebras with respect to tracial states has stable rank one, provided that the ingoing  $C^*$ -algebras satisfy a certain (mild) condition (called the Avitzour condition). Recall that a unital  $C^*$ -algebra  $A$  has stable rank one if its group  $\text{GL}(A)$  of invertible elements is a norm dense subset of  $A$ . This definition is due to Marc Rieffel, [4], who associates the stable rank, a number in  $\{1, 2, 3, \dots\} \cup \{\infty\}$ , to each  $C^*$ -algebra, as an analogue of dimension for topological spaces.

Rieffel posed in his paper the problem of calculating the stable rank of some concrete (simple, finite)  $C^*$ -algebras of interest, namely the irrational rotation  $C^*$ -algebras and the  $C^*$ -algebra  $C_{\text{red}}^*(F_n)$  arising from the free group  $F_n$  of  $n$  generators, where  $2 \leq n \leq \infty$ . Ian Putnam, [3], settled the first question by proving that all the irrational rotation  $C^*$ -algebras have stable rank one. It is proved in [1], as a corollary to its main theorem, that  $C_{\text{red}}^*(F_n)$  has stable rank one for all  $2 \leq n \leq \infty$ .

The purpose of this note is to give a direct and self contained proof of this corollary. The proof given here does not contain any new ideas, not already contained in [1], and the papers it is based upon ([2] and [5]), but it is shorter, and perhaps also less technical, having the privilege of dealing only with a special case of main theorem of [1].

It is a commonly asked question if every finite, simple  $C^*$ -algebra has stable rank one. (A unital  $C^*$ -algebra is said to be finite if it contains no non-unitary isometries.) In Section 5 we give an example that shows that the approach taken in [1] cannot be generalized (in any obvious way) to settle this conjecture for all finite, simple  $C^*$ -algebras. This example, I believe, has not been published before.

After a first draft of this note was written, Jesper Villadsen has constructed an example of a finite, simple, unital  $C^*$ -algebra which is not of stable rank one ([6]).

## 2 The distance to the invertible elements

We shall in this section give a direct proof of a theorem from [5] that states that if the set of invertible elements in a unital  $C^*$ -algebra is not dense, then the  $C^*$ -algebra contains an element with the largest possible distance to the invertibles.

Let  $A$  be a unital  $C^*$ -algebra, and denote, as above, the group of invertible elements in  $A$  by  $\text{GL}(A)$ . Upon representing  $A$  faithfully on a Hilbert space  $H$ , we may assume that  $A \subseteq B(H)$ . Each element  $a \in A$  has a polar decomposition  $a = v|a|$ , where  $v$  is a partial isometry in  $B(H)$  and  $|a| = (a^*a)^{1/2} \in A$ . For each  $\alpha > 0$ , define projections

$$p_\alpha = 1_{(\alpha, \infty)}(|a|), \quad q_\alpha = 1_{(\alpha, \infty)}(|a^*|),$$

on  $H$ . Observe that  $vp_\alpha v^* = q_\alpha$ .

**Lemma 2.1** *If  $vp_\alpha = yp_\alpha$  for some  $\alpha > 0$  and for some  $y \in \text{GL}(A)$ , then  $\text{dist}(a, \text{GL}(A)) \leq \alpha$ .*

*Proof:* Define  $f: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  by  $f(t) = \max\{0, t - \alpha\}$ . Then  $(b =) vf(|a|) = yf(|a|)$ . Since  $y(f(|a|) + \varepsilon \cdot 1) \in \text{GL}(A)$  for all  $\varepsilon > 0$ , we see that  $b$  belongs to the closure of  $\text{GL}(A)$ . Hence

$$\text{dist}(a, \text{GL}(A)) \leq \|a - b\| = \|v(|a| - f(|a|))\| = \sup_{t \in |a|} |t - f(t)| \leq \alpha.$$

□

For each  $\alpha > 0$ , let  $g_\alpha: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be the function given by  $g_\alpha(t) = \min\{1, \alpha^{-1}t\}$ . Set  $b_\alpha = vg_\alpha(|a|) \in A$ .

