

Flow equivalence of shift spaces (and their C^* -algebras), IV

Søren Eilers

eilers@math.ku.dk

Department of Mathematical Sciences
University of Copenhagen

01.03.11

Content

1 Franks' theorem

2 Generalizations

Outline

1 Franks' theorem

2 Generalizations

Theorem

When an $n \times n$ -matrix A and an $n' \times n'$ -matrix A' define irreducible and infinite SFTs the following are equivalent

- 1 $X_A \sim X_{A'}$
- 2 $\mathbb{Z}^n / (I - A)\mathbb{Z}^n \simeq \mathbb{Z}^{n'} / (I - A')\mathbb{Z}^{n'}$ and $\text{sgn det}(I - A) = \text{sgn det}(I - A')$

Basic operation

Lemma

When $A \geq 0$ with $a_{ij} > 0$ we have that $X_A \sim X_{A^{(ij)}}$ where

$$A^{(ij)} = \begin{bmatrix} a_{11} & \dots & a_{1j} & \dots & a_{1n} \\ \vdots & & \vdots & & \vdots \\ a_{i1} + a_{j1} & \dots & a_{ij} + a_{jj} - 1 & \dots & a_{in} + a_{jn} \\ \vdots & & \vdots & & \vdots \\ a_{n1} & \dots & a_{nj} & \dots & a_{nn} \end{bmatrix}$$

Step 1

Outsplit to go

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 & 1 \\ a_{11} & a_{11} & a_{12} - 1 \\ a_{21} & a_{21} & a_{22} \end{bmatrix}$$

Step 2

Insplit to go

$$\begin{bmatrix} 0 & 0 & 1 \\ a_{11} & a_{11} & a_{12} - 1 \\ a_{21} & a_{21} & a_{22} \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 & 1 & 0 \\ a_{11} & a_{11} & 0 & a_{12} - 1 \\ a_{21} & a_{21} & 0 & a_{22} \\ a_{21} & a_{21} & 0 & a_{22} \end{bmatrix}$$

Step 3

Symbol reduce to go

$$\begin{bmatrix} 0 & 0 & 1 & 0 \\ a_{11} & a_{11} & 0 & a_{12} - 1 \\ a_{21} & a_{21} & 0 & a_{22} \\ a_{21} & a_{21} & 0 & a_{22} \end{bmatrix} \rightarrow \begin{bmatrix} a_{11} & a_{11} & a_{12} - 1 \\ a_{21} & a_{21} & a_{22} \\ a_{21} & a_{21} & a_{22} \end{bmatrix}$$

Step 4

Out-amalgamate to go

$$\begin{bmatrix} a_{11} & a_{11} & a_{12} - 1 \\ a_{21} & a_{21} & a_{22} \\ a_{21} & a_{21} & a_{22} \end{bmatrix} \rightarrow \begin{bmatrix} a_{11} + a_{21} & a_{12} + a_{22} - 1 \\ a_{21} & a_{22} \end{bmatrix}$$

Proposition

For any $A \geq 0$ there is a $B \geq 0$ such that

$$X_A \sim X_{I+B}$$

Proof

If all $a_{jj} > 0$ we are done. If not, employ that

$$A^{(ij)} = \begin{bmatrix} a_{11} & \dots & a_{1j} & \dots & a_{1n} \\ \vdots & & \vdots & & \vdots \\ a_{i1} + a_{j1} & \dots & a_{ij} - 1 & \dots & a_{in} + a_{jn} \\ \vdots & & \vdots & & \vdots \\ a_{n1} & \dots & a_{nj} & \dots & a_{nn} \end{bmatrix}$$

to create a zero column, which may then be deleted.

Proposition

If a row or column addition takes an irreducible matrix $B \geq 0$ to $B' \geq 0$, we have

$$X_{I+B} \sim X_{I+B'}$$

Proof

Suppose row 2 of B is added to row 1 to create B' . The first row of $I + B'$ is

$$[1 + b_{11} + b_{21} \quad b_{12} + b_{22} \quad b_{13} + b_{23} \quad \dots]$$

and the first two rows of $I + B$ are

$$\begin{bmatrix} 1 + b_{11} & b_{12} & b_{13} & \dots \\ b_{21} & 1 + b_{22} & b_{23} & \dots \end{bmatrix}$$

Note how this coincides with “basic move” when $b_{12} > 0$. In general, use irreducibility.

Proposition

Let an irreducible matrix $B \geq 0$ be of size $n \times n$ with $n > 1$. Then

$$X_{I+B} \sim X_{I+C}$$

where we may assume that $C > 0$ of any size $m \geq n$.

