

Non-simple C^* -algebras associated to minimal dynamics*

Toke M. Carlsen and Søren Eilers

Mittag-Leffler Institutet, September 11, 2003

*This is a provocative title!

A substitution

$$\omega : \{1, 2, 3, 4, 5\} \longrightarrow \{1, 2, 3, 4, 5\}^\#$$

given by

$$\omega(1) = 123514$$

$$\omega(2) = 124$$

$$\omega(3) = 13214$$

$$\omega(4) = 14124$$

$$\omega(5) = 15214$$

The *fixed point* u

$\dots 12351212414124.123514124132141521412 \dots$

satisfies $\omega(u) = u$. And it makes sense to define

$$\underline{X}_\omega = \overline{\{\sigma^n(u) \mid n \in \mathbb{Z}\}}$$

A dynamical system

The definition

$$\underline{X}_\tau = \overline{\{\sigma^n(u) \mid n \in \mathbb{Z}\}}$$

makes sense for a general *primitive* substitution τ , provided that one allows $\tau^m(u) = u$.

The dynamical system $(\underline{X}_\tau, \sigma)$ will be minimal (all orbits dense).

Problem How does one determine from τ and v whether

$$\underline{X}_\tau \simeq \underline{X}_v \quad [\text{conjugacy}]$$

or

$$\underline{X}_\tau \sim_{FE} \underline{X}_v \quad [\text{flow equivalence}]?$$

Some substitutions

$$\tau_1(N) = N\sqsupset N \quad \tau_1(\sqsupset) = \sqsupset N N \sqsupset$$

$$\begin{aligned} \tau_2(\alpha) &= \alpha\beta & \tau_2(\beta) &= \alpha\beta\gamma\delta\epsilon & \tau_2(\gamma) &= \alpha\beta \\ \tau_2(\delta) &= \gamma\delta\epsilon & \tau_2(\epsilon) &= \alpha\beta\gamma\delta\epsilon \end{aligned}$$

$$\tau_3(1) = 1212345$$

$$\tau_3(2) = 12123451234512345$$

$$\tau_3(3) = 1212345 \quad \tau_3(4) = 1234512345$$

$$\tau_3(5) = 12123451234512345$$

$$\tau_4(a) = aabaababab \quad \tau_4(b) = aabababaababab$$

Abelianization

To a substitution τ one associates the $|\mathfrak{a}| \times |\mathfrak{a}|$ -matrix \mathbf{A}_τ given by

$$(\mathbf{A}_\tau)_{a,b} = \# \text{ of occurrences of } b \text{ in } \tau(a)$$

When τ is aperiodic, primitive and proper*,

$$\varinjlim (\mathbb{Z}^{|\mathfrak{a}|} \xrightarrow{\mathbf{A}_\tau} \mathbb{Z}^{|\mathfrak{a}|} \xrightarrow{\mathbf{A}_\tau} \dots)$$

as an ordered group, is an invariant for conjugacy and flow equivalence.

Theorem [Giordano/Putnam/Skau²/Durand/Host]

A complete invariant of strong orbit equivalence!

