

SOME GENERALIZATIONS OF VOICULESCU'S  
NON-COMMUTATIVE WEYL-VON NEUMANN  
THEOREM.

JOINT WORK WITH ALIN CIUPERCA,  
ZHUANG NIE  
PING NG.

THM (VOICULESCU, 1976).

$A$  UNITAL SEP.  $C^*$ -ALG.

$H$  SEP. INFINITE DIMEN. HILBERT SPACE

$\varphi, \psi: A \longrightarrow B(H)$  UNITAL  $*$ -HOMOM.

ST.  $\varphi(A) \cap \mathcal{K} = \psi(A) \cap \mathcal{K} = \{0\}$

THEN  $\varphi$  AND  $\psi$  APPROX. UNITARILY  
EQUIVALENT

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RECALL:  $\varphi \sim_a \psi$  IF  $\exists (u_n)_{n \geq 1}, u_n \in \mathcal{U}(H)$   
st.  $\forall a \in A$

$$\| \varphi(a) - u_n \psi(a) u_n^* \| \longrightarrow 0$$

1981, HADWIN

THM: A UNITAL SEP.  $C^*$ -ALG.

$$\varphi, \psi: A \rightarrow B(H) \text{ } * \text{-HOMOM.}$$

THEN, TFAE:

①  $\varphi \sim_K \psi$  (APPROX. UNIT. EQUIV. UP TO COMP.)

(I.E.  $\varphi \sim_a \psi$  AND  $\forall a \in A, \forall u \geq 1$

$(\varphi(a) - u_a \psi(a) u_a^*) \in K$  )

②  $\varphi \sim_a \psi$

③  $\varphi \sim_{wa} \psi$  (WEAK APPROX. UNIT. EQUIV.)

(I.E.  $\exists (u_n)_{n \geq 1}, (\psi_n)_{n \geq 1}$  SEQ. IN  $U(H)$  ST.

$\forall a \in A, \quad \varphi(a) - u_n \psi(a) u_n^* \xrightarrow{\text{WOT}} 0$

$\psi(a) - \psi_n \varphi(a) \psi_n^* \xrightarrow{\text{WOT}} 0$  )

④  $\text{RANK}(\varphi(a)) = \text{RANK}(\psi(a)), \forall a \in A.$

GOAL: LOOK AT "LOCALIZED" VERSIONS  
OF HADWIN - VOICULESCU THY.

TWO DIRECTIONS:

① SPECIALIZE THE TARGET ALG.

\* REPLACE  $B(H)$  BY OTHER UN. FACTORS.

\* REPLACE POINTWISE-NORM CONV BY  
POINTWISE -  $G(M, \mathcal{K}_*)$  - CONV.  
( $M$ . UN FACTOR).

② SPECIALIZE THE CLASS OF  $C^*$ -ALGEBRAS.

\* TAKE A NUCLEAR.

\* REPLACE  $B(H)$  BY A GENERAL UN ALG.  $M$

REPLACE POINTWISE-NORM CONV BY  
POINTWISE -  $G(M, \mathcal{K}_*)$  - CONV.

SOME KNOWN RESULTS.

(2005) DING-HADWIN;  $\mathcal{R}$  unq.  $x \in \mathcal{R}$ .

$$\mathcal{R}\text{-RANK}(x) = \frac{\mathcal{N}\text{-ON EQUIV CLASS OF PROJ. ON}}{\text{RANGE}(x)}.$$

\* A UNITAL  $C^*$ -ALG.  $\pi, \rho: A \rightarrow \mathcal{R}$  UNITAL.

$$\pi \sim_a \rho \ (\mathcal{R}) \Rightarrow \mathcal{R}\text{-RANK} \circ \pi = \mathcal{R}\text{-RANK} \circ \rho$$

\* A UNITAL  $C^*$ -ALG.,  $\mathcal{M}$  FINITE FACTOR.  
 $\tilde{\tau}$  F.N TRACE ON  $\mathcal{M}$ .

$$\mathcal{M}\text{-RR} \circ \pi = \mathcal{M}\text{-RR} \circ \rho \text{ IFF } \tilde{\tau} \circ \pi = \tilde{\tau} \circ \rho$$

\* A SEP. UNITAL AH-ALG.

