# Spectra of C\* algebras, Extensions and R-actions

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## TOC

- Spectra of amenable C\*-algebras.
- NC-Selection and semi-split Extensions.
- Study of coherent locally q-compact spaces.
- Application: Exotic line-action on Cuntz algebras.

## **Conventions and Notations**

- Spaces  $P, X, Y, \ldots$  are  $\mathsf{T}_0$  and *second countable*, algebras  $A, B, \ldots$  are *separable*, ...
- ... except corona spaces  $\beta(P) \setminus P$ , multiplier algebras  $\mathcal{M}(B)$ , and ideals of corona algebras  $Q(B) := \mathcal{M}(B)/B$ , the space  $\operatorname{Prim}(\mathcal{M}(B))$ , ...
- The isomorphisms  $\mathcal{I}(A) \cong \mathbb{O}(\operatorname{Prim}(A)) \cong \mathcal{F}(\operatorname{Prim}(A))^{op}$  will be used frequently.
- $\mathbb{Q} := [0,1]^{\infty}$  denotes the Hilbert cube (with its coordinate-wise order).
- A T<sub>0</sub> space X is sober (or "point-complete") if each prime closed subset F of X is a the closure {x} = F of a singleton {x}. (Locally) "compact" means (locally) "quasi-compact" in case of T<sub>0</sub> spaces.

## Spectra of amenable algebras (1)

Characterization of Prim(A) for amenable A (H.Harnisch, E.K., M.Rørdam):

**Theorem 1.** A sober space X is homeomorphic to a primitive ideal space of an amenable  $C^*$ -algebra A, if and only if,

there is a Polish I.c. space P and a continuous map  $\pi \colon P \to X$  such that

 $\pi^{-1}: \mathbb{O}(X) \to \mathbb{O}(P)$  is injective (=:  $\pi$  is pseudoepimorphic),

and

 $(\bigcap_n \pi^{-1}(U_n))^\circ = \pi^{-1}((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \ldots \in \mathbb{O}(X)$  (=:  $\pi$  is pseudo-open).

The algebra  $A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  is uniquely determined by X up to (unitarily homotopic) isomorphisms.

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#### Spectra of amenable algebras (2)

Notice: A continuous epimorphism  $\pi: P \to X$  is not necessarily *pseudo-open*, e.g.  $\sum_n \alpha_n 3^{-n} \mapsto \sum_n \alpha_n 2^{-n}$  is continuous epimorphism from the Cantor space  $\{0,1\}^{\infty}$  onto [0,1], but no pseudoopen continuous epimorphism from  $\{0,1\}^{\infty}$  onto [0,1] exists.

A map  $\Psi \colon \mathbb{O}(X) \to \mathbb{O}(Y)$  is **lower semi**continuous if  $(\bigcap_n \Psi(U_n))^\circ = \Psi((\bigcap_n U_n)^\circ)$  for each sequence  $U_1, U_2, \ldots \in \mathbb{O}(X)$ .

(Thus,  $\pi$  is pseudo-open, if and only if,  $\Psi := \pi^{-1}$  is lower semi-continuous.)

If one works with *closed sets*, then one has to replace intersections by unions and interiors by closures.

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#### NC-Selection and Extensions (1)

**Proposition 2.** If  $\Psi: \mathcal{I}(B) \to \mathcal{I}(A)$  is a lower semi-continuous action of Prim(B) on A and Bis stable, then there exists a lower s.c. action  $\mathcal{M}(\Psi): \mathcal{I}(\mathcal{M}(B)) \to \mathcal{I}(A)$  of  $Prim(\mathcal{M}(B))$  on A, that has the following properties (i)-(iii):

(i) M(Ψ) is monotone upper semi-continuous
(:= sup's of upward directed families of ideals will be respected).

(ii) 
$$\mathcal{M}(\Psi)(J_1) = \mathcal{M}(\Psi)(J_1)$$
  
if  $J_1 \cap \delta_{\infty}(\mathcal{M}(B)) = J_2 \cap \delta_{\infty}(\mathcal{M}(B)).$ 

(iii)  $\mathcal{M}(\Psi)(\mathcal{M}(B,I)) = \Psi(I)$  for all  $I \in \mathcal{I}(B)$ .

The "extension"  $\mathcal{M}(\Psi)$  of  $\Psi$  with (i)–(iii) is unique.

