

**UFFE HAAGERUPS THIRD TALK AT MASTERCLASS ON VON
NEUMANN ALGEBRAS AND GROUP ACTIONS**

1. CROSSED PRODUCTS

If $\mathcal{A} \subseteq B(H)$ is a von Neumann algebra and G is a discrete group and $\alpha : G \rightarrow \text{Aut}(\mathcal{A})$ is a action of G on \mathcal{A} , let

$$(1.1) \quad (\pi(a)\xi)(g) = \alpha_g^{-1}(a)\xi(g), \quad \forall \xi \in l^2(G, H), a \in \mathcal{A}$$

and

$$(1.2) \quad (\lambda(g)\xi)(h) = \xi(h^{-1}g), \quad \forall \xi \in l^2(G, H), g \in G$$

Define

$$(1.3) \quad \mathcal{M} := \mathcal{A} \rtimes G := (\pi(\mathcal{A}) \cup \lambda(G))''$$

then

$$(1.4) \quad \lambda(g)\pi(a)\lambda(g)^* = \pi(\alpha_g(a))$$

and if we identify a with $\pi(a) \in \mathcal{M}$, we get

$$(1.5) \quad \mathcal{M} := (\mathcal{A} \cup \lambda(G))''$$

and

$$(1.6) \quad \lambda(g)a\lambda(g)^* = \alpha_g(a).$$

2. GROUP MEASURE SPACE CONSTRUCTION

As a special case take $\mathcal{A} = L^\infty(X, \mu)$ where X is a standard Borel space and μ is a σ -finite measure, and let $\alpha_g(f) = f(\sigma_g^{-1}x)$ for an action $\sigma : g \rightarrow \text{Aut}(X, [\mu])$, the Borel transformations of X preserving the measure class.

Definition 1. σ is an ergodic action iff for every G -invariant Borelset $B \subseteq X$ either $\mu(B) = 0$ or $\mu(X \setminus B) = 0$.

Definition 2. σ is free if for μ -almost all $x \in X$ $g \rightarrow gx$ is a 1-to-1-map from G to X .

Theorem 3 (Murray + von Neumann, ≈ 1940). *If σ is free and ergodic then $\mathcal{M} = L^\infty(X, \mu) \rtimes_\alpha G$ is a factor and $\mathcal{A} = L^\infty(X, \mu)$ is a MASA (maximal abelian selfadjoint subalgebra) in \mathcal{M} .*

Theorem 4 (Murray + von Neumann, ≈ 1940). *Assume a free and ergodic action*

- \mathcal{M} is a I_∞ -factor iff Ω is infinite but countable
- \mathcal{M} is a II_1 -factor iff Ω is uncountable and there exist a G -invariant finite measure $\nu \in [\mu]$
- \mathcal{M} is a II_∞ -factor iff Ω is uncountable and there exists a G -invariant σ -finite, but not finite measure $\nu \in [\mu]$

- \mathcal{M} is a III-factor iff Ω is uncountable and there does not exist a G -invariant σ -finite measure $\nu \in [\mu]$

From now on we look at the II_1 -factor case only.

Let (X, μ) be a uncountable standard Borel space with a probability measure, and let $\sigma : G \rightarrow \text{Aut}(X, \mu)$ be a Borel transformation of X which leaves μ invariant. Now define $\mathcal{M} = L^\infty(X, \mu) \rtimes_\sigma G$. Then $\mathcal{A} = L^\infty(X, \mu)$ is a Cartan MASA in \mathcal{M} , where Cartan means that for $N(\mathcal{A}) = \{u \in U(\mathcal{M}) | u\mathcal{A}u^* = \mathcal{A}\}$ holds $N(\mathcal{A})'' = \mathcal{M}$.

Theorem 5 (Voiculescu \approx 1995). *For $2 \leq n < \infty$, $L(\mathbb{F}_n)$ has no Cartan MASA. Hence $L(\mathbb{F}_n)$ cannot be obtained by the group measure space construction.*

3. EQUIVALENCE RELATION

Assume $(\mathcal{A}_1 \subseteq \mathcal{M}_1)$ and $(\mathcal{A}_2 \subseteq \mathcal{M}_2)$ both obtained by group measure space construction from $(X_i, \mu_i, \Gamma_i, \sigma_i)$ where the actions are free and ergodic.

We write $(\mathcal{A}_1 \subseteq \mathcal{M}_1) \cong (\mathcal{A}_2 \subseteq \mathcal{M}_2)$ iff there exists a von Neumann algebra isomorphism $\theta : \mathcal{M}_1 \rightarrow \mathcal{M}_2$ with $\theta\mathcal{A}_1 = \mathcal{A}_2$.