**Lemma 2.2** *If  $0 < \alpha < \beta$  and if  $\|b_\alpha - y\| < 1$  for some  $y \in \text{GL}(A)$ , then there exists  $y' \in \text{GL}(A)$  such that  $vp_\beta = y'p_\beta$ .*

*Proof:* Observe first that

$$\begin{aligned}
\|(1 - y^*v)p_\alpha\| &= \|(v^* - y^*)vp_\alpha\| = \|(v^* - y^*)q_\alpha v\| \\
&= \|(v^*g_\alpha(|a^*|) - y^*)q_\alpha\| \leq \|v^*g_\alpha(|a^*|) - y^*\| \\
&= \|b_\alpha^* - y^*\| = \|b_\alpha - y\| < 1.
\end{aligned}$$

Let  $h: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be a continuous function satisfying  $0 \leq h \leq 1$ ,  $h$  is zero on the interval  $[0, \alpha]$ , and  $h$  is equal to 1 on the interval  $[\beta, \infty)$ . Put

$$z = (1 - y^*v)h(|a|) = (1 - y^*v)p_\alpha h(|a|).$$

Then  $z \in A$  because  $h(0) = 0$ . Moreover,  $\|z\| \leq \|(1 - y^*v)p_\alpha\| < 1$  and  $zp_\beta = (1 - y^*v)p_\beta$ . Hence  $1 - z \in \text{GL}(A)$  and  $(1 - z)p_\beta = y^*vp_\beta$ . It follows that  $vp_\beta = y'p_\beta$ , when  $y' = (y^*)^{-1}(1 - z) \in \text{GL}(A)$ .

□

**Theorem 2.3** ([5, Theorem 2.6]) *If  $A$  has stable rank not equal to one, then there exists an element  $x$  in  $A$  with*

$$\text{dist}(x, \text{GL}(A)) = \|x\| = 1.$$

*Proof:* Assume that  $A$  is a unital  $C^*$ -algebra of stable rank different from one. Then there is an element  $a \in A$  not in the closure of  $\text{GL}(A)$ . Choose  $\alpha, \beta$  such that

$$0 < \alpha < \beta < \text{dist}(a, \text{GL}(A)).$$

If  $\|b_\alpha - y\| < 1$  for some  $y \in \text{GL}(A)$ , then  $vp_\beta = y'p_\beta$  for some  $y' \in \text{GL}(A)$  by Lemma 2.2. However, from Lemma 2.1, this would entail that  $\text{dist}(a, \text{GL}(A)) \leq \beta$ , in contradiction with the choice of  $\beta$ .

It follows that  $\text{dist}(b_\alpha, \text{GL}(A)) \geq 1$ . In combination with the obvious fact that  $\|b_\alpha\| \leq 1$ , this yields that  $\|x\| = \text{dist}(x, \text{GL}(A)) = 1$ , when  $x = b_\alpha$ .

□

### 3 A norm estimate

The two first lemmas of this section are from Uffe Haagerup's paper [2]. Proposition 3.3 is an easy consequence of these two lemmas, and it will, together with Theorem 2.3, go into the proof of Theorem 4.2.

Let  $e_i$  denote the generators of  $F_n$ . Each element of the free group  $F_n$  is a finite word in  $e_i$  and their inverses. Such a word in  $F_n$  is called *reduced* if no occurrence of  $e_i$  follows or is followed by  $e_i^{-1}$ . The length of a  $g \in F_n$ , which is written  $l(g)$ , is the number of factors  $e_i$  or  $e_i^{-1}$  appearing in the reduced representation of  $g$ .

Let  $\lambda: F_n \rightarrow B(\ell^2(F_n))$  be the left regular representation. Set

$$\mathfrak{A}_n = \text{span}\{\lambda(g) \mid g \in F_n\}, \quad \mathfrak{A}_n^{(j)} = \text{span}\{\lambda(g) \mid g \in F_n, l(g) = j\}.$$

Then  $C_{\text{red}}^*(F_n)$  is the norm closure of  $\mathfrak{A}_n$ .

$C_{\text{red}}^*(F_n)$  has a unique trace  $\tau$ , which gives rise to an inner product on  $C_{\text{red}}^*(F_n)$  defined by  $\langle a, b \rangle = \tau(b^*a)$  and to the norm  $\|a\|_2 = \langle a, a \rangle^{1/2}$ . Denote by  $E_j$  the orthogonal projection from  $\mathfrak{A}_n$  onto  $\mathfrak{A}_n^{(j)}$ .