*No loss of generality

Special words

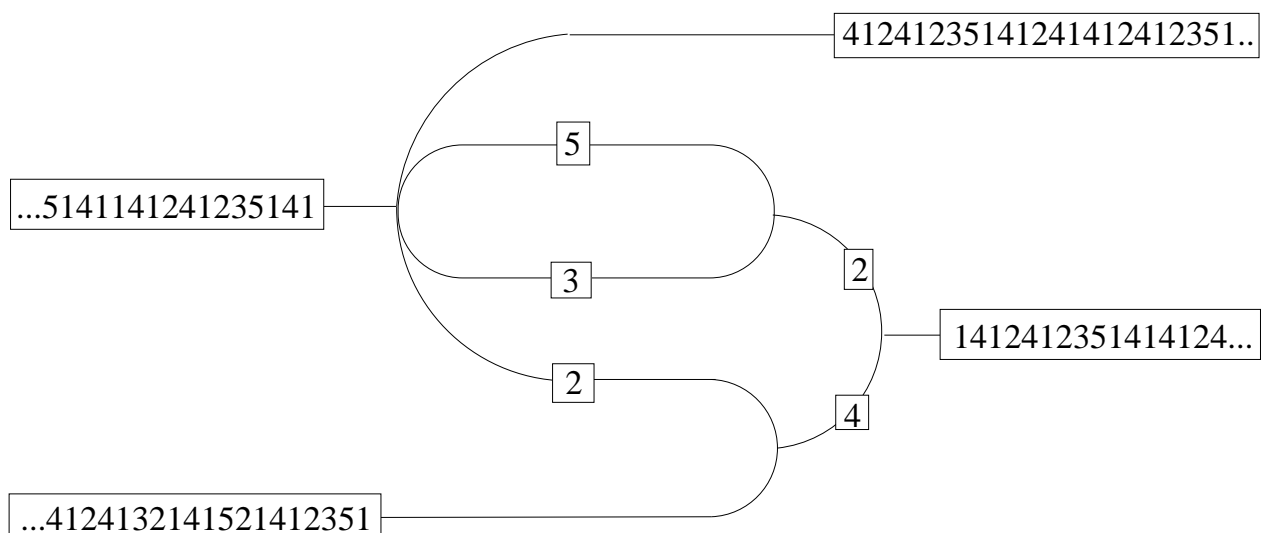
Consider

$$\pi : \mathfrak{a}^{\mathbb{Z}} \longrightarrow \mathfrak{a}^{\mathbb{N}_0}$$

and its restrictions. Most $x \in \underline{X}_T$ have the property that one tail determines the other, as in

$$\pi(x) = \pi(y) \implies x = y$$

But there is always (up to orbit equivalence) a finite number of exceptions to this rule, as in



What is \mathbf{E}_τ ?

One may arrange that all special words for τ have the form

$$\dots \tau^3(v)\tau^2(v)\tau(v)vu.w\tau(w)\tau^2(w)\tau^3(w) \dots$$

with $\tau(u) = vuw$. Denote the rightmost letter of u by a . Represent all (adjusted/cofinal) special words this way. Then

$$(\mathbf{E}_\tau)_{j,b} = \left(\sum_{k=1}^{p_j+1} e_{\tau, a_k^j, w_k^j}(b) \right) - e_{\tau, \tilde{a}^j, \tilde{w}^j}(b)$$

with

$$e_{\tau, a, w}(b) = \max(0, \#[b, \tau(a)] - \#[b, aw])$$

For the substitution v the exact sequence

$$0 \longrightarrow \mathbb{Z}^{n_v} / \mathfrak{p}_v \mathbb{Z} \longrightarrow K_0(\mathcal{O}_v) \xrightarrow{\rho^*} K_0(C(\underline{X}_v) \rtimes_{\sigma} \mathbb{Z}) \longrightarrow 0$$

becomes

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 2 \end{bmatrix}} \mathbb{Z} \oplus \mathbb{Z}[\frac{1}{3}] \xrightarrow{\begin{bmatrix} -2 & 1 \end{bmatrix}} \mathbb{Z}[\frac{1}{3}] \longrightarrow 0$$

But for v^{-1} we get

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 0 \end{bmatrix}} \mathbb{Z} \oplus \mathbb{Z}[\frac{1}{3}] \xrightarrow{\begin{bmatrix} 0 & 1 \end{bmatrix}} \mathbb{Z}[\frac{1}{3}] \longrightarrow 0$$

Ultimate example

For the substitution v the exact sequence

$$0 \longrightarrow \mathbb{Z}^{n_v} / \mathfrak{p}_v \mathbb{Z} \longrightarrow K_0(\mathcal{O}_v) \xrightarrow{\rho_*} K_0(C(\underline{X}_v) \rtimes_{\sigma} \mathbb{Z}) \longrightarrow 0$$

becomes

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 2 \end{bmatrix}} \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\begin{bmatrix} -2 & 1 \end{bmatrix}} \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 3 \end{bmatrix}} 0$$

But for v^{-1} we get

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 0 \end{bmatrix}} \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\begin{bmatrix} 0 & 1 \end{bmatrix}} \mathbb{Z} \xrightarrow{\begin{bmatrix} 1 \\ 3 \end{bmatrix}} 0$$