$\mathcal{M} \subset B(H)$ ,  $H$  SEP.  $\mathcal{M}$  FINITE FACTOR

$$\pi \sim_a \rho \text{ IFF } \mathcal{M}\text{-RR} \circ \pi = \mathcal{M}\text{-RR} \circ \rho$$

IFF  $\hat{\tau} \circ \pi = \hat{\tau} \circ \rho$ , FOR  $\hat{\tau}$  NORMALIZED  
 FAITHFUL, NORMAL TRACE  $\hat{\tau}$  ON  $\mathcal{M}$ .

HADWIN  
SHERMAN

$\exists$  FINITE VN FACTOR  $M$   
SEP. UNITAL  $C^*$ -ALG  $A$

$\varphi, \psi: A \rightarrow M$  UNITAL,  $*$ -HOM. ST.  $\tilde{\iota} \circ \varphi = \tilde{\iota} \circ \psi$

BUT  $\varphi \not\sim_a \psi$ .

EVEN  $\varphi \not\sim_{\omega a} \psi$

COME BACK TO DIRECTION (1).

\* REPLACE  $B(H)$  BY

$M$  PROPERLY INF. VN FACTOR WITH  $M_*$  SEP.

$M$  SEMIDISCRETE

(ie.  $\text{id}_M$  FACTORS THROUGH MATRIX-ALG.

IN THE PT-WISE -  $\sigma(M, M_*)$ -TOPOL. )

RECALL:  $M$  VN FACTOR,  $M_*$  SEP.

- SEMIDISCRETE  $\Leftrightarrow$  INJECTIVE

- INJECTIVE  $\Leftrightarrow$  AFD.

G. ONNES - HAAGERUP :

$\exists !$  AFD FACTOR OF TYPE  $\overline{\text{II}}_1, \overline{\text{II}}_\infty, \overline{\text{III}}_d, d \neq 0$

{	TYPE $\overline{\text{III}}_0$ AFD FACTORS	( $\leftrightarrow$ )	NON TRANSITIVE	{
	ISOM		ERG. FLOW	
			CONJUG	

(6)

THM:  $M$  TYPE III SEPARABLE SEMIDISCRETE VN FACTOR

$A$  SEP. UNITAL  $C^*$ -ALG.

$\varphi, \psi : A \rightarrow M$  INJECTIVE UNITAL  $*$ -HOM.

THEN  $\exists (u_n)_{n \geq 1}$  SEQ. OF UNITARIES IN  $M$  ST.

$$\forall a \in A \quad (u_n \varphi(a) u_n^* - \psi(a)) \longrightarrow 0 \quad S^*(M, \tau_e)$$


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COR:  $M, A$  AS ABOVE

$\varphi, \psi : A \rightarrow M$  UNITAL  $*$ -HOMOM.

THEN, TFAE:

- ①  $\text{KER } \varphi = \text{KER } \psi$
  - ②  $\varphi$  AND  $\psi$  ARE STRONG  $*$ -APPROX. UNIT. EQUIV.
  - ③  $\varphi$  AND  $\psi$  ARE WEAK  $*$ -APPROX. UNIT. EQUIV.
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(7)

FOR A TYPE  $\overline{\text{II}}_\infty$  FACTOR  $M$ , SITUATION MORE  
COMPLICATED DUE TO  $\mathcal{K}_M$ , THE IDEAL OF  
COMPACT OP. OF  $M$ .

RECALL:  $\mathcal{K}_M$  NORM CLOSURE OF  $F_M = \{m \in M;$

$\exists p \text{ proj of } M \text{ st. } \tau(p) < \infty \text{ AND } m = pmp\}$

THM:  $M$  TYPE  $\overline{\text{II}}_\infty$  SEMIDISCRETE FACTOR

$\tau$  F.N.SF. TRACE ON  $M$  (UNIQUE UP TO  
CONST.)

$A$  UNITAL SEP.  $C^*$ -ALG.

$\varphi, \psi : A \rightarrow M$  UNITAL INJECTIVE  $*$ -HOM.

ST.  $\tau \circ \varphi = \tau \circ \psi$

THEN  $\varphi$  AND  $\psi$  ARE STRONG $*$ -APPROX. UNIT. EQUIV

TOWARDS A CONVERSE STATEMENT;



(8)

DEF:  $C, D$   $C^*$ -ALG.  $\varphi: C \rightarrow D$   $*$ -HOMEO.