**Lemma 3.1** ([2, Lemma 1.3]) *Let  $a \in \mathfrak{A}_n^{(k)}$  and  $b \in \mathfrak{A}_n^{(l)}$  be given, and let  $j \in \mathbb{N}$ . Then*

$$\|E_j(ab)\|_2 \leq \|a\|_2 \|b\|_2.$$

*Moreover, if  $j < |k - l|$ , if  $j > k + l$ , or if  $k + l - j$  is odd, then  $E_j(ab) = 0$ .*

*Proof:* The last claims (about  $E_j(ab)$  being zero) follow from the fact that if  $g, h \in F_n$ , then  $l(gh) = l(g) + l(h) - 2m$  for some  $0 \leq m \leq \min\{l(g), l(h)\}$ .

Suppose now that  $|k - l| \leq j \leq k + l$  and that  $k + l - j = 2m$  for some  $m \in \mathbb{N}$ . Let  $g, h \in F_n$  with  $l(g) = k$  and  $l(h) = l$ . Write  $g = g_1g_2$  and  $h = h_2h_1$  as reduced words with  $l(g_2) = l(h_2) = m$  (and consequently,  $l(g_1) = k - m$  and  $l(h_1) = l - m$ ). Then  $l(gh) = j$  if and only if  $g_2 = h_2^{-1}$  and  $g_1h_1$  is reduced. Hence

$$\begin{aligned} E_j(\lambda(gh)) &= \langle \lambda(g_2), \lambda(h_2^{-1}) \rangle E_j(\lambda(g_1h_1)) \\ &= \begin{cases} \langle \lambda(g_2), \lambda(h_2^{-1}) \rangle \lambda(g_1h_1), & \text{if } g_1h_1 \text{ is reduced,} \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

Write

$$a = \sum \alpha_{g_1 g_2} \lambda(g_1 g_2), \quad b = \sum \beta_{h_2 h_1} \lambda(h_2 h_1),$$

summing over all  $g_1, g_2$ , respectively  $h_1, h_2$ , such that  $l(g_1) = k - m$ ,  $l(h_1) = l - m$ ,  $l(g_2) = l(h_2) = m$  and such that  $g_1 g_2$  and  $h_2 h_1$  are reduced. Then,

$$\begin{aligned} \|E_j(ab)\|_2^2 &= \left\| \sum_{g_1, h_1} \left( \sum_{g_2, h_2} \alpha_{g_1 g_2} \beta_{h_2 h_1} \langle \lambda(g_2), \lambda(h_2^{-1}) \rangle \right) E_j(\lambda(g_1 h_1)) \right\|_2^2 \\ &\leq \sum_{g_1, h_1} \left| \sum_{g_2, h_2} \alpha_{g_1 g_2} \beta_{h_2 h_1} \langle \lambda(g_2), \lambda(h_2^{-1}) \rangle \right|^2 \\ &= \sum_{g_1, h_1} \left| \left\langle \sum_{g_2} \alpha_{g_1 g_2} \lambda(g_2), \left( \sum_{h_2} \beta_{h_2 h_1} \lambda(h_2) \right)^* \right\rangle \right|^2 \\ &\leq \sum_{g_1, h_1} \left\| \sum_{g_2} \alpha_{g_1 g_2} \lambda(g_2) \right\|_2^2 \cdot \left\| \sum_{h_2} \beta_{h_2 h_1} \lambda(h_2) \right\|_2^2 \\ &= \sum_{g_1, h_1} \left( \sum_{g_2} |\alpha_{g_1 g_2}|^2 \right) \left( \sum_{h_2} |\beta_{h_2 h_1}|^2 \right) \\ &= \sum_{g_1, g_2} \sum_{h_1, h_2} |\alpha_{g_1 g_2}|^2 |\beta_{h_2 h_1}|^2 \\ &= \|a\|_2^2 \|b\|_2^2. \end{aligned}$$

□

**Lemma 3.2** ([2, Lemma 1.4]) *For each  $k \in \mathbb{N}$  and for each  $a \in \text{span} \mathfrak{A}_n^{(k)}$ ,*

$$\|a\| \leq (2k + 1) \|a\|_2.$$

*Proof:* It suffices to show that

$$\|ab\|_2 \leq (2k + 1) \|a\|_2 \|b\|_2$$

for all  $b \in \mathfrak{A}_n$ . Put  $b_l = E_l(b)$ . It follows from Lemma 3.1 that

$$\begin{aligned} \|E_j(ab)\|_2 &= \left\| \sum_{l=|j-k|}^{j+k} E_j(ab_l) \right\|_2 \leq \sum_{l=|j-k|}^{j+k} \|E_j(ab_l)\|_2 \\ &\leq \sum_{l=|j-k|}^{j+k} \|a\|_2 \|b_l\|_2 \leq (2k+1)^{1/2} \|a\|_2 \left( \sum_{l=|j-k|}^{j+k} \|b_l\|_2^2 \right)^{1/2}. \end{aligned}$$