#### NC-Selection and Extensions (2)

For strongly p.i. (not necessarily separable) B and exact A, there is a nuclear \*-morphism  $h: A \to B$ with  $\Psi(J) = h^{-1}(h(A) \cap J)$ , if and only if,  $\Psi$  is lower s.c. and monotone upper s.c. It yields the following theorem.

**Theorem 3.** [NC-selection] Suppose that B is stable,  $A \otimes \mathcal{O}_2$  contains a regular exact  $C^*$ -algebra  $C \subset A \otimes \mathcal{O}_2$ , and that  $\Psi \colon \mathcal{I}(B) \to \mathcal{I}(A)$  is a lower s.c. action of Prim(B) on A.

Then there is a \*-morphism  $h: A \to \mathcal{M}(B)$  such that  $\delta_{\infty} \circ h$  is unitarily equivalent to h,  $\Psi(J) = h^{-1}(h(A) \cap \mathcal{M}(B, J))$  and that

 $[h]_J \colon A/\Psi(J) \to \mathcal{M}(B/J) \cong \mathcal{M}(B)/\mathcal{M}(B,J)$ 

is weakly nuclear for all  $J \in \mathcal{I}(B)$ .

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#### **NC-Selection and Extensions (3)**

Here, a subalgebra  $C \subset D$  is **regular** if Cseparates the ideals of D and  $C \cap (I + J) = (C \cap I) + (C \cap J)$  for all  $I, J \in \mathcal{I}(D)$ .

Theorem 3 applies to necessary and sufficient criteria for (ideal-system-) equivariant semi-splitness of extensions.

Let  $\epsilon \colon B \to E$  a \*-monomorphism onto a closed ideal of E and  $\pi \colon E \to A$  an epimorphism such that  $\epsilon(B)$  is the kernel of  $\pi$ . We denote by  $\gamma \colon A \to Q(B) = \mathcal{M}(B)/B$  the Busby invariant of the extension

$$0 \to B \xrightarrow{\epsilon} E \xrightarrow{\pi} A \to 0$$

#### NC-Selection and Extensions (4)

Consider now general "actions"  $\psi_B \colon S \to \mathcal{I}(B)$ ,  $\psi_E \colon S \to \mathcal{I}(E)$ , and  $\psi_A \colon S \to \mathcal{I}(A)$ , of a set S on B, E and A. We require that the extension E is  $\psi$ -equivariant:

(a) 
$$\epsilon(\psi_B(s)) = \epsilon(B) \cap \psi_E(s) = \epsilon(B)\psi_E(s)$$
, and

(b) 
$$\psi_A(s) = \pi(\psi_E(s))$$
 for all  $s \in S$ .

i.e.,  $0 \to \psi_B(s) \to \psi_E(s) \to \psi_A(s) \to 0$  is exact for each  $s \in S$ .

An action  $\Phi: \mathcal{I}(A) \to \mathcal{I}(B)$  of Prim(A) on Bis **upper semi-continuous** if  $\Psi$  preserves sup of families in  $\mathcal{I}(A)$ , i.e.,  $\Psi(I + J) = \Psi(I) + \Psi(J)$  and  $\Psi$  is monotone upper semi-continuous.

#### **NC-Selection and Extensions (5)**

**Lemma 4.** There is a unique maximal upper semicontinuous map  $\Phi: \mathcal{I}(A) \to \mathcal{I}(B)$  with the property that  $\Phi(\psi_A(s)) \subset \psi_B(s)$  for all  $s \in S$ .

Upper semi-continuous actions  $\Phi$  have lower semi-continuous (= inf preserving) adjoint maps  $\Psi: \mathcal{I}(B) \to \mathcal{I}(A)$  such that  $(\Psi, \Phi)$  build a Galois connection, i.e.,  $\Psi(J) \supset I$  iff  $J \supset \Phi(I)$ . The rule is: The *upper* adjoint is *lower* semi-continuous.

Applications of Theorem 3 to the adjoint  $\Psi$  of  $\Phi$  in Lemma 4 implies the following necessary and sufficient criterion (ii):

#### **NC-Selection and Extensions (6)**

**Theorem 5.** Let B, E, A,  $\epsilon$ ,  $\pi$ ,  $\gamma$ ,  $\psi_Y \colon S \to \mathcal{I}(Y)$ (for  $Y \in \{B, E, A\}$ ) be as above, and let  $\Phi \colon \mathcal{I}(A) \to \mathcal{I}(B)$  the map given in Lemma 4.

Suppose, in addition, that A is exact and that B is weakly injective (i.e., has the WEP of Lance).