Definition 6. (Γ_1, σ_1) is orbit equivalent (OE) to (Γ_2, σ_2) if there exist nullsets $N_1 \subseteq X_1$ and a Borel isomorphism $\chi : X_1 \setminus N_1 \rightarrow X_2 \setminus N_2$ mapping σ_1 -orbits onto σ_2 -orbits.

Theorem 7 (Singer 1955). $(\mathcal{A}_1 \subseteq \mathcal{M}_1) \cong (\mathcal{A}_2 \subseteq \mathcal{M}_2)$ iff (Γ_1, σ_1) is OE to (Γ_2, σ_2) .

Definition 8. Let $\alpha : \Gamma \rightarrow \text{Aut}(X, \mu)$ and $x, y \in X$ then $x \sim_\alpha y$ iff x and y are in the same Γ -orbit, that is $y = \alpha_\gamma(x)$ for some $\gamma \in \Gamma$.

Theorem 9 (Dye, 1959). Any two ergodic actions of \mathbb{Z} on (X, μ) are OE.

Theorem 10 (Ornstein + Weiss). If Γ_1, Γ_2 are amenable groups acting ergodically on (X_i, μ_i) then the actions are OE.

Theorem 11 (Connes + Weiss). If Γ has property T then it has at least two non-OE ergodic actions on (X_i, μ_i) .

Theorem 12 (Furmann, 1988). If Γ has an action on (X, μ) which is OE to the standard action of $SL(2, \mathbb{Z})$ on $\mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ for $n \geq 3$ then $\Gamma \cong SL(n, \mathbb{Z})$.

Definition 13. Let $Y \subseteq X$ be Borel spaces and α a free and ergodic action of G on these. We define the equivalence relation R_α by $x \sim_\alpha y$ if x, y are in the same G -orbit, and $R_\alpha \subseteq X \times X$ by $R_\alpha = \{(x, y) | x \sim_\alpha y\}$ and $R_{\alpha|Y} = R_\alpha \cap Y \times Y$.

Observation 14. If G is free and ergodic acting on (X, μ) , and $Y, Z \subseteq X$ are Borel, with $\mu(Y) = \mu(Z) > 0$ then $R_{\alpha|Y} \sim_{OE} R_{\alpha|Z}$.

Corollary 15. Let $\mathcal{A} \subseteq \mathcal{M}$ come from (X, μ, G, α) with α free and ergodic. Let $p, q \in P(\mathcal{A})$ such that $\tau(p) = \tau(q)$ then $(p\mathcal{A} \subseteq p\mathcal{M}p) \cong (q\mathcal{A} \subseteq q\mathcal{M}q)$.

4. FUNDAMENTAL GROUP OF THE EQUIVALENCE RELATION

Definition 16. Let $\mathcal{A} \subseteq \mathcal{M}$ and let $t \in]0, 1]$, choose $pt \in P(\mathcal{A})$ such that $\tau(pt) = t$. Define $(\mathcal{A}_t \subseteq \mathcal{M}_t)$ by the isomorphism class of $(p_t\mathcal{A} \subseteq p_t\mathcal{M}p_t)$. For $t > 0$ arbitrary define $(\mathcal{A}_t \subseteq \mathcal{M}_t)$ as the isomorphism class of $(A \otimes D_n(\mathbb{C}))_{t/n} \subseteq (\mathcal{M} \otimes M_n(\mathbb{C}))_{t/n}$ where $D_n \subseteq M_n$ are the diagonal matrices and $n \geq t$.

It has to be proven that the isomorphism class is independent of n .

Definition 17. Put

$$(4.1) \quad \mathcal{F}(R_\alpha) = \mathcal{F}(\mathcal{A} \subseteq \mathcal{M})$$

$$(4.2) \quad = \{t > 0 | (\mathcal{A}_t \subseteq \mathcal{M}_t) \cong (\mathcal{A} \subseteq \mathcal{M})\}$$

$$(4.3) \quad = \text{grp}\{\tau(p) | p \in P(\mathcal{A}), (p\mathcal{A} \subseteq p\mathcal{M}p) \cong (\mathcal{A} \subseteq \mathcal{M})\}$$

$$(4.4) \quad = \left\{ \frac{\tau(p)}{\tau(q)} | p, q \in P(\mathcal{A}), (p\mathcal{A} \subseteq p\mathcal{M}p) \cong (q\mathcal{A} \subseteq q\mathcal{M}q) \right\}$$

Theorem 18 (Gabriau, 2000 + 2002). *Assume that G acts free and ergodis on (X, μ) . If either*

- *one of the L^2 -Betti numbers $\beta_k^{(2)}$ for $k \in \mathbb{N}$ is non-zero og*
- *the cost $C(G)$ of G is greater than 1*

then $\mathcal{F}(R_\alpha) = \{1\}$.