Hence

$$\begin{aligned} \|ab\|_2^2 &= \sum_{j=0}^{\infty} \|E_j(ab)\|_2^2 \leq (2k+1) \|a\|_2^2 \sum_{j=0}^{\infty} \sum_{l=|j-k|}^{j+k} \|b_l\|_2^2 \\ &\leq (2k+1)^2 \|a\|_2^2 \sum_{j=0}^{\infty} \|b_j\|_2^2 = (2k+1)^2 \|a\|_2^2 \|b\|_2^2. \end{aligned}$$

□

**Proposition 3.3** (c.f. [1, Lemma 3.5]) *For each  $k \in \mathbb{N}$  and each  $a \in \text{span}_{j \leq k} \mathfrak{A}_n^{(j)}$ ,*

$$\|a\| \leq (2k+1)^{3/2} \|a\|_2.$$

*Proof:* Put  $a_j = E_j(a)$ . It follows from Lemma 3.2 that

$$\begin{aligned} \|a\| &= \left\| \sum_{j=0}^k a_j \right\| \leq \sum_{j=0}^k \|a_j\| \\ &\leq \sum_{j=0}^k (2j+1) \|a_j\|_2 \leq (2k+1) \sum_{j=0}^k \|a_j\|_2 \\ &\leq (2k+1)(k+1)^{1/2} \sum_{j=0}^k \|a_j\|_2^2 = (2k+1)(k+1)^{1/2} \|a\|_2 \\ &\leq (2k+1)^{3/2} \|a\|_2. \end{aligned}$$

□

One can replace the constant  $2k+1$  in Lemma 3.2 and in Proposition 3.3 with  $k+1$  by using that  $E_j(ab) = 0$  whenever  $k+l-j$  is odd (c.f. Lemma 3.1).

## 4 The stable rank of $C_{\text{red}}^*(F_n)$

As in Section 3 let  $\mathfrak{A}_n$  denote the dense subalgebra of  $C_{\text{red}}^*(F_n)$  spanned by  $\lambda(g)$ ,  $g \in F_n$ , where  $2 \leq n \leq \infty$ .

**Lemma 4.1** (c.f. [1, Lemma 3.7]) *For each  $a \in \mathfrak{A}_n$  there exist unitaries  $u, v \in \mathfrak{A}_n$  such that  $\|(uav)^m\|_2 = \|a\|_2^m$  for all  $m \in \mathbb{N}$ .*

*Proof:* As before we let  $e_i$  denote the generators of  $F_n$ . It follows by the property of the free groups that if  $g_1, g_2, \dots, g_m$  and  $h_1, h_2, \dots, h_m$  are elements in  $F_n$  all of length  $\leq k$ , and if

$$e_1^{2k+1} g_1 e_2^{k+1} e_1^{2k+1} g_2 e_2^{k+1} \dots e_1^{2k+1} g_m e_2^{k+1} = e_1^{2k+1} h_1 e_2^{k+1} e_1^{2k+1} h_2 e_2^{k+1} \dots e_1^{2k+1} h_m e_2^{k+1},$$

then  $g_1 = h_1$ ,  $g_2 = h_2$ ,  $\dots$   $g_m = h_m$ .

We can find  $k \in \mathbb{N}$  such that  $a \in \text{span}_{j \leq k} \mathfrak{A}_n^{(j)}$ . Put  $u = \lambda(e_1^{2k+1})$  and put  $v = \lambda(e_2^{k+1})$ . Write  $a = \sum_{l(g) \leq k} \alpha_g \lambda(g)$ . Then

$$uav = \sum_{l(g) \leq k} \alpha_g \lambda(e_1^{2k+1} g e_2^{k+1}),$$

and consequently

$$(uav)^m = \sum_{g_1} \sum_{g_2} \dots \sum_{g_m} \alpha_{g_1} \alpha_{g_2} \dots \alpha_{g_m} \lambda(e_1^{2k+1} g_1 e_2^{k+1} e_1^{2k+1} g_2 e_2^{k+1} \dots e_1^{2k+1} g_m e_2^{k+1}).$$