Then the following properties (i) and (ii) of the extension are equivalent:

- (i) The extension has an S-equivariant c.p. splitting map, i.e., there is a c.p. map  $V: A \to E$  with  $\pi \circ V = \operatorname{id}_A$  and  $V(\psi_A(s)) \subset \psi_E(s)$  for all  $s \in S$ .
- (ii) The Busby invariant  $\gamma \colon A \to Q(B)$  is nuclear, and,

 $\pi_B(\mathcal{M}(B, \Phi(J))) \supset \gamma(J) \qquad \forall \ J \in \mathcal{I}(A)$ 

## **Coherent Dini spaces (1)**

**Definition 6.** A map  $f: X \to [0, \infty)$  is a **Dini function** if it is lower semi-continuous and  $\sup f(\bigcap_n F_n) = \inf_n \{\sup f(F_n)\}$  for every decreasing sequence  $F_1 \supset F_2 \supset \cdots$  of closed subsets of X.

A sober  $T_0$  space X is a **Dini** space if the supports of the Dini functions build a base of the topology of X.

The Dini functions f are exactly the functions that satisfy the (generalized) **Dini Lemma**: Every upward directed net of l.s.c. functions converges **uniformly** to f if it converges point-wise to f. If a  $T_0$  space X is sober, then a function  $f: X \to [0, 1]$ is Dini, if and only if, f is lower semi-continuous and the restriction  $f: X \setminus f^{-1}(0) \to (0, 1]_{lsc}$  is proper.

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## **Coherent Dini spaces (2)**

The class of Dini spaces X coincides with the class of sober locally compact  $T_0$  spaces with a countable base of its topology.

A subset C of X is **saturated** if C = Sat(C), where Sat(C) means the intersection of all  $U \in \mathbb{O}(X)$  with  $U \supset C$ .

**Definition 7.** A sober  $T_0$  space X is coherent if the intersection  $C_1 \cap C_2$  of two saturated quasicompact subsets  $C_1, C_2 \subset X$  is again quasi-compact.

Below, we consider some partial results concerning the open **Question**:

Is every (second-countable) coherent Dini space X homeomorphic to the primitive ideal spaces Prim(A) of some amenable  $C^*$ -algebra A?

Let  $\mathcal{F}(X)$  denote the lattice of closed subsets  $F \subset X$ .

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#### **Coherent Dini spaces (3)**

**Definition 8.** The topological space  $\mathcal{F}(X)_{lsc}$  is the set  $\mathcal{F}(X)$  with the  $T_0$  order topology that is generated by the complements

 $\mathcal{F}(X) \setminus [\emptyset, F] = \{ G \in \mathcal{F}(X) ; \ G \cap U \neq \emptyset \} =: \mu_U$ 

of the intervals  $[\emptyset, F]$  for all  $F \in \mathcal{F}(X)$  (where  $U = X \setminus F$ ).

The Fell-Vietoris topology on  $\mathcal{F}(X)$  is the topology, that is generated by the sets  $\mu_U$  ( $U \in \mathbb{O}(X)$ ) and the sets  $\mu_C := \{G \in \mathcal{F}(X); G \cap C = \emptyset\}$  for all quasi-compact  $C \subset X$ .

 $\mathbb{O}(X) \cong \mathcal{F}(X)^{\mathrm{op}}$  defines the **Larson** topology on  $\mathbb{O}(X)$ . We denote by  $\mathcal{F}(X)_H$  Fell-Vietoris topology.

The space  $\mathcal{F}(X)_{lsc}$  is a *coherent Dini* space, and the space  $\mathcal{F}(X)_H$  is a *compact Polish* space.

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#### **Coherent Dini spaces (4)**

The ordered Hilbert cube  $\mathbb{Q}$  is nothing else  $\mathcal{F}(Y)$ for  $Y := X_0 \uplus X_0 \uplus \cdots$  where  $X_0 := (0, 1]_{lsc}$ . The Fell-Vietoris topology becomes the usual Hausdorff topology on  $\mathbb{Q}$ .

