1st December 2004

## Munkres §11

**Ex. 11.8 (Morten Poulsen).** First recall some definitions: Let V be a vector space over a field K. Let A be a (possibly empty) subset of V. The subspace spanned by A is denoted  $\operatorname{span}_K A$  and is defined by

$$\operatorname{span}_{K} A = \{ k_{1}a_{1} + \dots + k_{n}a_{n} \mid n \in \mathbb{Z}_{+} \cup \{0\}, k_{1}, \dots, k_{n} \in K, a_{1}, \dots, a_{n} \in A \}$$

i.e. the set of all finite linear combinations of elements from W. If n = 0 then the linear combination is defined to be the zero element in V. If A is the empty set then  $\operatorname{span}_{K} A$  is the subspace  $\{0\}$ .

The subset A of V is said to be (linearly) independent if  $n \in \mathbb{Z}_+ \cup \{0\}, k_1, \dots, k_n \in K$  and  $a_1, \dots, a_n \in A$  satisfy

$$k_1a_1 + \dots + k_na_n = 0$$

then

$$k_1 = 0, \ldots, k_n = 0.$$

The empty set is (by definition) independent.

The subset A is said to be a basis if A is independent and  $\operatorname{span}_K A = V$ . Note that if A is a basis for V then every element has an unique representation as a finite linear combination of elements of A.

If  $V = \{0\}$  then the empty set is a basis, thus assume in the following that  $V \neq \{0\}$ .

(a). Assume  $v \notin \operatorname{span}_K A$ . Suppose

$$k_1 a_1 + \dots + k_n a_n + k_{n+1} v = 0,$$

where  $n \in \mathbf{Z}_{+} \cup \{0\}, k_{1}, \dots, k_{n+1} \in K \text{ and } a_{1}, \dots, a_{n} \in A.$ 

If  $k_{n+1} = 0$  then  $k_1 = 0, \ldots, k_n = 0$ , since A is independent. If  $k_{n+1} \neq 0$  then  $v = \frac{1}{k_{n+1}} (k_1 a_1 + \cdots + k_n a_n)$ , contradicting  $v \notin \operatorname{span}_K A$ . It follows that  $A \cup \{v\}$  is independent.

(b). Let W be the set of independent subsets of V. Define a relation  $\prec$  on W by

$$\forall w_1, w_2 \in W : w_1 \prec w_2 \Leftrightarrow w_1 \subsetneq w_2.$$

The relation  $\prec$  is clearly a strict partial order on W.

Let  $W_0$  be a simply ordered subset of W then

$$U = \bigcup_{w_0 \in W_0} w_0$$

is an independent subset of V: Suppose

$$k_1u_1 + \dots + k_nu_n = 0,$$

where  $n \in \mathbf{Z}_+ \cup \{0\}, k_1, \ldots, k_n \in K$  and  $u_1, \ldots, u_n \in U$ . Then there are  $w_1, \ldots, w_n \in W_0$ , such that  $u_i \in w_i$  for  $1 \leq i \leq n$ . Since  $W_0$  is simply ordered the subset  $\{w_1, \ldots, w_n\} \subset W_0$  has a largest element w, hence  $u_1, \ldots, u_n \in w$ . Since w is independent it follows that  $k_1 = 0, \ldots, k_n = 0$ , hence U is independent, i.e.  $U \in W$ .

The set U is clearly an upper bound for  $W_0$ , hence every simply ordered subset of W has an upper bound in W. Zorn's Lemma gives that W has a maximal element B.

(c). The maximal element B in W is basis for V: Suppose  $\operatorname{span}_K B$  is a proper subspace of V. Thus there is an element  $b \in V - \operatorname{span}_K B$ , hence, by (a),  $B \cup \{b\} \in W$ , contradicting the maximality of B. It follows that B is a basis for V.

Thus we have proved:

**Theorem 1.** Every vector space has a basis.

A few remarks: We know that if a vector space V over the field K has a basis with  $n \in \mathbb{Z}_+$  elements then every basis for V has n elements and n is called the dimension of V over K, and is denoted  $\dim_K V = n$ . The notion of dimension extends to vector spaces with infinite bases. First one proves the following theorem.

**Theorem 2.** If A and B are bases for V then A and B have the same cardinality.

In view of the previous theorem we define the dimension of a vector space V to be the cardinality of some basis A for V, i.e.  $\dim_K V = \operatorname{card} A$ .

*Hamel bases.* Regard the real numbers as a vector space over the rationals. Then this vector space has a basis, any basis for this vector space is called a Hamel basis. Furthermore one shows that  $\dim_{\mathbf{Q}} \mathbf{R} = \operatorname{card} \mathbf{R}$ .

References