Since all  $g_j$  have length  $\leq k$  the argument in the first paragraph shows that the group elements appearing in the expression above for  $(uav)^m$  are mutually distinct. It therefore follows that

$$\begin{aligned} \|(uav)^m\|_2^2 &= \sum_{g_1} \sum_{g_2} \dots \sum_{g_m} |\alpha_{g_1} \alpha_{g_2} \dots \alpha_{g_m}|^2 \\ &= \left( \sum_{g_1} |\alpha_{g_1}|^2 \right) \cdot \left( \sum_{g_2} |\alpha_{g_2}|^2 \right) \dots \left( \sum_{g_m} |\alpha_{g_m}|^2 \right) = \|a\|_2^{2m}. \end{aligned}$$

□

The spectral radius of an element  $x$  in a  $C^*$ -algebra will be denoted by  $r(x)$ . If  $t > r(wx)$  for some unitary  $w$  in  $A$ , then  $x - tw^* = w^*(wx - t \cdot 1)$  is invertible, and so

$$\text{dist}(x, \text{GL}(A)) \leq \|x - (x - tw^*)\| = t.$$

This proves that

$$\text{dist}(x, \text{GL}(A)) \leq \inf_{w \in U(A)} r(wx).$$

**Theorem 4.2** ([1, Corollary 3.9]) *The  $C^*$ -algebras  $C_{\text{red}}^*(F_n)$  have stable rank one for all  $2 \leq n \leq \infty$ ,*

*Proof:* We begin by proving that

$$\text{dist}(a, \text{GL}(C_{\text{red}}^*(F_n))) \leq \|a\|_2$$

for all  $a \in C_{\text{red}}^*(F_n)$ . By continuity it suffices to prove this for  $a \in \mathfrak{A}_n$ . Let  $u, v \in \mathfrak{A}_n$  be as in Lemma 4.1. Then  $uav \in \text{span}_{j \leq k} \mathfrak{A}_n^{(j)}$  for some  $k$ , and  $(uav)^m \in \text{span}_{j \leq mk} \mathfrak{A}_n^{(j)}$ . By Proposition 3.3 and Lemma 4.1 we get

$$\begin{aligned} d(a, \text{GL}(C_{\text{red}}^*(F_n))) &\leq r(vua) = r(uav) \\ &= \liminf_{m \rightarrow \infty} \|(uav)^m\|^{1/m} \\ &\leq \liminf_{m \rightarrow \infty} (2mk + 1)^{3/2m} \|(uav)^m\|_2^{1/m} = \|a\|_2. \end{aligned}$$

Now, if  $C_{\text{red}}^*(F_n)$  had stable rank different from one, then by Theorem 2.3 there would exist an element  $x$  in  $C_{\text{red}}^*(F_n)$  of norm one and distance one from the invertibles. That would imply

$$1 = \|x\| = \text{dist}(x, \text{GL}(C_{\text{red}}^*(F_n))) \leq \|x\|_2 \leq \|x\|,$$

and hence  $\|x\| = \|x\|_2 = 1$ . Consequently,  $\tau(1 - xx^*) = \tau(1 - x^*x) = 1 - \|x\|_2^2 = 0$ , and also  $1 - xx^* \geq 0$ ,  $1 - x^*x \geq 0$ . Since  $\tau$  is faithful, this shows that  $x$  is unitary. But unitary elements are invertible and do not have distance one to the invertibles.  $\square$



## 5 An example

In the proof of Theorem 4.2 it was shown that

$$\inf_{u \in U(C_{\text{red}}^*(F_n))} r(ux) \leq \|x\|_2$$

for all  $x$  in a dense sub- $*$ -algebra of  $C_{\text{red}}^*(F_n)$ , where  $r(\cdot)$  is the spectral radius. Once this is established, density of the invertibles in  $C_{\text{red}}^*(F_n)$  follows easily from Theorem 2.3. One might proceed to establish this estimate on the spectral radius for general  $C^*$ -algebras (with a unique trace), or appropriate generalizations thereof. For example, it is plausible that if  $A$  is any simple, unital  $C^*$ -algebra, and if  $x \in A$  is a non-zero element such that  $ax = 0 = xa$  for some non-zero positive  $a \in A$ , then

$$\inf_{u \in U(A)} r(ux) < \|x\|.$$

One could moreover hope that this holds for general (non-simple) unital  $C^*$ -algebras provided that the element  $a$  above is assumed to be full. This is *not* the case, however, as shown in Theorem 5.1 below.