$\varphi$  IS FULL IF  $\forall a \neq 0, a \in C^+, \varphi(a)$  FULL ELT OF  $D$

(i.e.  $\varphi(a)$  NOT CONTAINED IN ANY PROPER  $C^*$ -IDEAL OF  $D$ )

LEM: 1)  $\varphi$  FULL  $\Rightarrow \varphi$  INJECTIVE.

2)  $\varphi: A \rightarrow M, M$  TYPE III  $\left. \begin{array}{l} \\ \ker \varphi = \{0\} \end{array} \right\} \Rightarrow \varphi$  FULL  
 $M$  SIMPLE!

3)  $\varphi: A \rightarrow M$  FULL  $\left\{ \begin{array}{l} \\ M \text{ TYPE II}_{\infty} \end{array} \right. \Rightarrow \forall a \in A_+, a \neq 0$   
 $\tilde{\tau}(\varphi(a)) = \infty.$

IN PARTICULAR, IF  $\varphi, \psi: A \rightarrow M$  UNITAL, FULL  
 $*$ -HOMEO.

THEN  $\tilde{\tau} \circ \varphi = \tilde{\tau} \circ \psi$

NEXT STEP:  $\varphi = \gamma \circ \sigma$  CAN BE APPROX. IN  
PT-WISE NORM TOPOLOGY BY MAPS OF THE  
FORM  $a \mapsto \sigma^* a \sigma$ ,  $\sigma$  ISOMETRY OF  $M$ .

HENCE,  $\forall a \in \mathcal{F}$ ,  $\|\varphi(a) - \sigma^* a \sigma\|_{\chi}^*$  SMALL.

$M$  PROPERLY INFINITE

$\Rightarrow$   $\exists U$ , UNITARY, ST  $\|\varphi(a) - U^* a U\|_{\chi}^*$   
HAGERUP SMALL.

THM;  $M$  TYPE III FACTOR TRAE

①  $M$  INJECTIVE

②  $A$  UNITAL SEP.  $C^*$ -ALG.

$\varphi, \psi: A \rightarrow M$  INJECTIVE, UNITAL  $*$ -HOM.

THEN  $\varphi$  AND  $\psi$   $S(M, M_*)^*$ -APPROX. UNIT. EQV

SKETCH OF THE PF.  $\chi \in M_*^+$ ,  $\chi$  FAITHFUL

ENOUGH TO SHOW:  $x_1, \dots, x_n \in M$  GIVEN

$\exists F$  FIN. DIMEN. FACTOR OF  $M$ ;  $y_1, \dots, y_n \in F$   
ST  $x_i \sim y_i$  IN  $\|\cdot\|_\chi^\#$ -NORM.

$A = C^*(x_1, \dots, x_n)$ ,  $M = N \otimes B(H)$ .

$\exists \psi: A \rightarrow 1 \otimes B(H) \subset N \otimes B(H) = M$  INJECT. UNITAL

34\_HYP.  $\exists u \in M$ , UNITARY ST

$x_i \sim u \psi(x_i) u^*$  IN  $\|\cdot\|_\chi^\#$ -NORM.

3(H) INJECTIVE:  $\exists$  FIN. DIM. FACTOR  $F' \subset 1 \otimes B(H)$

$b_1, \dots, b_n \in F'$

$\psi(x_i) \sim b_i$  IN  $\|\cdot\|_{\text{Ad} u \circ \chi}^\#$ -NORM

SET  $F = u F' u^*$ ,  $y_i = u b_i u^*$ .

(3)

THM:  $M$  PROPERLY INFINITE UN FACTOR,  $M_*$  SEP.

TPAE ①  $M$  SEMI-DISCRETE

②  $A$  UNITAL SEP.  $C^*$ -ALG.

$\varphi, \psi : A \rightarrow M$  UNITAL, FULL  $*$ -HOMO.

THEN  $\varphi$  AND  $\psi$  ARE  $G$ -STRONG $^*$  APPROX.  
UNITARILY EQUIV.

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REM: ① II, CASE:  $M$  SEMIDISCRETE. UN FACTOR

$\varphi, \psi : A \rightarrow M$  UNITAL  $*$ -HOMO ST

$$\hat{\varphi} \circ \varphi = \hat{\psi} \circ \psi$$

THEN  $\varphi$  AND  $\psi$  ARE  $G$ -STRONG $^*$  APPROX.  
UNIT. EQUIV.