5. COST OF AN EQUIVALENCE RELATION

Let R_α be an equivalence relation coming from a free and ergodic action of G or such an equivalence relation cut down to a Borel set.

Definition 19. *A graphing of R_α is a countable family $\Phi = (\phi_i)_{i \in I}$ of partial Borel isomorphisms $\phi_i : A_i \rightarrow B_i$ where $A_i, B_i \subseteq X$ are Borel sets, satisfying that for $\phi(x) \sim_\alpha x$ for all $x \in A_j$ and that R_α is generated by $\{\phi_i(x) \sim_\alpha x | i \in I, x \in A_i\}$.*

Remark 20. *Under these conditions do ϕ_i preserve measures in particular $\mu(A_i) = \mu(B_i)$ for all $i \in I$.*

Definition 21. *The cost of a graphing Φ of R_α is defined as*

$$(5.1) \quad C(\Phi) = \sum_{i \in I} \mu(A_i)$$

and the cost of the equivalence relation R_α is defined as

$$(5.2) \quad C(R_\alpha) = \inf_{\Phi} C(\Phi)$$

where the infimum is taken over all graphings of R_α . We define by

$$(5.3) \quad C(\Gamma) = \inf_{\alpha} C(R_\alpha)$$

the cost of a group, where the infimum is taken over all free actions of Γ and Γ is said to have fixed price if the cost is equal for all free actions α .

Theorem 22 (Gabriau). *The cost of a group is obtained by a relation (hence it is a minimum).*

Example 23 (Gabriau, 2000).

- *For $2 \leq n \leq \infty$ we have $C(\mathbb{F}_n) = n$ and \mathbb{F}_n has fixed prize.*
- *$C(SL(2, \mathbb{Z})) = \frac{13}{12}$ and it has fixed prize.*
- *$C(PSL(2, \mathbb{Z})) = \frac{7}{6}$ and it has fixed prize.*
- *If $\Lambda \subseteq \Gamma$ is a subgroup of finite index $[\Gamma : \Lambda] = |\Gamma/\Lambda| < \infty$ then $C(\Lambda) = 1 + [\Gamma : \Lambda](C(\Gamma) - 1)$. If Γ has fixed prize so has Λ .*
- *If $\Gamma = \Gamma_1 \star \Gamma_2$ (free product) and Γ_1, Γ_2 have fixed prize then $C(\Gamma) = C(\Gamma_1) + C(\Gamma_2)$ and fixed prize.*
- *If $|\Gamma| < \infty$ then $C(\Gamma) = 1 - \frac{1}{|\Gamma|}$*

- If $|\Gamma| = \infty$ then $C(\Gamma) \geq 1$
- If Γ is infinite amenable then $C(\Gamma) = 1$

Remark 24. *The cost of the free groups above can be obtained from them being subgroups of finite index in \mathbb{F}_2 , which again is a subgroup of finite index in $SL(2, \mathbb{Z})$ respective $PSL(2, \mathbb{Z})$.*

Theorem 25 (Gabriau, 2000). *For $Y \subseteq X$ Borel, $\mu(Y) > 0$ there holds $C(R_{\alpha|_Y}) - 1 = \frac{1}{\mu(Y)}(C(R_\alpha) - 1)$.*

Theorem 26 (Gabriau). *If Γ acts freely and ergodically on (X, μ) and $C(\Gamma) > 1$ then $\mathcal{F}(R_\alpha) = \mathcal{F}(\mathcal{A} \subseteq \mathcal{M}) = \{1\}$.*

Proof. Let $\alpha : \Gamma \rightarrow \text{Aut}(X, \mu)$ be a free ergodic action. Then $1 < C(\Gamma) \leq C(R_\alpha)$. Now let $t \in]0, 1[$ and choose $Y \subseteq X$ Borel set such that $\mu(Y) = t$ hence

$$(5.4) \quad C(R_{\alpha|_Y}) = 1 + \frac{1}{\mu(Y)}(C(R_\alpha) - 1) > C(R_\alpha).$$

But OE actions have the same cost hence $R_{\alpha|_Y} \approx R_\alpha$ and a result of [Singer, 1955] gives us $(p\mathcal{A} \subseteq p\mathcal{M}p) \not\cong (\mathcal{A} \subseteq \mathcal{M})$ when $p \in P(\mathcal{A})$ with $\tau(p) = t$.

As this holds for all $t \in]0, 1[$ we have $\mathcal{F}(R_\alpha) \cap]0, 1[= \emptyset$ and hence (as \mathcal{F} is a group) we have $\mathcal{F}(R_\alpha) = \{1\}$. \square