Let  $A$  be any unital  $C^*$ -algebra, let  $n, k, l \in \mathbb{N}$  be such that  $k + l \leq n$ , and let  $x$  be any element in  $M_n(A)$  such that at most  $k$  rows and  $l$  columns in the  $n \times n$  matrix of  $x$  are non-zero. Then for some (unitary) permutation matrices  $u$  and  $v$ ,  $uxv$  is strictly upper triangular. It follows that  $uxv$  and  $vux$  are nilpotent and hence that  $r((vu)x) = 0$ .

Let  $k, l \in \mathbb{N}$ , and let  $X_{k,l}$  be the space of all complex  $k \times l$ -matrices of (operator) norm  $\leq 1$ . For each  $n \geq \max\{k, l\}$  let  $z_{k,l}^{(n)} \in M_n(C(X_{k,l})) = C(X_{k,l}, M_n(\mathbb{C}))$  be given by

$$z_{k,l}^{(n)}(x) = \left( \begin{array}{c|c} x & 0 \\ \hline 0 & 0 \end{array} \right), \quad x \in X_{k,l}.$$

Clearly  $\|z_{k,l}^{(n)}\| = 1$ , and the argument above shows that if  $k + l \leq n$ , then  $uz_{k,l}^{(n)}$  is nilpotent for some unitary  $u \in M_n(C(X_{k,l}))$ , and  $z_{k,l}^{(n)}$  belongs to the closure of the invertible elements in  $M_n(C(X_{k,l}))$ .

**Theorem 5.1** *If  $k + l > n$ , then*

$$\text{dist}(z_{k,l}^{(n)}, \text{GL}(M_n(C(X_{k,l})))) = \|z_{k,l}^{(n)}\| = 1.$$

In particular,  $r(uz_{k,l}^{(n)}) = 1$  for all unitaries  $u \in M_n(C(X_{k,l}))$ .

*Proof:* We need only prove that  $\|z_{k,l}^{(n)} - a\| \geq 1$  for all invertible  $a \in M_n(C(X_{k,l}))$ . (The formula for the spectral radius will then follow from the inequality above Theorem 4.2.)

Suppose that  $a \in M_n(C(X_{k,l}))$  and that  $\|z_{k,l}^{(n)} - a\| < 1$ . We show that  $a$  is not invertible. Let  $a_0 \in M_{k,l}(C(X_{k,l})) = C(X_{k,l}, M_{k,l}(\mathbb{C}))$  be the upper left  $k \times l$  block of the matrix of  $a$ . We begin by proving that  $a_0(x_0) = 0$  for some  $x_0 \in X_{k,l}$ .

Assume, to reach a contradiction, that  $a_0(x)$  is non-zero for all  $x \in X_{k,l}$ . Let  $Y_{k,l} \subseteq X_{k,l}$  be the set of all  $k \times l$  matrices of norm equal to 1. Observe that  $Y_{k,l}$  is homeomorphic to the sphere  $S^{2kl-1}$ , and that  $Y_{k,l}$  therefore is not contractible. Observe also, that

$$\|x - a_0(x)\| \leq \|z_{k,l}^{(n)}(x) - a(x)\| < 1, \quad x \in X_{k,l}.$$

Define a function  $f: Y_{k,l} \times [0, 2] \rightarrow M_{k,l}(\mathbb{C})$  by

$$f(y, t) = \begin{cases} a_0(ty), & 0 \leq t \leq 1 \\ (t-1)y + (2-t)a_0(y), & 1 \leq t \leq 2 \end{cases}$$

Then  $f$  is continuous, the function  $y \mapsto f(y, 0)$  is constant,  $f(y, 2) = y$ , and  $f(y, t) \neq 0$  for all  $(y, t)$ . The function  $h: Y_{k,l} \times [0, 2] \rightarrow Y_{k,l}$  given by  $h(y, t) = f(y, t)/\|f(y, t)\|$  is therefore continuous,  $y \mapsto h(y, 0)$  is constant, and  $h(y, 2) = y$ . However, no such function  $h$  exists because  $Y_{k,l}$  is not contractible.

To prove that  $a$  is non-invertible, it suffices to show that  $a(x_0)$  is non-invertible. Let  $v_1, v_2, \dots, v_n \in \mathbb{C}^n$  be the column vectors of  $a(x_0)$ . Because  $a_0(x_0) = 0$ , it follows that  $v_1, v_2, \dots, v_l$  all lie in an  $(n-k)$ -dimensional subspace of  $\mathbb{C}^n$ . Since  $l > n-k$ , the set  $(v_1, v_2, \dots, v_n)$  cannot be linearly independent, and therefore  $a(x_0)$  is not invertible.

□

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