② CONVERSE OPEN.

③  $\left[ \forall A \text{ UNITAL, SEP.}, \forall \varphi, \psi : A \rightarrow M \otimes M \text{ -IT} \right.$   
 $\hat{\varphi} \circ \varphi = \hat{\psi} \circ \psi$ . THEN  $\varphi$  AND  $\psi$   $G$ -STRONG $^*$   
 APPROX. UNIT. EQUIV.  $\left. \right]$

$\Rightarrow M$  SEMIDISCRETE

(3.1)

THM:  $\mathcal{K}$  TYPE III INJECTIVE FACTOR,  $\mathcal{K}_\#$  SEP.

$A$  SEP. UNITAL SUB- $C^*$ -ALG. OF  $M$ .

$\varphi: A \rightarrow \mathcal{K}$  INJECTIVE  $*$ -HOMO.

THEN,  $\exists (u_n)_{n \geq 1}$  SEQ OF UNITARIES OF  $M$ . ST.

$$\forall a \in A, \quad (\varphi(a) - u_n a u_n^*) \xrightarrow{s(\mathcal{K}, \mathcal{K}_\#)} 0$$

SKETCH OF THE PF:  $\chi \in \mathcal{M}_\#^+$ , FAITHFUL.

$\varepsilon > 0$ ,  $\mathcal{F} \subset A$ , FINITE.. CAN ASSUME:  $\mathcal{F} \subset \mathcal{U}(A)$

ENOUGH TO SHOW:  $\exists \sigma \in M$ , ISOMETRY ST

$$\forall a \in \mathcal{F}, \quad \varphi(a) \sim_\varepsilon \sigma a \sigma^* \text{ IN } \|\cdot\|_\chi^\# \text{-NORM.}$$

IS  $\mathcal{K}$  SEMIDISCRETE, CAN ASSUME:

$$\begin{array}{ccc} A & \xrightarrow{\sigma} & \mathcal{M}_n(\mathcal{C}) \xrightarrow{\gamma} M \\ & \searrow \varphi & \nearrow \\ & & \end{array} \quad \begin{array}{l} \sigma, \gamma \text{ CP MAPS} \\ \varphi = \gamma \circ \sigma \end{array}$$

$$\forall a \in \mathcal{F}, \quad \varphi(a) \sim \varphi(a) \text{ IN } \|\cdot\|_\chi^\# \text{-NORM.}$$

FIRST APPLICATION:EXTREME AMENABILITY.DEF:  $G$  TOP. GRP. $G$  EXTREMELY AMENABLE (EA) IFANY  $G$ -ACTION ON A COMPACT SPACE HAS  
A FIXED PT.REM: ① A LOC. COMPACT GRP IS NEVER EA.II VEECH: A LOC. COMP. GRP HAS A FREE ACTION ON  
A COMPACT SPACE II② FIRST EXAMPLE BY HERER-CHRISTENSEN (1975)  
FIRST "NATURAL" EXP. BY GROTH-MILMAN (83') $\mathcal{U}(\ell^2(\mathbb{N}))_{\text{SOT}}$  EA.I PF. USES NOTION OF CONCENTRATION OF  
MEASURE ON HIGH-DIMEN. STRUCT. II

\* [GLASNER; FURSTENBERG-WEISS].

$L^0([0,1], \mathbb{T})$ , WITH TOPO. CONV. IN MEAS.

\* [G., PESTOU].  $(X, \mu)$  NON-ATOMIC STD BOREL PROBS.

\*  $\text{AUT}(X, \mu)$  F.R.P. TRANSF. WITH WEAK TOPO.

(TOP. INDUCED BY SOT ON  $\text{ISOM}(L^2(X, \mu))$ ).

REM:  $\text{AUT}(X, \mu)$ , WITH UNIF. TOP., NOT EA.

(TOP. INDUCED BY  $d(\alpha, \beta) = \mu\{x; \alpha(x) \neq \beta(x)\}$ )

\*  $M$  TYPE II OR TYPE III INJECTIVE FACTOR

$U(M)$ , WITH THE  $S(M, M_*)$ -TOPO. IS EA.