If X is locally quasi-compact sober  $T_0$  space, then a dense sequence  $g_1, g_2, \ldots$  in the Dini functions g on X with  $\sup g(X) = 1$  defines an order isomorphism  $\iota \colon \mathcal{F} \to \mathbb{Q}$  onto a max-closed subset  $\iota(\mathcal{F})$  of  $\mathbb{Q}$  with  $\iota(\emptyset) = 0, \ \iota(X) = 1$  by

$$\iota(F) := (\sup g_1(F), \sup g_2(F), \ldots) \in \mathbb{Q}.$$

The image  $\iota(\mathcal{F}(X))$  is closed in  $\mathbb{Q}$  (with Hausdorff topology) and  $\iota$  defines a homeomorphism from  $\mathcal{F}(X)$  onto  $\iota(\mathcal{F}(X))$  with respect to both topologies on  $\mathcal{F}(X)$  and  $\mathbb{Q}$ .

#### **Coherent Dini spaces (5)**

In this way,  $X \cong \eta(X) \subset \overline{\eta(X)}^H \setminus \{0\} \subset \mathcal{F}(X) \subset \mathbb{Q}$ , considered as Polish spaces, with  $X \ni x \mapsto \eta(x) := \overline{\{x\}} \in \mathcal{F}(X)$ .

**Theorem 9.** Let X a second countable locally (quasi-)compact sober  $T_0$  space. Following properties (i)-(iv) of X are equivalent:

(i) X is coherent.

(ii) The set  $\mathcal{D}(X)$  of Dini functions on X is convex.

(iii)  $\mathcal{D}(X)$  is min-closed.

(iv)  $\mathcal{D}(X)$  is multiplicatively closed.

#### **Coherent Dini spaces (6)**

It is known that, X is coherent, if and only if, the image  $\eta(X) \cong X$  in  $\mathcal{F}(X) \setminus \{\emptyset\}$  is closed in  $\mathcal{F}(X) \setminus \{\emptyset\}$  with respect to the Fell-Vietoris topology on  $\mathcal{F}(X)$ .

- Lemma 10. (1) Each closed subset  $F \subset \mathbb{Q}_H$  is a coherent locally compact sober subspace  $F_{lsc}$  of  $\mathbb{Q}_{lsc}$ , and is the intersection of an decreasing sequence  $F_k$  of closed subspaces of  $\mathbb{Q}_H$  that are continuously order-isomorphic to spaces  $G_k \times \mathbb{Q}$ with  $G_k \subset [0,1]^{n_k}$  a finite union of  $n_k$ -dimensional (small) cubes.
- (II) If  $F = \bigcap_k F_k$  for a sequence  $F_1 \supset F_2 \supset \cdots$  of closed subsets in  $\mathbb{Q}_H$ , and if each  $(F_k)_{lsc} \subset \mathbb{Q}_{lsc}$ is the primitive ideal space of an amenable  $C^*$ algebra, then  $F_{lsc}$  is the primitive ideal space of an amenable  $C^*$ -algebra.

## **Coherent Dini spaces (7)**

Lemma 10 applies to  $F := \eta(\mathcal{F}(X))$  for all Dini spaces X, and to  $F := \{0\} \cup \eta(X)$  for all coherent Dini spaces X.

**Corollary 11.** If there is a coherent sober l.c. space X that is not homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra, then there is  $n \in \mathbb{N}$  and a finite union Y of (Hausdorff-closed and small) cubes in  $[0,1]^n$  such that Y with induced order-topology is not the primitive ideal space of any amenable  $C^*$ -algebra.

**Theorem 12.** [O.B. loffe, E.K.] If  $G \subset [0,1]^n$  is a finite union of (small) cubes, then the space  $G_{lsc}$ has a decomposition series  $U_1 \subset U_2 \subset \cdots \subset U_k$ , by open subsets  $U_\ell \subset G_{lsc}$  such that  $U_{\ell+1} \setminus U_\ell$  is the primitive ideal space of an amenable  $C^*$ -algebra.

Now combine above results with the following conjecture.

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## **Coherent Dini spaces (8)**

Let X a Dini space and  $U \subset X$  open.

**Conjecture 13.** The space X is homeomorphic to the primitive ideal space of an amenable  $C^*$ -algebra if U and  $X \setminus U$  are homeomorphic to primitive ideal spaces of amenable  $C^*$ -algebras.

This Conjecture implies that Dini spaces are primitive ideal spaces of amenable  $C^*$ -algebras — if they have decomposition series by open subsets  $\{U_{\alpha}\}$  with coherent spaces  $U_{\alpha+1} \setminus U_{\alpha}$ .

A Dini space X is the primitive ideal space of an AF-algebra if U and  $X \setminus U$  are primitive ideal spaces of AF-algebras.