C^ -algebras considered by Matsumoto*

For any shift space \underline{X} we define $\mathcal{O}_{\underline{X}}$ as the universal C^* -algebra given by generators S_a , $a \in \mathfrak{a}$ and relations

$$(i) \quad \sum_{a \in \mathfrak{a}} S_a S_a^* = 1$$

$$(ii) \quad [S_v S_v^*, S_w^* S_w] = 0, \quad v, w \in \mathfrak{a}^\#$$

(iii) $\{S_v^* S_v\}_{v \in \mathfrak{a}^\#}$ relate mutually as do the indicator functions of

$$\{x \in \pi(\underline{X}) \mid vx \in \pi(\underline{X})\}$$

where $\pi : \mathfrak{a}^{\mathbb{Z}} \longrightarrow \mathfrak{a}^{\mathbb{N}_0}$

Key results by Matsumoto

- $\mathcal{O}_{\underline{X}} \otimes \mathbb{K}$ is a flow invariant
- You know $K_*(\mathcal{O}_{\underline{X}})$ *as a group* if you know the relations \sim_l on $\pi(\underline{X})$ defined by

$$x \sim_l y$$

$$\iff$$

$$\forall v \in \mathfrak{a}^\sharp, |v| \leq l : vx \in \pi(\underline{X}) \iff vy \in \pi(\underline{X})$$

and the actions

$$a : [x]_{l+1} \mapsto [ax]_l, a \in \mathfrak{a}$$

- General simplicity criteria under property (I):

$$\forall x \in \pi(\underline{X}) \forall l \in \mathbb{N} \exists y \in \pi(\underline{X}) : \begin{cases} y \neq x \\ y \sim_l x \end{cases}$$

Properties of \mathcal{O}_τ

Definition $\mathcal{O}_\tau = \mathcal{O}_{\underline{X}_\tau}$

- \mathcal{O}_τ is nonsimple, and has a maximal ideal isomorphic to \mathbb{K}^{n_τ} for $n_\tau \in \mathbb{N}$. Further,

$$0 \longrightarrow \mathbb{K}^{n_\tau} \longrightarrow \mathcal{O}_\tau \xrightarrow{\rho} C(\underline{X}_\tau) \rtimes_\sigma \mathbb{Z} \longrightarrow 0$$

- The short exact sequence induces

$$\begin{array}{ccccc} \mathbb{Z}^{n_\tau} & \longrightarrow & K_0(\mathcal{O}_\tau) & \xrightarrow{\rho_*} & K_0(C(\underline{X}_\tau) \rtimes_\sigma \mathbb{Z}) \\ \uparrow p_\tau & & & & \downarrow \\ \mathbb{Z} & \longleftarrow & 0 & \longleftarrow & 0 \end{array}$$

for $p_\tau \in \mathbb{N}^{n_\tau}$.

- The order on $K_0(\mathcal{O}_\tau)$ is given by

$$g \geq 0 \iff \rho_*(g) \geq 0$$

Complete description

Theorem [CE]

Let τ be a primitive, aperiodic, proper* and elementary† substitution. For suitable $n_\tau \times |\mathbf{a}|$ -matrix \mathbf{E}_τ we define

$$\tilde{\mathbf{A}}_\tau = \begin{bmatrix} \mathbf{A}_\tau & 0 \\ \mathbf{E}_\tau & \mathbf{Id} \end{bmatrix}$$
$$H_\tau = \mathbb{Z}^{n_\tau} / \mathfrak{p}_\tau \mathbb{Z}$$

and have

$$K_0(\mathcal{O}_\tau) = \varinjlim (\mathbb{Z}^{|\mathbf{a}|} \oplus H_\tau, \tilde{\mathbf{A}}_\tau)$$

as an ordered group, where $\mathbb{Z}^{|\mathbf{a}|} \oplus H_\tau$ is ordered by

$$(x, y) \geq 0 \iff x \geq 0$$

The constituent quantities n_τ , \mathfrak{p}_τ and $\tilde{\mathbf{A}}_\tau$ are computable.

*No loss of generality

†No loss of generality