REM: (DE LA HARPE)  $M$  INJECTIVE IFF  $U(M)$  AMEN.

(PATERSON)  $A$   $C^*$ -ALG.

$A$  NUCLEAR IFF  $U(A)$ , WITH  $\sigma(A, A^*)$ -TOP.,  
AMENABLE.

\*  $A$  NUCLEAR IFF  $U(A)$ , WITH  $\sigma(A, A^*)$ -TOP.

STRONGLY AMENABLE.

THM:  $M$  PROPERLY INF. INJECTIVE UNFACTOR  
 $\mathcal{U}(M)$  WITH THE  $S(M, M_*)$ -TOPOL., HAS THE  
FIXED PT. PROPERTY ON COMPACT METRIC SP.

REM: ① FIXED PT. PROP. ON COMP. METRIC SP  
 $\implies$  FIXED PT. PROP ON COMPACT SP.

HENCE, NEW PP. OF. E.A. OF  $\mathcal{U}(M)$ .

### SECOND APPLICATION

RECALL:  $(M, \tau)$  TYPE  $\overline{\text{II}}$ , FACTOR  
 $\omega$  FREE ULTRAFILTER ON  $\mathbb{N}$ .

$$M^\omega = \ell^\infty(M, M) / \left\{ x = (x_n) ; \|x_n\|_2 \xrightarrow{n \rightarrow \omega} 0 \right\}$$

ULTRAPOWER  $M^\omega$  IS A TYPE  $\overline{\text{II}}$ , FACTOR.

[CONNES, 1976] Q?: EVERY FACTOR OF TYPE  $\overline{\text{II}}$ ,  
 EMBEDS INTO AN ULTRAPOWER  
 $R^\omega$ .



LARGELY THROUGH KIRCHBERG'S WORK, MANY  
EQUIV. DEF. OF CONNES CONJECT. IN PART.,

THM (PESTOV-USPENSKIJ). TFAE

① CONNES' CONJECT. IS TRUE

②  $C^*(F_\infty \times F_\infty)$  IS RESIDUALLY FIN. DIM.

③  $C^*(F_2 \times F_2)$            .

④  $U(\ell^2)_{\text{SOT}}$  HAS THE KIRCHBERG PROP.

WHERE

DEF. A TOP. GRP  $G$  HAS THE KIRCHBERG PROPERTY

IF  $\{ h: F_\infty \times F_\infty \xrightarrow{\text{HOMO.}} G ; \overline{h(F_\infty \times F_\infty)} \text{ COMPACT} \}$

DENSE IN  $\text{REP}(F_\infty \times F_\infty, G)$ .

REM: ①  $\text{REP}(F_\infty \times F_\infty, G) \subset G^{F_\infty \times F_\infty}$  PROD. TOP.

② (PESTOV-USPENSKIJ)

ISOM (URYSONN METRIC SP), WITH COMPACT-OP. TOP.



HAS THE KIRCHBERG. PROP.

ULRYSONN METRIC SPACE  $\mathcal{U}$  CHARACTERIZED BY

- ①  $\mathcal{U}$  COMPLETE METRIC SP.
  - ②  $\mathcal{U}$  CONTAINS AN ISOMETRIC COPY OF EVERY SEP. METRIC SP.
  - ③  $\mathcal{U}$  IS ULTRAHOMOGENEOUS.  
 (ie. EVERY ISOM. BETWEEN TWO FINITE SUBSP. EXTENDS TO AN ISOMETRY OF  $\mathcal{U}$ )
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USING UNIQUENESS THM. AND IDEAS THEORY OF ABSORBING EXT.

THM TRUE TO CEC.

- ① FOR EVERY INJECTIVE PROPERLY INFINITE FACTOR  $M$ ,  
 WITH  $M_*$  SEP.,  $\mathcal{U}(M)$  HAS THE KIRCHBERG PROP.
  - ②  $\exists M \nrightarrow \infty$  
  - ③ FOR EVERY UNITAL SEP. SIMPLE NUCLEAR  $C^*$ -ALG.  
 $A$ ,  $\mathcal{U}(M(A \otimes K))$  HAS THE KIRCH. PROP.
  - ④  $\exists A \nrightarrow \infty$  
-

"LOCALIZED" VERSION OF HADWIN-VOICULESCU THM.