**Proposition 14.** Conjecture 13 reduces, in the case where X is coherent, to the case, where  $X \setminus U = \{p\}$ is a singleton and  $U \cong Prim(B)$ , and where B is an inductive limit of algebras  $B_n \cong C_0(\Gamma_n \setminus \{g_n\}) \otimes M_{k_n}$ for connected pointed graphs  $(\Gamma_n, g_n)$ .

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## **Exotic** $\mathbb{R}$ -actions (1)

**Theorem 15.** [N.Ch. Phillips, E.K.] Suppose that A is an amenable C\*-algebra, G an amenable l.c. group, and that G acts minimally by  $\alpha: G \to \operatorname{Homeo}(\operatorname{Prim}(A))$  on  $\operatorname{Prim}(A)$ . Then there exists a continuous group-action  $\beta: G \to \operatorname{Aut}(B)$ on the C\*-algebra  $B := A \otimes \mathcal{O}_2 \otimes \mathbb{K}$  that implements  $\alpha$ , and has crossed product  $B \rtimes_{\beta} G \cong \mathcal{O}_2 \otimes \mathbb{K}$ .

A part of the proof is an G-equivariant improvement of Theorem 1. Then the spectra of the actions will be enriched by tensoring (infinitely often if necessary) with the natural action of G on  $\mathcal{O}_{\infty} \cong \mathcal{O}(L_2(G))$ .

**Definition 16.** [N.C.Phillips compactification] Let  $\Xi(P)$  denote the prime  $T_0$  space  $P \cup \{\infty\}$  with topology given by the system of open subsets

 $\mathbb{O}(\Xi(P)) = \{ \emptyset, \Xi(P) \setminus C ; \ C \subset P, \ \text{compact in } P \}.$ 

#### **Exotic** $\mathbb{R}$ -actions (2)

**Theorem 17.** [N.Ch. Phillips, E.K.] There exists an amenable  $C^*$ -algebra A with  $Prim(A) \cong \Xi(P)$ .

If we apply the above theorems to  $\Xi(G)$ , we get:

**Corollary 18.** Every non-compact amenable l.c. group G has a co-action  $\widehat{\beta}$  on  $\mathcal{O}_2 \otimes \mathbb{K}$  such that  $B := (\mathcal{O}_2 \otimes \mathbb{K}) \rtimes \widehat{G}$  is prime and the (dual) action  $\beta$  of G on B is minimal and toplogically free.

If  $G := \mathbb{R} = \widehat{G}$ , there is also an action  $\widehat{\beta}$  of  $\mathbb{R} = \widehat{\mathbb{R}}$  on  $\mathcal{O}_2$  itself with this property.

#### General extensions (1)

The existence problem for extensions reduces in case of non-coherent X to the case where  $U \cong$ Prim(B) with  $B \cong B \otimes \mathcal{O}_2 \otimes \mathbb{K}$  is an inductive limit of algebras  $B_n \cong C_0(\Gamma_n \setminus \{g_n\}) \otimes M_{k_n}$  for connected pointed graphs  $(\Gamma_n, g_n)$ , and where  $F := X \setminus U$  is homeomorphic to  $(0, 1]_{lsc}$ .

This is equivalent to the below formulated question:

Given sequences of positive contractions  $T_1, T_2, \ldots \in \mathcal{M}(B)_+$  and isometries  $V_n \in \mathcal{M}(B)$ with  $T_{n+1} = V_n^* T_n V_n$ . Let  $\gamma(J) := \lim_n ||T_n + \mathcal{M}(B, J)||$ , and suppose that, for each  $J \in \mathcal{I}(B)$  and  $n \in \mathbb{N}$ , there is  $b := b_{n,J} \in B$ such that

$$(\delta_{\infty}(T_n) - \gamma(J))_+ - \delta_{\infty}(b) \in \mathcal{M}(B, J),$$

i.e.,  $\delta_{\infty}(\mathcal{M}(\pi_J)(T_n) - \gamma(J)_+) \in \delta_{\infty}(B/J).$ 

## **General extensions (2)**

**Question 19.** Does there exist a contraction  $S \in \mathcal{M}(B)_+$  such that

 $\|\mathcal{M}(\pi_J)(S)\| = \|S + \mathcal{M}(B, J) + B\| = \gamma(J)$ 

for each  $J \in \mathcal{I}(B)$ .

If the answer is positive, then the element  $\pi_B(S) \in Q(B)$  defines the desired Busby invariant of the desired extension.