Proof

We may keep adding rows until all entries are $\geq N$ for any $N > 0$. New rows may be added as required by state splitting as soon as the entries are sufficiently large.

Proposition

When $C > 0$ we have $X_{I+C} \sim X_{I+D}$ where the first column of D is identically d , with

$$d = \gcd\{c_{ij}\} = \gcd\{d_{ij}\}$$

Proof

Subsequent “column prepared row subtractions” and “row prepared column subtractions”. See example.

Standard form 1

When $C > 0$ is a given $n \times n$ -matrix with $\mathbb{Z}^n / C\mathbb{Z}^n = \sum_{i=1}^n \mathbb{Z} / d_i \mathbb{Z}$ where

$$d_1 \mid d_2 \mid \cdots \mid d_n$$

and $\det(-C) = (-1)^n \det(C) < 0$ we have that $X_{I+C} \sim X_{I+D}$ where

$$D = \begin{bmatrix} 0 & \cdots & 0 & d_n \\ d_1 & 0 & 0 & 0 \\ 0 & d_2 & 0 & 0 \\ & & \ddots & \vdots \\ 0 & \cdots & d_{n-1} & 0 \end{bmatrix}$$

Standard form 2

When $C > 0$ is a given $n \times n$ -matrix with $\mathbb{Z}^n / C\mathbb{Z}^n = \sum_{i=1}^n \mathbb{Z} / d_i \mathbb{Z}$ where

$$d_1 \mid d_2 \mid \cdots \mid d_n$$

and $\det(-C) = (-1)^n \det(C) > 0$ we have that $X_{I+C} \sim X_{I+D}$ where

$$D = \begin{bmatrix} 0 & \cdots & d_{n-1} & d_{n-1} \\ d_1 & 0 & 0 & 0 \\ 0 & d_2 & 0 & 0 \\ & & \ddots & \vdots \\ 0 & \cdots & d_{n-1} & d_{n-1} + d_n \end{bmatrix}$$

Standard form 3

When $C > 0$ is a given $n \times n$ -matrix with $\mathbb{Z}^n / C\mathbb{Z}^n = \sum_{i=1}^n \mathbb{Z} / d_i \mathbb{Z}$ where

$$d_1 \mid d_2 \mid \cdots \mid d_n$$

and $\text{rank}(C) = k < n$ we have that $X_{I+C} \sim X_{I+D}$ where

$$D = \begin{bmatrix} 0 & \cdots & 0 & d_k & \cdots & d_k \\ d_1 & 0 & 0 & 0 & \cdots & 0 \\ 0 & d_2 & & 0 & & 0 \\ & & \ddots & \vdots & \vdots & \\ & & & d_{k-1} & 0 & \cdots & 0 \\ 0 & \cdots & 0 & d_k & \cdots & d_k \\ \vdots & & \vdots & \vdots & & \vdots \\ 0 & \cdots & 0 & d_k & \cdots & d_k \end{bmatrix}$$

Outline

1 Franks' theorem

2 Generalizations

Reducible case

Theorem

Suppose A is in $\mathfrak{M}_{\mathcal{P},+}^{\circ}(\mathbb{Z})$ and A' is in $\mathfrak{M}_{\mathcal{P}',+}^{\circ}(\mathbb{Z})$. The following are equivalent.

- 1 The SFTs X_A and $X_{A'}$ are flow equivalent.
- 2 For some permutation matrix implementing an isomorphism from \mathcal{P} to \mathcal{P}' , there exists a positive $SL_{\mathcal{P}}(\mathbb{Z})$ equivalence from $I - A$ to $I - P^{-1}A'P$.
- 3 For some permutation matrix implementing an isomorphism from \mathcal{P} to \mathcal{P}' , and sending cycle components to cycle components, there exists an $SL_{\mathcal{P}}(\mathbb{Z})$ equivalence from $I - A$ to $I - P^{-1}A'P$ which is positive on cycle components.

Equivariant case

Theorem

Let G be a finite group, and let A and B be square matrices over \mathbb{Z}^+G . Then X_A and X_B are G -flow equivalent precisely when $I - A$ and $I - B$ are G -positively equivalent.

Theorem

Let G be a finite group, and let A and B be essentially irreducible nontrivial matrices over \mathbb{Z}_+G . For X_A and X_B to be G -flow equivalent, it is necessary that $W(A) = W(B)$.

Suppose $W(A) = G$. Then the following are equivalent:

- ① *X_A and X_B are G -flow equivalent.*
- ② *There exists $\gamma \in G$ and an $E(\mathbb{Z}G)$ equivalence from $(I - A)$ to $I - \gamma B \gamma^{-1}$.*