(2) SPECIALIZE THE CLASS OF  $C^*$ -ALG.:

\* A UNITAL, SIMPLE, NUCLEAR, SEPARABLE

\* REPLACE  $B(H)$  BY A GEN. UNA  $M$ .

PT-WISE NORM CONV BY  $\sigma(M, M_*)$ -TOPO.

DEF:  $\varphi, \psi: A \rightarrow M$   $*$ -HOMO. ARE  $\omega^*$ -APPROX. UNIT. EQ.

IF  $\exists (u_\alpha), (\psi_\beta)$  UNITARIES OF  $M$  ST.  $\forall a \in A$ ,

$$u_\alpha \varphi(a) u_\alpha^* \xrightarrow{\sigma(M, M_*)} \psi(a) \text{ AND } \psi_\beta \psi(a) \psi_\beta^* \xrightarrow{\sigma(M, M_*)} \varphi(a)$$

\* REPLACE  $B(H)$  BY  $C$  UNITAL, SEP.,  $C^*$ -ALG.

PT-WISE NORM CONV. BY PT-WISE  $\sigma(C, C^*)$ -TOPO.

DEF:  $\varphi, \psi: A \rightarrow C$  ARE  $\omega$ -APPROX. UNIT. EQ.

IF .....

## 2.1. M FINITE UNA.

THM: (DING-HADWIN). M FINITE UNA.

A SEP. NUCLEAR  $C^*$ -ALG.

$\varphi, \psi: A \rightarrow M$  INJECTIVE  $*$ -HOMOM. ST.

$\forall \tau$  NORMAL TRACIAL STATE ON  $M$ ,  $\forall a \in A_+$

$$\tau \circ \varphi(a) = \tau \circ \psi(a)$$

THEN  $\varphi$  AND  $\psi$  ARE  $\omega^*$ -APPROX. UNIT. EQ.

## 2.2. M PROPERLY INFINITE UNA.

THM: M PROPERLY INF. FACTOR,  $M_*$  SEP.

$A$  SEP., NUCLEAR  $C^*$ -ALG.

$\varphi, \psi: A \rightarrow M$  UNITAL,  $*$ -HOMOM. ST.

$$R\varphi \circ \varphi = R\psi \circ \psi.$$

THEN  $\varphi$  AND  $\psi$  ARE  $\omega^*$ -APPROX. UNIT. EQUIV.

GENERAL CASE:

NEED TO USE REDUCTION FOR  $M$  UNA,  $M_*$  SEP.

NEED TO USE A SEP. FOR  $M$  GENERAL CASE.

THM: A UNITAL, NUCLEAR, SEP.  $C^*$ -ALG.

$M$  UNA.

$\varphi, \psi: A \rightarrow M$  UNITAL  $*$ -HOMOM. ST.

$$\text{RANK}(\varphi) = \text{RANK}(\psi).$$

THEN  $\varphi$  AND  $\psi$  ARE  $\omega^*$ -APPROX. UNIT. EQ.

CONVERSELY:

DEF: A SIMPLE, UNITAL, SEP.  $C^*$ -ALG.

A HAS THE WRAK<sup>\*</sup> UNIQUENESS PROPERTY

IF  $\nexists M$  UNA,  $\nexists \varphi, \psi: A \rightarrow M$  UNITAL, INJECTIVE

SUCH  $\text{RK}(\varphi) = \text{RK}(\psi)$ , THEN  $\varphi$  AND  $\psi$  ARE

$\omega^*$ -APPROX. UNIT. EQUIV.

THM: A UNITAL, SEPARABLE, SIMPLE  $C^*$ -ALG.

TAKE : ① A NUCLEAR

② A HAS THE WEAK<sup>\*</sup>-UNIQUENESS PROP.

③ A HAS THE WEAK-UNIQUENESS PROP.

DEF: A HAS THE WEAK UNIQUENESS PROP. IF

$\forall$  C UNITAL, SEP.  $C^*$ -ALG.

$\nexists$   $\varphi, \psi : A \rightarrow C$  UNITAL, INJECTIVE  $*$ -HOMO.

ST.  $\text{Re}(\varphi) = \text{Re}(\psi)$

THEN  $\varphi$  AND  $\psi$  ARE  $\omega$ -APPROX. UNIT. EQ.